#### **Proof Automation in Constructive Type Theory**

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#### NUPRL'S TYPE THEORY

#### • Logic for constructive reasoning

#### • Open-ended, expressive data type system

- Function, product, disjoint union,  $\Pi$  &  $\Sigma$ -types, atoms  $\sim$  programming
- Integers, lists, inductive types  $\rightarrow$  inductive definition
- Propositions as types, equality type, void, top, universes
- Subsets, subtyping, quotient types
- (Dependent) intersection, union, records  $\rightarrow$  modules, program composition New types can be added as needed

### • Uniform internal notation + "free syntax" ~> display forms

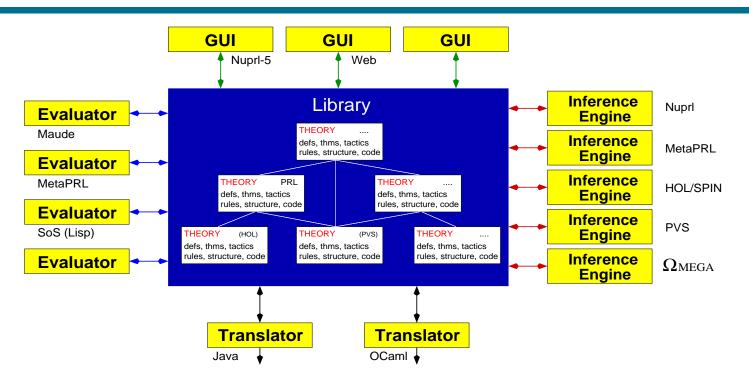
- Refinement calculus
  - Top-down sequent calculus

 $\rightarrow$  interactive proof development

• User-defined extensions possible

 $\rightarrow \log ic$  $\rightarrow$  mathematics

#### THE NUPRL SYSTEM



#### • Interactive proof development system

- Supports constructive proofs and program extraction
- Some automation by tactics and two decision procedures
- Flexible definition mechanism with customizable term display

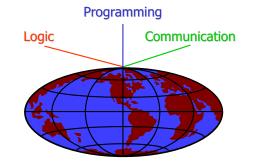
## • Open architecture supports cooperation

- Collection of cooperating processes
- Centered around a common knowledge base
- Connection to external systems possible (MetaPRL, JProver)

#### The Need for Proof Automation

## • Nuprl successful in many applications

- Verification of communication protocols
- Optimization of Ensemble protocol stacks
- Formal design of adaptive systems



Secure software infrastructure

- ... but automatic support still too weak
  - How to solve conceptually simple proof goals automatically?
  - How to decide well-understood problem domains effectively?

## ... while there are many successful proof mechanisms

- First-order theorem proving
- Strategies for inductive theorem proving
- Proof planning on the meta-level
- Decision procedures for certain application domains
- Model checking

#### JProver

• Complete theorem prover for first-order intuitionistic logic

# • Proof Strategy:

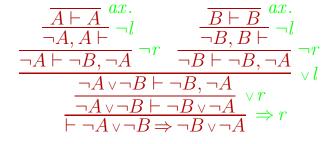
 Augment formula tree by tableaux types, and polarities

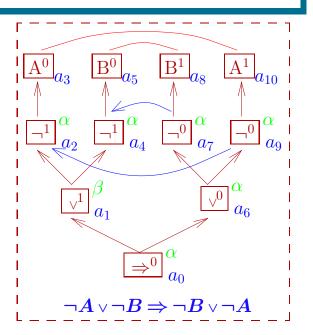


- Paths through matrix-representation must have complementary connection
- Validity check reduced to path checking + unification

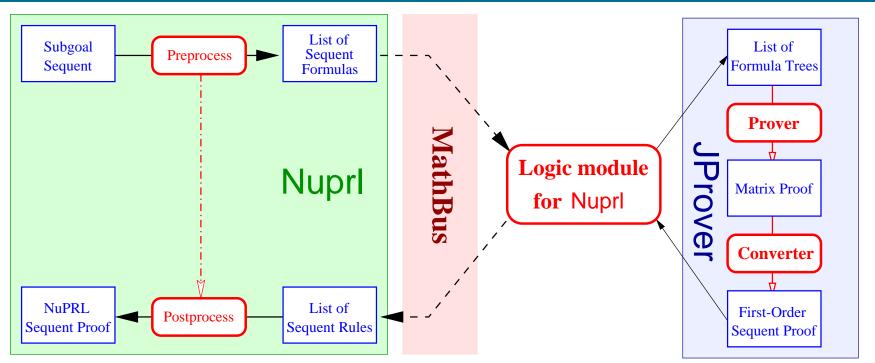
### • Integration Issues:

- Relation between matrix proof and sequent proof
- Connection between  $\mathsf{JProver}$  and  $\mathsf{NuprI}$  system





#### $INTEGRATING \ Jprover \ AND \ Nuprl$



### • Connect as external proof engine

- Code module for communicating with Nuprl
- + Logic module for interpeting **Nuprl** formulas
- + Conversions: sequents  $\mapsto$  formulas, matrix proof  $\mapsto$  sequent proof

# • Possible improvements:

- Processing type information during unification
- Multiplicities: when to stop creating instances of quantified formulas
- Adapting problem reduction techniques from classical provers



#### • Implementation as independent proof engine

- Use existing procedure in "foreign" prover
- or reimplementation as MetaPRL code module
- Explore semantical link to type theory
- Build separate module for integration
  - Code for communicating with  $\mathsf{Nuprl's}$  library
  - Conversions between different term/proof structures
    or "trusted mode" if proof has no computational content

Some mechanisms may require a different approach

## INDUCTIVE THEOREM PROVING WITH RIPPLING/COLORING

# • Annotated rewriting for induction step

# • Proof Strategy:

- Use domain-specific rewrite rules (defining equations)
- Annotate induction hypothesis and conclusion
- Rewriting of conclusion and hypothesis must reduce measure and result in the same term

$$\underbrace{C} \xrightarrow{R} C_0 \xrightarrow{R} \dots \xrightarrow{R} C_i \xrightarrow{R} \dots \xrightarrow{R} C_n \xrightarrow{R} H$$

rippling

reverse rippling

# • Integration issues

- Relation between rewrite steps and logical inferences
- Rewriting weak when quantifiers are involved (logically incomplete)

Bundy, Hutter, ...

### INTEGRATION OF RIPPLING/COLORING

# ? Use Clam system as external proof planner

- Clam guides proof tactics in  $\mathsf{Oyster},$  a variant of  $\mathsf{P}_{\!ea}\mathsf{RL}$
- Convert rewrite sequence into equality substitutions or implications

# ? Extended rippling strategy as Nuprl tactic

- Analyze proof goal on meta-level using limited logical decomposition with meta-variables for quantifiers
- Use rippling sequence to generate list of inference steps

Some successful experiments but still logically incomplete

# ?! Integrate into JProver

- Weaken complementarity to allow extending unification by rippling
- Tailor path-checking to check orthogonal connections first
- Add constraint c if proof part fails and check validity for  $\neg c$
- Adapt  $\mathsf{JProver}\text{'s}$  conversion mechanism

### Logically complete

Theoretically explored but not yet implemented

Pientka ....

#### PROOF PLANNING

# • Automatically develop and refine proof sketch

# • Proof Strategy:

Clam,  $\Omega$ mega . . .

- Provide plan operators (actions) that specify macro steps (tactics) Action = premise + conclusion + application constraint + proof schema
- Use  ${\sf STRIPS}{-}{\rm style}$  planning mechanisms to develop high-level proof plan
- Refine proof plan during execution

# • Integration issues

- Relation between proof plan and primitive inferences/tactics
- Combining planning with domain knowledge (definitions/lemmata)
- Expressing domain-specific forms of reasoning as plan control knowledge
- How to get the relevant knowledge from the library to the planner?
- How to integrate constraint solving?

# • Proof planning is still very limited

– Specialized proof planners not widely applicable

Could we use more efficient generic planning techniques instead?

#### **DECISION PROCEDURES**

# • Decide problems in limited application domain

- Equality reasoning
- Congruence closure
- Subsets of arithmetic
- List / Tree / Graph / Array theories

# • Proof Strategy:

- Translating problem into different problem domain
- Use well-known decision algorithms

### • Integration issues:

- Can we connect only to the refiner of PVS, SVC, etc.?
- Can the result be trusted (is it consistent with type theory)?
- What is the constructive content of the proof?
- Which subterms have to be proven wellformed?
- Cooperating decision procedures a'la Nelson/Oppen or Shostak?

## MODEL CHECKING

## • Explore state transition graph to find countermodels

# • Proof Strategy:

- Express system as (finite but huge) state transition graph Mand system specification F in temporal logic
- Explore graph to find all states s such that  $M, s \models F$ .
- Return **true** or countermodel for F
- State explosion solved by problem-reduction (symmetry, BDD's, ...)

# • Very efficient

- Successfully used in checking hardware system specifications
- Applies also to software: separate "finite" components from loops STeP ...

#### • Integration as trusted external prover? SMV ...

- Convert representation of software in ITT into temporal logic
  - + computation tree logic (= propositional logic + path-temporal operators)
- Constructive content of a proof? well-formedness issues?

# or conversion into propositional $\rightarrow$ SAT problem?

#### OTHER TECHNIQUES

- Patching faulty proofs
- General rewriting and narrowing
- Computer Algebra (via proof planning)
- Distributed & cooperating proof engines
- Machine learning

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