

# Answer Set Programming

Torsten Schaub  
University of Potsdam  
`torsten@cs.uni-potsdam.de`

# Answer Set Programming

Winter Semester 2011/12

- Martin Gebser
- Torsten Schaub
- Marius Schneider

# Information

- Lecture: 2h (weekly)
- Exercises: 2h (weekly)
- Credits: 6 if
  - 1 Written exam (at least "ausreichend")
  - 2 Two successful projects (= Implementation+Consultation)
- Mark: mark of written exam
- C(ourse)MS: <http://moodle.cs.uni-potsdam.de/>
- General Info: <http://www.cs.uni-potsdam.de/wv/lehre>
- Contact:
  - Lecture&Exercises: [asp@cs.uni-potsdam.de](mailto:asp@cs.uni-potsdam.de)
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# Roadmap

- Introduction
- Modeling
- Language Extensions
- Operators, Algorithms, and Systems
- Applications

# Resources

## ■ Course material

- <http://www.cs.uni-potsdam.de/wv/lehre>
- <http://moodle.cs.uni-potsdam.de>
- <http://www.cs.uni-potsdam.de/~torsten/asp>

## ■ Systems

- [clasp](http://potassco.sourceforge.net) <http://potassco.sourceforge.net>
- [dlv](http://www.dbai.tuwien.ac.at/proj/dlv) <http://www.dbai.tuwien.ac.at/proj/dlv>
- [smodels](http://www.tcs.hut.fi/Software/smodels) <http://www.tcs.hut.fi/Software/smodels>
- [gringo](http://potassco.sourceforge.net) <http://potassco.sourceforge.net>
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# Literature

Books [5], [65]

Surveys [59], [3], [47]

Articles [49], [50], [7], [71], [66], [58], [48], etc.

# Motivation: Overview

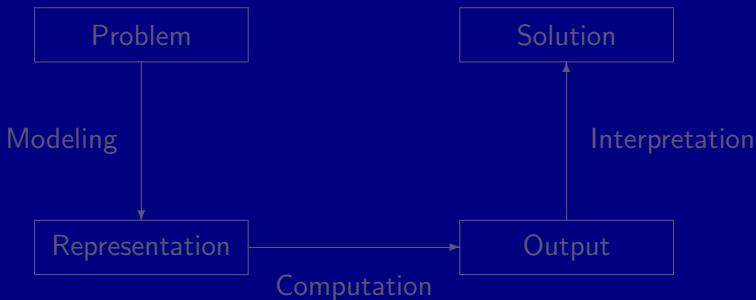
- 1 Objective
- 2 Answer Set Programming
- 3 Historic Roots
- 4 Problem Solving
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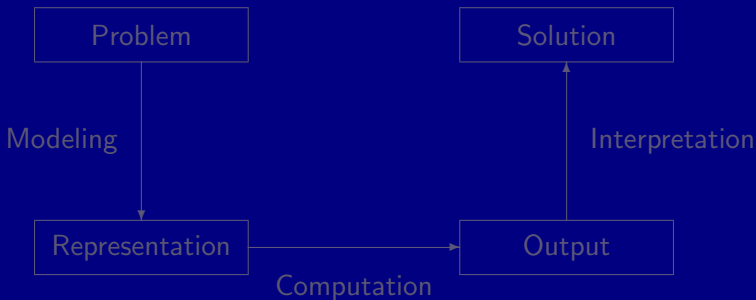
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- *“What is the problem?”*  
instead of
- *“How to solve the problem?”*



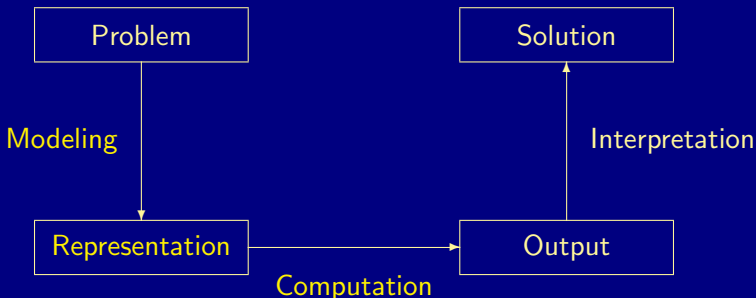
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# Answer Set Programming (ASP)

## *in a Nutshell*

ASP is an approach to declarative problem solving, combining  
a rich yet simple modeling language  
with high-performance solving capacities

tailored to Knowledge Representation and Reasoning

ASP allows for solving all search problems in  $NP$  (and  $NP^{NP}$ )  
in a uniform way (being more compact than SAT)

The versatility of ASP is reflected by the ASP solver `clasp`,  
winning first places at ASP'07/09/11, PB'09/11, and SAT'09/11

<http://potassco.sourceforge.net>

ASP embraces many emerging application areas, eg.  
second place at RoboCup@Home 2011 by USTC, Peking  
configuration by SIEMENS, Vienna



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# Logic Programming

- Algorithm = Logic + Control [55]
- Logic as a programming language
  - ➔ Prolog (Colmerauer, Kowalski)
- Features of Prolog
  - Declarative (relational) programming language
  - Based on SLD(NF) Resolution
  - Top-down query evaluation
  - Terms as data structures
  - Parameter passing by unification
  - Solutions are extracted from instantiations of variables occurring in the query

## Prolog: Programming in logic

Prolog is great, it's **almost** declarative!

To see this, consider

```
above(X,Y) :- on(X,Y) .  
above(X,Y) :- on(X,Z),above(Z,Y) .
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and compare it to

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An interpretation in classical logic amounts to

$$\forall xy(on(x,y) \vee \exists z(on(x,z) \wedge above(z,y)) \rightarrow above(x,y))$$

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# Model-based Problem Solving

Traditional approach (e.g. Prolog)

- 1 Provide a specification of the problem.
- 2 A solution is given by a **derivation** of an appropriate query.

Model-based approach (e.g. ASP and SAT)

- 1 Provide a specification of the problem.
- 2 A solution is given by a model of the specification.

Automated planning, Kautz and Selman [53]

Represent planning problems as propositional theories so that models not proofs describe solutions (e.g. Satplan)

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# Model-based Problem Solving

Specification	Associated Structures
constraint satisfaction problem	assignment
propositional horn theories	smallest model
propositional theories	models
propositional theories	minimal models
propositional theories	stable models
propositional programs	minimal models
propositional programs	supported models
propositional programs	stable models
first-order theories	models
default theories	extensions
...	

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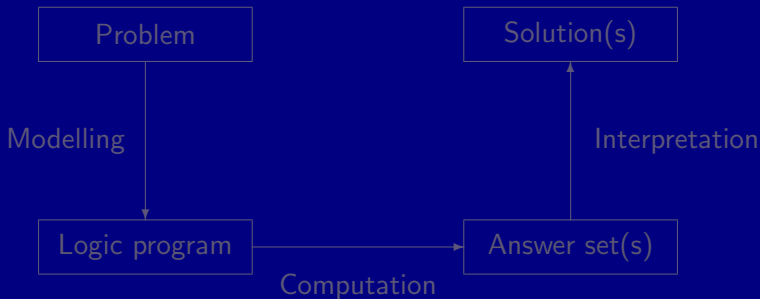
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# ASP as High-level Language

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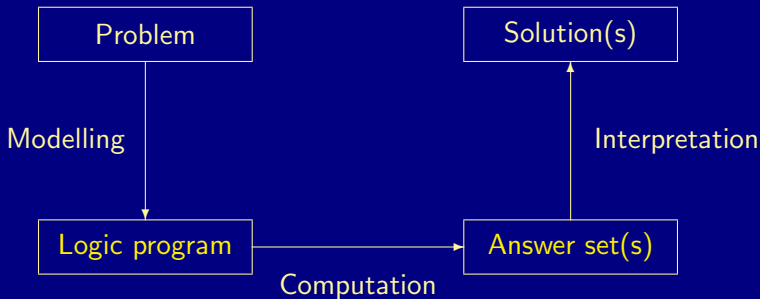
- Encode problem (class+instance) as a set of rules
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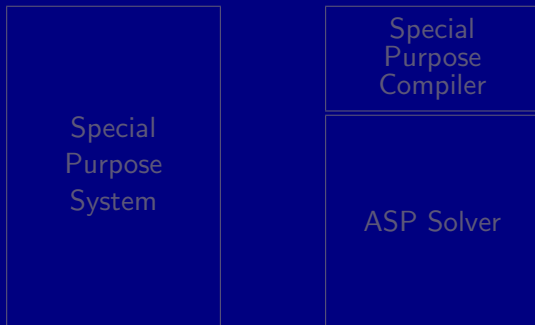
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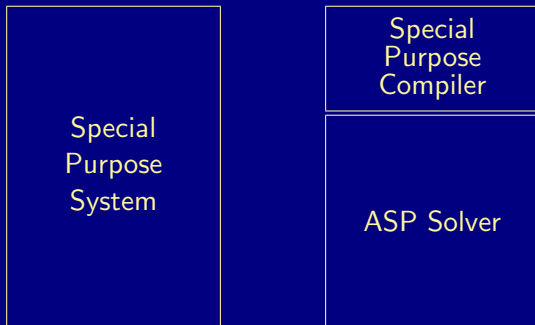
- Compile a problem automatically into a logic program
- Solve the original problem by solving its compilation



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# What is ASP good for?

- Combinatorial search problems (some with substantial amount of data):
  - For instance, auctions, bio-informatics, computer-aided verification, configuration, constraint satisfaction, diagnosis, information integration, planning and scheduling, security analysis, semantic web, wire-routing, zoology and linguistics, and many more
- My favorite: Using ASP as a basis for a decision support system for NASA's space shuttle (Gelfond et al., Texas Tech)
- Our own applications:
  - Automatic synthesis of multiprocessor systems
  - Inconsistency detection, diagnosis, repair, and prediction in large biological networks
  - Home monitoring for risk prevention in ambient assisted living
  - General game playing

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## What does ASP offer?

- Integration of KR, DB, and search techniques
- Compact, easily maintainable problem representations
- Rapid application development tool
- Easy handling of dynamic, knowledge intensive applications (including: data, frame axioms, exceptions, defaults, closures, etc.)

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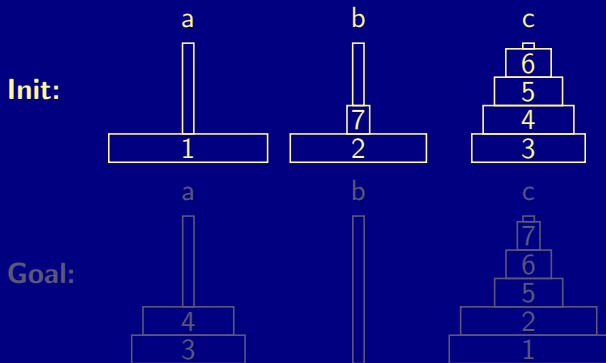
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$$\text{ASP} = \text{KR} + \text{DB} + \text{Search}$$

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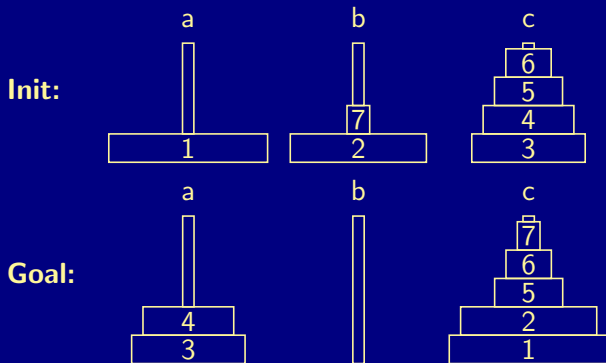
## An instance of Towers of Hanoi



```
peg(a;b;c).
init_on(1,a).
init_on(2;7,b).
init_on(3;4;5;6,c).
```

```
disk(1..7).
goal_on(3;4,a).
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moves(70).
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on(D,P,0)      :- init_on(D,P).
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1 { move(D,P,T) : disk(D) : peg(P) } 1 :- moves(M), T = 1..M.  
move(D,T)      :- move(D,_,T).
```

```
on(D,P,T)      :- move(D,P,T).
```

```
on(D,P,T+1)    :- on(D,P,T), not move(D,T+1), not moves(T).
```

```
blocked(D-1,P,T+1) :- on(D,P,T), not moves(T).
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```
blocked(D-1,P,T)  :- blocked(D,P,T), disk(D).
```

```
:- move(D,P,T), blocked(D-1,P,T).
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:- move(D,T), on(D,P,T-1), blocked(D,P,T).
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:- not 1 { on(D,P,T) } 1, disk(D), moves(M), T = 1..M.
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:- goal_on(D,P), not on(D,P,M), moves(M).
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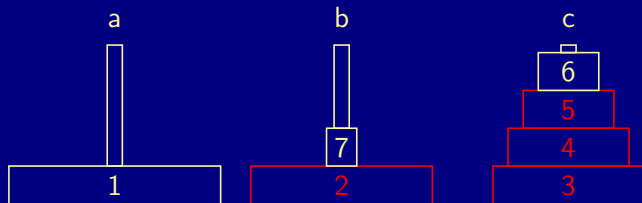
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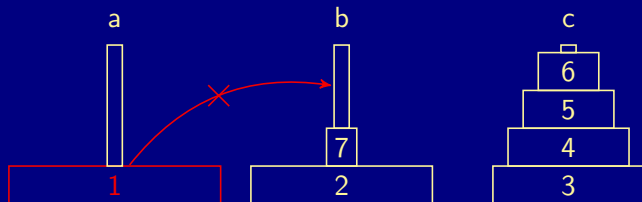
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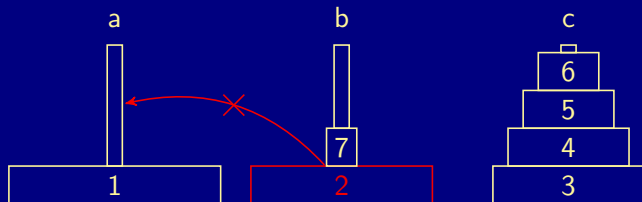
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blocked(D-1,P,T+1) :- on(D,P,T), not moves(T).
```

```
blocked(D-1,P,T)  :- blocked(D,P,T), disk(D).
```

```
:- move(D,P,T), blocked(D-1,P,T).
```

```
:- move(D,T), on(D,P,T-1), blocked(D,P,T).
```

```
:- not 1 { on(D,P,T) } 1, disk(D), moves(M), T = 1..M.
```

```
:- goal_on(D,P), not on(D,P,M), moves(M).
```

## An encoding of Towers of Hanoi

```
on(D,P,0)    :- init_on(D,P).
```

```
1 { move(D,P,T) : disk(D) : peg(P) } 1 :- moves(M), T = 1..M.  
move(D,T)    :- move(D,_,T).
```

```
on(D,P,T)    :- move(D,P,T).
```

```
on(D,P,T+1)  :- on(D,P,T), not move(D,T+1), not moves(T).
```

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```
:- goal_on(D,P), not on(D,P,M), moves(M).
```

# Let it run!

```
torsten@raz > gringo toh_instance.lp toh_encoding.lp | clasp --stats
clasp version 1.3.5
Reading from stdin
Solving...
Answer: 1
peg(a) peg(c) peg(b) init_on(1,a) init_on(2,b) ...
move(6,a,1) move(7,a,2) move(5,b,3) move(7,c,4)
move(6,b,5) move(7,b,6) move(4,a,7) move(7,a,8) ...
move(2,c,63) move(7,c,64) move(6,b,65) move(7,b,66)
move(5,c,67) move(7,a,68) move(6,c,69) move(7,c,70)
move(7,70) move(6,69) move(7,68) move(5,67) move(7,66) ...
SATISFIABLE
```

```
Models      : 1+
Time        : 3.280s (Solving: 3.23s 1st Model: 3.23s Unsat: 0.00s)
Choices     : 130907
Conflicts   : 35738
Restarts    : 12
```



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8 Semantics

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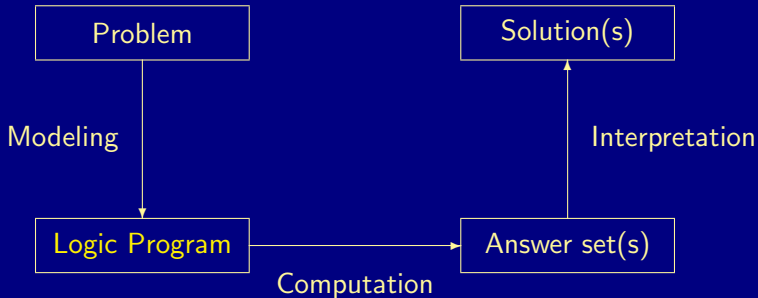
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# Problem solving in ASP: Syntax



## Normal logic programs

- A (normal) **rule**,  $r$ , is an ordered pair of the form

$$A_0 \leftarrow A_1, \dots, A_m, \text{not } A_{m+1}, \dots, \text{not } A_n,$$

where  $n \geq m \geq 0$ , and each  $A_i$  ( $0 \leq i \leq n$ ) is an atom.

- A (normal) **logic program** is a finite **set** of rules.

- Notation

$$\text{head}(r) = A_0$$

$$\text{body}(r) = \{A_1, \dots, A_m, \text{not } A_{m+1}, \dots, \text{not } A_n\}$$

$$\text{body}^+(r) = \{A_1, \dots, A_m\}$$

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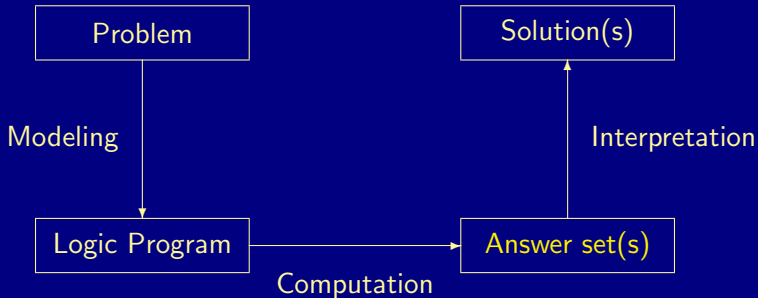
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# Problem solving in ASP: Semantics





# Answer set: Formal Definition

## Positive programs

- A set of atoms  $X$  is closed under a positive program  $\Pi$  iff for any  $r \in \Pi$ ,  $head(r) \in X$  whenever  $body^+(r) \subseteq X$ .
  - ➡  $X$  corresponds to a model of  $\Pi$  (seen as a formula).
- The smallest set of atoms which is closed under a positive program  $\Pi$  is denoted by  $Cn(\Pi)$ .
  - ➡  $Cn(\Pi)$  corresponds to the  $\subseteq$ -smallest model of  $\Pi$  (ditto).
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## Some “logical” remarks

- Positive rules are also referred to as **definite clauses**.
  - Definite clauses are disjunctions with **exactly one** positive atom:

$$A_0 \vee \neg A_1 \vee \dots \vee \neg A_m$$

- A set of definite clauses has a (unique) smallest model.
- Horn clauses are clauses with at most one positive atom.
  - Every definite clause is a Horn clause but not vice versa.
  - A set of Horn clauses has a smallest model or none.
- This smallest model is the intended semantics of a set of Horn clauses.
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## Answer set: Basic idea

Consider the logical formula  $\Phi$  and its three (classical) models:

$$\Phi \quad \boxed{q \wedge (q \wedge \neg r \rightarrow p)}$$

$$\{p, q\}, \{q, r\}, \text{ and } \{p, q, r\}$$

Formula  $\Phi$  has one stable model, called answer set:

$$\Pi_{\Phi} \quad \boxed{\begin{array}{l} q \leftarrow \\ p \leftarrow q, \text{ not } r \end{array}}$$

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Informally, a set  $X$  of atoms is an answer set of a logic program  $\Pi$

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(rooted in intuitionistic logics HT (Heyting, 1930) and G3 (Gödel, 1932))



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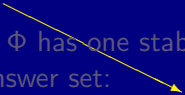
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$p$	$\mapsto$	1
$q$	$\mapsto$	1
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- The reduct,  $\Pi^X$ , of a program  $\Pi$  relative to a set  $X$  of atoms is defined by

$$\Pi^X = \{ \text{head}(r) \leftarrow \text{body}^+(r) \mid r \in \Pi \text{ and } \text{body}^-(r) \cap X = \emptyset \}.$$

- A set  $X$  of atoms is an answer set of a program  $\Pi$  if  $Cn(\Pi^X) = X$ .  
Recall:  $Cn(\Pi^X)$  is the  $\subseteq$ -smallest (classical) model of  $\Pi^X$ .

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A closer look at  $\Pi^X$ 

In other words, given a set  $X$  of atoms from  $\Pi$ ,

$\Pi^X$  is obtained from  $\Pi$  by deleting

- 1 each rule having a *not*  $A$  in its body with  $A \in X$  and then
- 2 all negative atoms of the form *not*  $A$  in the bodies of the remaining rules.

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## A first example

$$\Pi = \{p \leftarrow p, q \leftarrow \text{not } p\}$$

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$\{p\}$	$p \leftarrow$	$\{p\}$ ✓
$\{q\}$	$q \leftarrow$	$\{q\}$ ✓
$\{p, q\}$		$\emptyset$ ✗

## A second example

$$\Pi = \{p \leftarrow \text{not } q, q \leftarrow \text{not } p\}$$

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$\emptyset$	$p \leftarrow$ $q \leftarrow$	$\{p, q\}$ ✗
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$\emptyset$	$p \leftarrow$	$\{p\}$ <span style="color: red;">✗</span>
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## Answer set: Some properties

- A logic program may have zero, one, or multiple answer sets!
- If  $X$  is an answer set of a logic program  $\Pi$ , then  $X$  is a model of  $\Pi$  (seen as a formula).
- If  $X$  and  $Y$  are answer sets of a *normal* program  $\Pi$ , then  $X \not\subseteq Y$ .

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## Answer set: Alternative Definition

Let  $\Pi$  be a normal program and  $X$  a set of atoms.

- The set of **generating rules** of  $X$  relative to  $\Pi$  is defined by

$$\Pi_X = \{r \in \Pi \mid \text{body}^+(r) \subseteq X \text{ and } \text{body}^-(r) \cap X = \emptyset\}.$$

- $X$  is an answer set of  $\Pi$  iff  $X$  is a  $\subseteq$ -minimal model of  $\Pi_X$ .
- Or,  $X$  is an answer set of  $\Pi$  iff  $X \in \min_{\subseteq}(\Pi_X)$ , where  $\min_{\subseteq}(\Pi)$  is the set of  $\subseteq$ -minimal models of a program  $\Pi$ .



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# The second example revisited

$$\Pi = \{p \leftarrow \text{not } q, q \leftarrow \text{not } p\}$$

$X$	$\Pi_X$	"logically"	$\min_{\subseteq}(\Pi_X)$	
$\emptyset$	$p \leftarrow \text{not } q$ $q \leftarrow \text{not } p$	$p \vee q$	$\{p\}, \{q\}$	✗
$\{p\}$	$p \leftarrow \text{not } q$	$p \vee q$	$\{p\}, \{q\}$	✓
$\{q\}$	$q \leftarrow \text{not } p$	$p \vee q$	$\{p\}, \{q\}$	✓
$\{p, q\}$		$\top$	$\emptyset$	✗

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$\{p, q\}$		$\top$	$\emptyset$	✗

## A closer look at $Cn$

### Inductive characterization

Let  $\Pi$  be a positive program and  $X$  a set of atoms.

- The **immediate consequence operator**  $T_\Pi$  is defined as follows:

$$T_\Pi X = \{head(r) \mid r \in \Pi \text{ and } body(r) \subseteq X\}$$

- Iterated applications of  $T_\Pi$  are written as  $T_\Pi^j$  for  $j \geq 0$ , where  $T_\Pi^0 X = X$  and  $T_\Pi^i X = T_\Pi T_\Pi^{i-1} X$  for  $i \geq 1$ .

### Theorem

*For any positive program  $\Pi$ , we have*

- $Cn(\Pi) = \bigcup_{i \geq 0} T_\Pi^i \emptyset$ ,
- $X \subseteq Y$  implies  $T_\Pi X \subseteq T_\Pi Y$ ,
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Let's iterate  $T_{\Pi}$

$$\Pi = \{p \leftarrow, q \leftarrow, r \leftarrow p, s \leftarrow q, t, t \leftarrow r, u \leftarrow v\}$$

$$\begin{aligned}
 T_{\Pi}^0 \emptyset &= \emptyset \\
 T_{\Pi}^1 \emptyset &= \{p, q\} &= T_{\Pi} T_{\Pi}^0 \emptyset &= T_{\Pi} \emptyset \\
 T_{\Pi}^2 \emptyset &= \{p, q, r\} &= T_{\Pi} T_{\Pi}^1 \emptyset &= T_{\Pi} \{p, q\} \\
 T_{\Pi}^3 \emptyset &= \{p, q, r, t\} &= T_{\Pi} T_{\Pi}^2 \emptyset &= T_{\Pi} \{p, q, r\} \\
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 \end{aligned}$$

To see that  $Cn(\Pi) = \{p, q, r, t, s\}$  is the smallest fixpoint of  $T_{\Pi}$ , note that  $T_{\Pi} \{p, q, r, t, s\} = \{p, q, r, t, s\}$  and  $T_{\Pi} X \neq X$  for every  $X \subseteq \{p, q, r, t, s\}$ .



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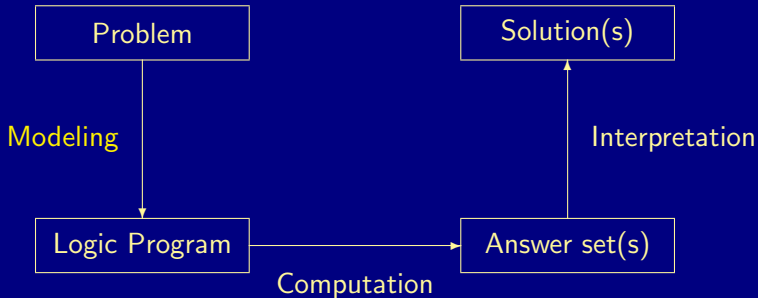
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# Problem solving in ASP: Modeling



## (Rough) notational convention

We sometimes use the following notation interchangeably in order to stress the respective view:

	if	and	or	negation as failure	classical negation
source code	<code>:-</code>	<code>,</code>	<code> </code>	<code>not</code>	<code>-</code>
logic program	$\leftarrow$	<code>,</code>	<code>;</code>	<i>not</i> / $\sim$	$\neg$
formula	$\rightarrow$	$\wedge$	$\vee$	$\sim/(\neg)$	$\neg$

# Language Constructs

## Variables (over the Herbrand Universe)

$p(X) :- q(X)$  over constants  $\{a, b, c\}$  stands for  
 $p(a) :- q(a), p(b) :- q(b), p(c) :- q(c)$

## Conditional Literals

$p :- q(X) : r(X)$  given  $r(a), r(b), r(c)$  stands for  
 $p :- q(a), q(b), q(c)$

## Disjunction

$p(X) \mid q(X) :- r(X)$

## Integrity Constraints

$:- q(X), p(X)$

## Choice

$2 \{ p(X,Y) : q(X) \} 7 :- r(Y)$

## Aggregates

$s(Y) :- r(Y), 2 \#count \{ p(X,Y) : q(X) \} 7$   
 also:  $\#sum, \#avg, \#min, \#max, \#even, \#odd$

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# Programs with Variables

Let  $\Pi$  be a logic program.

- **Herbranduniverse**  $U^\Pi$ : Set of constants in  $\Pi$
- **Herbrandbase**  $B^\Pi$ : Set of (variable-free) atoms constructible from  $U^\Pi$ 
  - ☞ We usually denote this as  $\mathcal{A}$ , and call it alphabet.
- Ground Instances of  $r \in \Pi$ : Set of variable-free rules obtained by replacing all variables in  $r$  by elements from  $U^\Pi$ :

$$ground(r) = \{r\theta \mid \theta : var(r) \rightarrow U^\Pi\}$$

where  $var(r)$  stands for the set of all variables occurring in  $r$ ;  
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- Ground Instantiation of  $\Pi$ :

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## An example

$$\Pi = \{ r(a, b) \leftarrow, r(b, c) \leftarrow, t(X, Y) \leftarrow r(X, Y) \}$$

$$U^\Pi = \{a, b, c\}$$

$$B^\Pi = \left\{ \begin{array}{l} r(a, a), r(a, b), r(a, c), r(b, a), r(b, b), r(b, c), r(c, a), r(c, b), r(c, c), \\ t(a, a), t(a, b), t(a, c), t(b, a), t(b, b), t(b, c), t(c, a), t(c, b), t(c, c) \end{array} \right\}$$

$$\text{ground}(\Pi) = \left\{ \begin{array}{l} r(a, b) \leftarrow, \\ r(b, c) \leftarrow, \\ t(a, a) \leftarrow r(a, a), t(b, a) \leftarrow r(b, a), t(c, a) \leftarrow r(c, a), \\ t(a, b) \leftarrow r(a, b), t(b, b) \leftarrow r(b, b), t(c, b) \leftarrow r(c, b), \\ t(a, c) \leftarrow r(a, c), t(b, c) \leftarrow r(b, c), t(c, c) \leftarrow r(c, c) \end{array} \right\}$$

🔍 Intelligent Grounding aims at reducing the ground instantiation.

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$$\Pi = \{ r(a, b) \leftarrow, r(b, c) \leftarrow, t(X, Y) \leftarrow r(X, Y) \}$$

$$U^\Pi = \{a, b, c\}$$

$$B^\Pi = \left\{ \begin{array}{l} r(a, a), r(a, b), r(a, c), r(b, a), r(b, b), r(b, c), r(c, a), r(c, b), r(c, c), \\ t(a, a), t(a, b), t(a, c), t(b, a), t(b, b), t(b, c), t(c, a), t(c, b), t(c, c) \end{array} \right\}$$

$$\text{ground}(\Pi) = \left\{ \begin{array}{l} r(a, b) \leftarrow, \\ r(b, c) \leftarrow, \\ t(a, a) \leftarrow r(a, a), t(b, a) \leftarrow r(b, a), t(c, a) \leftarrow r(c, a), \\ t(a, b) \leftarrow r(a, b), t(b, b) \leftarrow r(b, b), t(c, b) \leftarrow r(c, b), \\ t(a, c) \leftarrow r(a, c), t(b, c) \leftarrow r(b, c), t(c, c) \leftarrow r(c, c) \end{array} \right\}$$

🔍 Intelligent Grounding aims at reducing the ground instantiation.

## An example

$$\Pi = \{ r(a, b) \leftarrow, r(b, c) \leftarrow, t(X, Y) \leftarrow r(X, Y) \}$$

$$U^\Pi = \{a, b, c\}$$

$$B^\Pi = \left\{ \begin{array}{l} r(a, a), r(a, b), r(a, c), r(b, a), r(b, b), r(b, c), r(c, a), r(c, b), r(c, c), \\ t(a, a), t(a, b), t(a, c), t(b, a), t(b, b), t(b, c), t(c, a), t(c, b), t(c, c) \end{array} \right\}$$

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👉 **Intelligent Grounding** aims at reducing the ground instantiation.

# Answer sets of programs with Variables

Let  $\Pi$  be a normal logic program with variables.

We define a set  $X$  of (**ground**) atoms as an **answer set** of  $\Pi$  if  $Cn(\text{ground}(\Pi)^X) = X$ .

# Overview

7 Syntax

8 Semantics

9 Examples

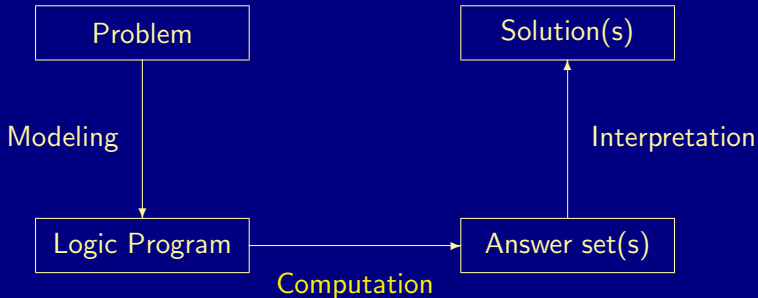
10 Language Constructs

11 Variables and Grounding

12 Computation

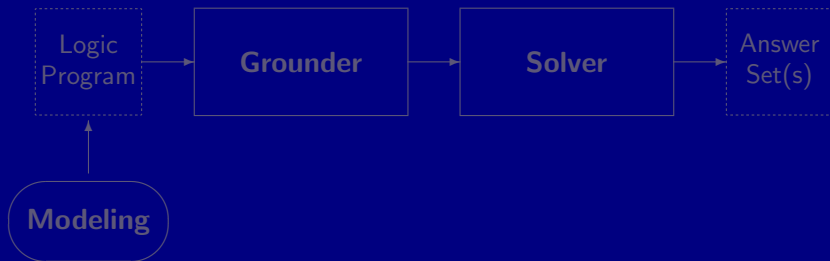
13 Reasoning Modes

# Problem solving in ASP: Computation

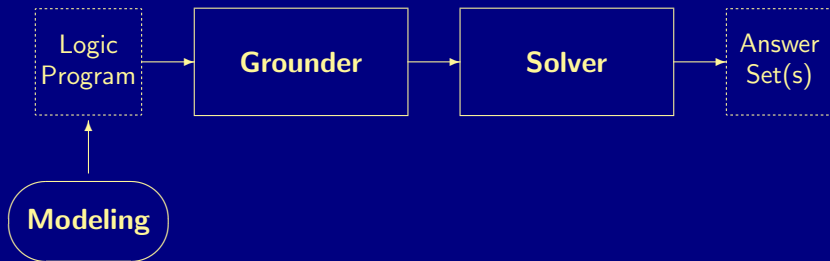




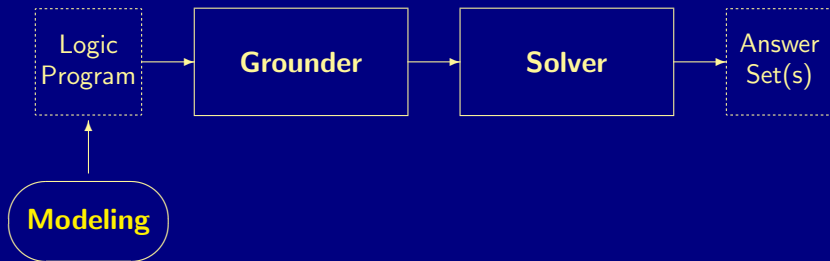
# ASP Solving Process



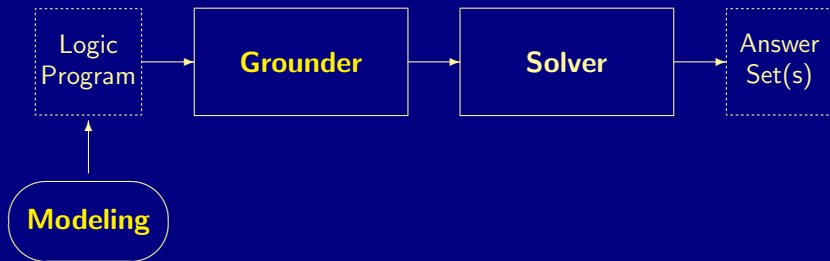
# ASP Solving Process



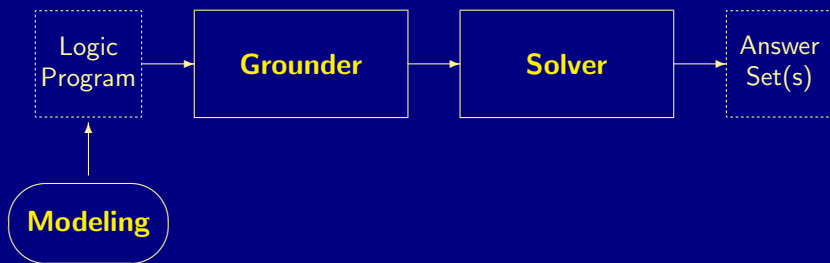
# ASP Solving Process



# ASP Solving Process



# ASP Solving Process



# Traditional Solving Procedure

Global parameters: Logic program  $\Pi$  and its set  $\mathcal{A}$  of atoms.

$solve_{\Pi}(X, Y)$

- 1  $(X, Y) \leftarrow propagate_{\Pi}(X, Y)$
- 2 **if**  $(X \cap Y) \neq \emptyset$  **then fail**
- 3 **if**  $(X \cup Y) = \mathcal{A}$  **then return**( $X$ )
- 4 **select**  $A \in \mathcal{A} \setminus (X \cup Y)$
- 5  $solve_{\Pi}(X \cup \{A\}, Y)$
- 6  $solve_{\Pi}(X, Y \cup \{A\})$

Comments:

- $(X, Y)$  is supposed to be a 3-valued model such that  $X \subseteq Z$  and  $Y \cap Z = \emptyset$  for any answer set  $Z$  of  $\Pi$ .
- Key operations:  $propagate_{\Pi}(X, Y)$  and ‘**select**  $A \in \mathcal{A} \setminus (X \cup Y)$ ’
- Worst case complexity:  $\mathcal{O}(2^{|\mathcal{A}|})$

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# Overview

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13 Reasoning Modes



# Reasoning Modes

- Satisfiability
- Enumeration<sup>†</sup>
- Projection<sup>†</sup>
- Intersection<sup>‡</sup>
- Union<sup>‡</sup>
- Optimization
- Sampling

<sup>†</sup> without solution recording

<sup>‡</sup> without solution enumeration

# Basic Modeling: Overview

14 ASP Solving Process

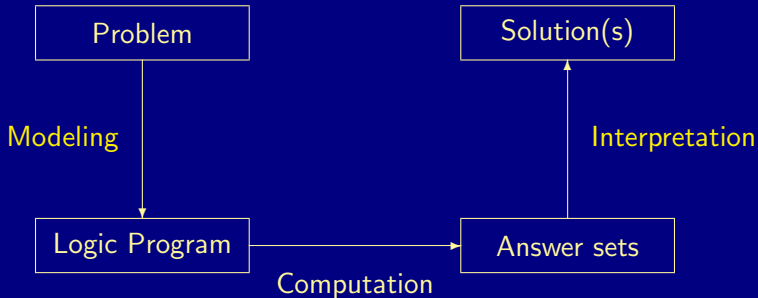
15 Problems as Logic Programs

- Graph Coloring

16 Methodology

- Satisfiability
- Queens
- Reviewer Assignment

# Modeling and Interpreting



# Modeling

For solving a problem class  $P$  for a problem instance  $I$ ,  
encode

- 1 the problem instance  $I$  as a set  $C(I)$  of facts and
- 2 the problem class  $P$  as a set  $C(P)$  of rules

such that the solutions to  $P$  for  $I$  can be (polynomially) extracted  
from the answer sets of  $C(I) \cup C(P)$ .

# Overview

## 14 ASP Solving Process

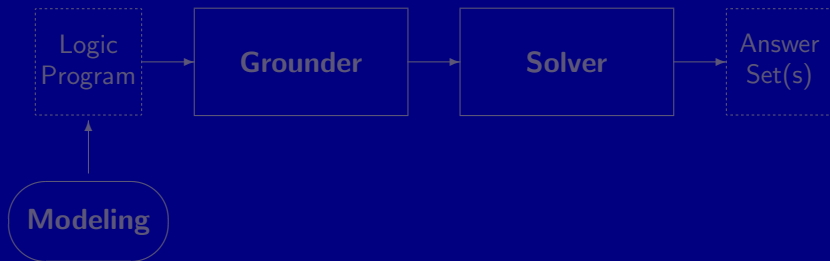
## 15 Problems as Logic Programs

- Graph Coloring

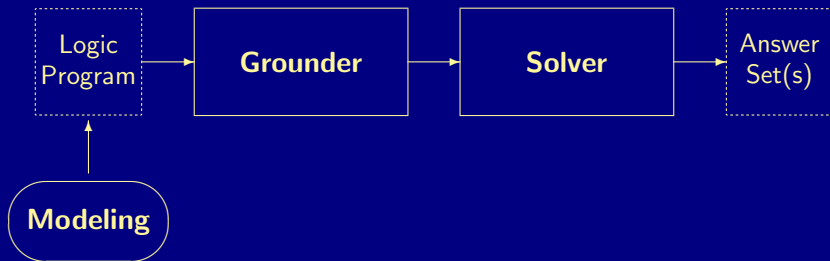
## 16 Methodology

- Satisfiability
- Queens
- Reviewer Assignment

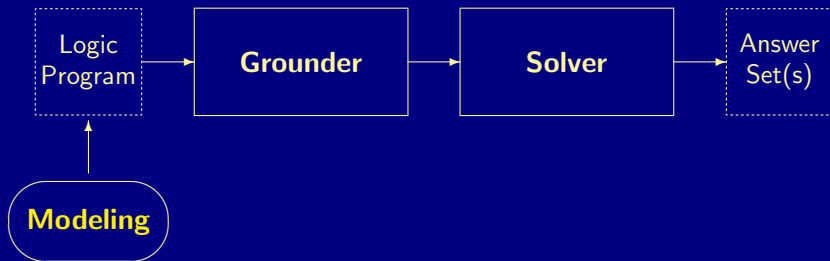
# ASP Solving Process



# ASP Solving Process

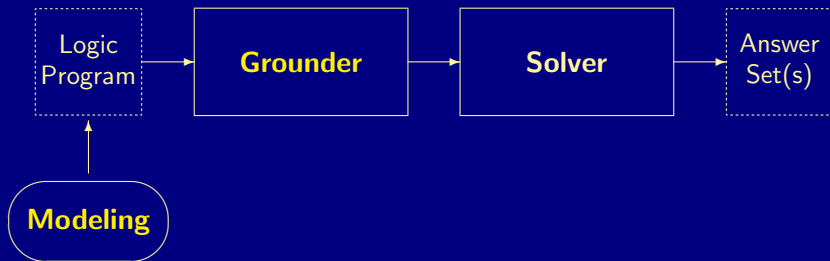


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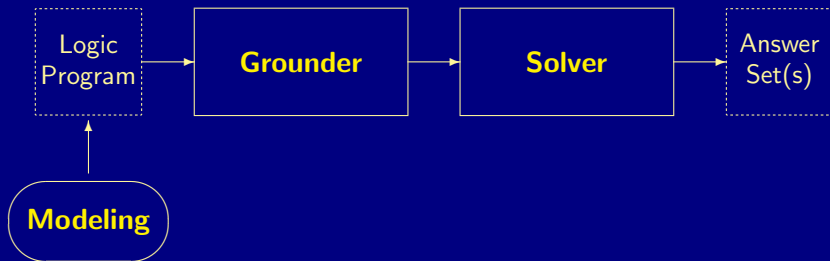




# ASP Solving Process



# ASP Solving Process



# Overview

14 ASP Solving Process

15 Problems as Logic Programs

- Graph Coloring

16 Methodology

- Satisfiability

- Queens

- Reviewer Assignment

# Graph Coloring

```
node(1..6).
```

```
edge(1,2).  edge(1,3).  edge(1,4).
```

```
edge(2,4).  edge(2,5).  edge(2,6).
```

```
edge(3,1).  edge(3,4).  edge(3,5).
```

```
edge(4,1).  edge(4,2).
```

```
edge(5,3).  edge(5,4).  edge(5,6).
```

```
edge(6,2).  edge(6,3).  edge(6,5).
```

```
col(r).    col(b).    col(g).
```

```
1 {color(X,C) : col(C)} 1 :- node(X).
```

```
:- edge(X,Y), color(X,C), color(Y,C).
```

# Graph Coloring

```
node(1..6).
```

```
edge(1,2).  edge(1,3).  edge(1,4).
```

```
edge(2,4).  edge(2,5).  edge(2,6).
```

```
edge(3,1).  edge(3,4).  edge(3,5).
```

```
edge(4,1).  edge(4,2).
```

```
edge(5,3).  edge(5,4).  edge(5,6).
```

```
edge(6,2).  edge(6,3).  edge(6,5).
```

```
col(r).     col(b).     col(g).
```

```
1 {color(X,C) : col(C)} 1 :- node(X).
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```
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# Graph Coloring

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edge(2,4).  edge(2,5).  edge(2,6).
```

```
edge(3,1).  edge(3,4).  edge(3,5).
```

```
edge(4,1).  edge(4,2).
```

```
edge(5,3).  edge(5,4).  edge(5,6).
```

```
edge(6,2).  edge(6,3).  edge(6,5).
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col(r).    col(b).    col(g).
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# Graph Coloring

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edge(3,1).  edge(3,4).  edge(3,5).
```

```
edge(4,1).  edge(4,2).
```

```
edge(5,3).  edge(5,4).  edge(5,6).
```

```
edge(6,2).  edge(6,3).  edge(6,5).
```

```
col(r).    col(b).    col(g).
```

```
1 {color(X,C) : col(C)} 1 :- node(X).
```

```
:- edge(X,Y), color(X,C), color(Y,C).
```

# Graph Coloring: Grounding

```
$ gringo -t color.lp
```

```
node(1). node(2). node(3). node(4). node(5). node(6).
```

```
edge(1,2). edge(1,3). edge(1,4). edge(2,4). edge(2,5). edge(2,6).
edge(3,1). edge(3,4). edge(3,5). edge(4,1). edge(4,2). edge(5,3).
edge(5,4). edge(5,6). edge(6,2). edge(6,3). edge(6,5).
```

```
col(r). col(b). col(g).
```

```
1 {color(1,r), color(1,b), color(1,g)} 1.
1 {color(2,r), color(2,b), color(2,g)} 1.
1 {color(3,r), color(3,b), color(3,g)} 1.
1 {color(4,r), color(4,b), color(4,g)} 1.
1 {color(5,r), color(5,b), color(5,g)} 1.
1 {color(6,r), color(6,b), color(6,g)} 1.
```

```
:- color(1,r), color(2,r). :- color(2,g), color(5,g). ... :- color(6,r), color(2,r).
:- color(1,b), color(2,b). :- color(2,r), color(6,r). :- color(6,b), color(2,b).
:- color(1,g), color(2,g). :- color(2,b), color(6,b). :- color(6,g), color(2,g).
:- color(1,r), color(3,r). :- color(2,g), color(6,g). :- color(6,r), color(3,r).
:- color(1,b), color(3,b). :- color(3,r), color(1,r). :- color(6,b), color(3,b).
:- color(1,g), color(3,g). :- color(3,b), color(1,b). :- color(6,g), color(3,g).
:- color(1,r), color(4,r). :- color(3,g), color(1,g). :- color(6,r), color(5,r).
:- color(1,b), color(4,b). :- color(3,r), color(4,r). :- color(6,b), color(5,b).
:- color(1,g), color(4,g). :- color(3,b), color(4,b). :- color(6,g), color(5,g).
:- color(2,r), color(4,r). :- color(3,g), color(4,g).
:- color(2,b), color(4,b). :- color(3,r), color(5,r).
:- color(2,g), color(4,g). :- color(3,b), color(5,b).
```



# Graph Coloring: Grounding

```
$ gringo -t color.lp
```

```
node(1). node(2). node(3). node(4). node(5). node(6).
```

```
edge(1,2). edge(1,3). edge(1,4). edge(2,4). edge(2,5). edge(2,6).
edge(3,1). edge(3,4). edge(3,5). edge(4,1). edge(4,2). edge(5,3).
edge(5,4). edge(5,6). edge(6,2). edge(6,3). edge(6,5).
```

```
col(r). col(b). col(g).
```

```
1 {color(1,r), color(1,b), color(1,g)} 1.
1 {color(2,r), color(2,b), color(2,g)} 1.
1 {color(3,r), color(3,b), color(3,g)} 1.
1 {color(4,r), color(4,b), color(4,g)} 1.
1 {color(5,r), color(5,b), color(5,g)} 1.
1 {color(6,r), color(6,b), color(6,g)} 1.
```

```
:- color(1,r), color(2,r). :- color(2,g), color(5,g). ... :- color(6,r), color(2,r).
:- color(1,b), color(2,b). :- color(2,r), color(6,r). :- color(6,b), color(2,b).
:- color(1,g), color(2,g). :- color(2,b), color(6,b). :- color(6,g), color(2,g).
:- color(1,r), color(3,r). :- color(2,g), color(6,g). :- color(6,r), color(3,r).
:- color(1,b), color(3,b). :- color(3,r), color(1,r). :- color(6,b), color(3,b).
:- color(1,g), color(3,g). :- color(3,b), color(1,b). :- color(6,g), color(3,g).
:- color(1,r), color(4,r). :- color(3,g), color(1,g). :- color(6,r), color(5,r).
:- color(1,b), color(4,b). :- color(3,r), color(4,r). :- color(6,b), color(5,b).
:- color(1,g), color(4,g). :- color(3,b), color(4,b). :- color(6,g), color(5,g).
:- color(2,r), color(4,r). :- color(3,g), color(4,g).
:- color(2,b), color(4,b). :- color(3,r), color(5,r).
:- color(2,g), color(4,g). :- color(3,b), color(5,b).
```

# Graph Coloring: Solving

```
$ gringo color.lp | clasp 0
```

```
clasp version 1.2.1
Reading from stdin
Reading      : Done(0.000s)
Preprocessing: Done(0.000s)
Solving...
Answer: 1
color(1,b) color(2,r) color(3,r) color(4,g) color(5,b) color(6,g) node(1) ... edge(1,2) ... col(r) ...
Answer: 2
color(1,g) color(2,r) color(3,r) color(4,b) color(5,g) color(6,b) node(1) ... edge(1,2) ... col(r) ...
Answer: 3
color(1,b) color(2,g) color(3,g) color(4,r) color(5,b) color(6,r) node(1) ... edge(1,2) ... col(r) ...
Answer: 4
color(1,g) color(2,b) color(3,b) color(4,r) color(5,g) color(6,r) node(1) ... edge(1,2) ... col(r) ...
Answer: 5
color(1,r) color(2,b) color(3,b) color(4,g) color(5,r) color(6,g) node(1) ... edge(1,2) ... col(r) ...
Answer: 6
color(1,r) color(2,g) color(3,g) color(4,b) color(5,r) color(6,b) node(1) ... edge(1,2) ... col(r) ...

Models      : 6
Time        : 0.000 (Solving: 0.000)
```

# Graph Coloring: Solving

```
$ gringo color.lp | clasp 0
```

```
clasp version 1.2.1
Reading from stdin
Reading      : Done(0.000s)
Preprocessing: Done(0.000s)
Solving...
Answer: 1
color(1,b) color(2,r) color(3,r) color(4,g) color(5,b) color(6,g) node(1) ... edge(1,2) ... col(r) ...
Answer: 2
color(1,g) color(2,r) color(3,r) color(4,b) color(5,g) color(6,b) node(1) ... edge(1,2) ... col(r) ...
Answer: 3
color(1,b) color(2,g) color(3,g) color(4,r) color(5,b) color(6,r) node(1) ... edge(1,2) ... col(r) ...
Answer: 4
color(1,g) color(2,b) color(3,b) color(4,r) color(5,g) color(6,r) node(1) ... edge(1,2) ... col(r) ...
Answer: 5
color(1,r) color(2,b) color(3,b) color(4,g) color(5,r) color(6,g) node(1) ... edge(1,2) ... col(r) ...
Answer: 6
color(1,r) color(2,g) color(3,g) color(4,b) color(5,r) color(6,b) node(1) ... edge(1,2) ... col(r) ...

Models      : 6
Time        : 0.000 (Solving: 0.000)
```

# Overview

14 ASP Solving Process

15 Problems as Logic Programs

- Graph Coloring

16 Methodology

- Satisfiability
- Queens
- Reviewer Assignment

## Basic Methodology

### Generate and Test (or: Guess and Check) approach

- Generator Generate potential answer set candidates  
(typically through non-deterministic constructs)
- Tester Eliminate invalid candidates  
(typically through integrity constraints)

### Nutshell

Logic program = Data + Generator + Tester  
(+ Optimizer)

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### Nutshell

Logic program = Data + Generator + Tester  
(+ Optimizer)

# Satisfiability

- Problem Instance: A propositional formula  $\phi$ .
- Problem Class: Is there an assignment of propositional variables to true and false such that a given formula  $\phi$  is true.
- Example: Consider formula  $(a \vee \neg b) \wedge (\neg a \vee b)$ .
- Logic Program:

## Generator

$\{a, b\} \leftarrow$

## Tester

$\leftarrow \text{not } a, b$

$\leftarrow a, \text{not } b$

## Answer sets

$X_1 = \{a, b\}$

$X_2 = \{\}$

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$\{a, b\} \leftarrow$

## Tester

$\leftarrow \text{not } a, b$

$\leftarrow a, \text{not } b$

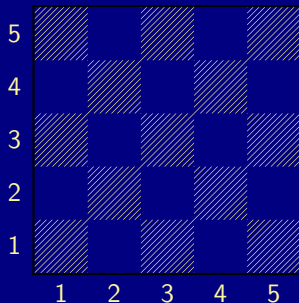
## Answer sets

$X_1 = \{a, b\}$

$X_2 = \{\}$



# The $n$ -Queens Problem



- Place  $n$  queens on an  $n \times n$  chess board
- Queens must not attack one another



# Defining the Field

```
queens.lp
```

```
row(1..n).  
col(1..n).
```

- Create file `queens.lp`
- Define the field
  - $n$  rows
  - $n$  columns

## Defining the Field

Running ...

```
$ clingo queens.lp -c n=5
```

```
Answer: 1
```

```
row(1) row(2) row(3) row(4) row(5) \
```

```
col(1) col(2) col(3) col(4) col(5)
```

```
SATISFIABLE
```

```
Models      : 1
```

```
Time        : 0.000
```

```
  Prepare   : 0.000
```

```
  Prepro.   : 0.000
```

```
  Solving   : 0.000
```

# Placing some Queens

```
queens.lp
```

```
row(1..n).  
col(1..n).  
{ queen(I,J) : row(I) : col(J) }.
```

- Guess a solution candidate
- Place some queens on the board

# Placing some Queens

Running ...

```
$ clingo queens.lp -c n=5 3
```

```
Answer: 1
```

```
row(1) row(2) row(3) row(4) row(5) \  
col(1) col(2) col(3) col(4) col(5)
```

```
Answer: 2
```

```
row(1) row(2) row(3) row(4) row(5) \  
col(1) col(2) col(3) col(4) col(5) queen(1,1)
```

```
Answer: 3
```

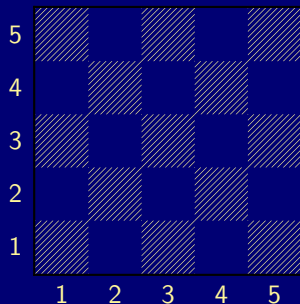
```
row(1) row(2) row(3) row(4) row(5) \  
col(1) col(2) col(3) col(4) col(5) queen(2,1)
```

```
SATISFIABLE
```

```
Models      : 3+
```

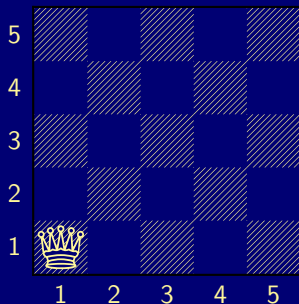
# Placing some Queens: Answer 1

Answer 1



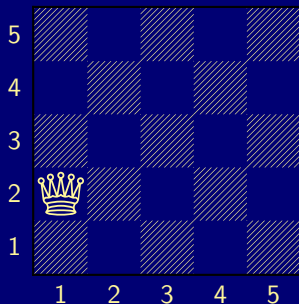
# Placing some Queens: Answer 2

## Answer 2



# Placing some Queens: Answer 3

## Answer 3





# Placing $n$ Queens

```
queens.lp
```

```
row(1..n).  
col(1..n).  
{ queen(I,J) : row(I) : col(J) }.  
:- not { queen(I,J) } == n.
```

- Place exactly  $n$  queens on the board

# Placing $n$ Queens

Running ...

```
$ clingo queens.lp -c n=5 2
```

```
Answer: 1
```

```
row(1) row(2) row(3) row(4) row(5) \  
col(1) col(2) col(3) col(4) col(5) \  
queen(5,1) queen(4,1) queen(3,1) \  
queen(2,1) queen(1,1)
```

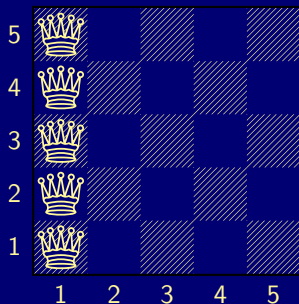
```
Answer: 2
```

```
row(1) row(2) row(3) row(4) row(5) \  
col(1) col(2) col(3) col(4) col(5) \  
queen(1,2) queen(4,1) queen(3,1) \  
queen(2,1) queen(1,1)
```

```
...
```

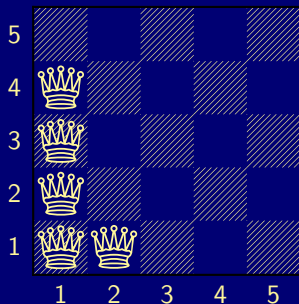
# Placing $n$ Queens: Answer 1

## Answer 1



# Placing $n$ Queens: Answer 2

## Answer 2



# Horizontal and vertical Attack

```
queens.lp
```

```
row(1..n).  
col(1..n).  
{ queen(I,J) : row(I) : col(J) }.  
:- not { queen(I,J) } == n.  
:- queen(I,J), queen(I,JJ), J != JJ.  
:- queen(I,J), queen(II,J), I != II.
```

- Forbid horizontal attacks
- Forbid vertical attacks

# Horizontal and vertical Attack

```
queens.lp
```

```
row(1..n).  
col(1..n).  
{ queen(I,J) : row(I) : col(J) }.  
:- not { queen(I,J) } == n.  
:- queen(I,J), queen(I,JJ), J != JJ.  
:- queen(I,J), queen(II,J), I != II.
```

- Forbid horizontal attacks
- Forbid vertical attacks

# Horizontal and vertical Attack

Running ...

```
$ clingo queens.lp -c n=5
```

```
Answer: 1
```

```
row(1) row(2) row(3) row(4) row(5) \
```

```
col(1) col(2) col(3) col(4) col(5) \
```

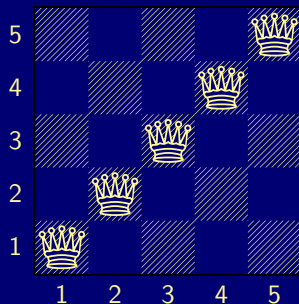
```
queen(5,5) queen(4,4) queen(3,3) \
```

```
queen(2,2) queen(1,1)
```

```
...
```

# Horizontal and vertical Attack: Answer 1

## Answer 1





# Diagonal Attack

```
queens.lp
```

```
row(1..n).  
col(1..n).  
{ queen(I,J) : row(I) : col(J) }.  
:- not { queen(I,J) } == n.  
:- queen(I,J), queen(I,JJ), J != JJ.  
:- queen(I,J), queen(II,J), I != II.  
:- queen(I,J), queen(II,JJ), (I,J) != (II,JJ),  
   I-J == II-JJ.  
:- queen(I,J), queen(II,JJ), (I,J) != (II,JJ),  
   I+J == II+JJ.
```

## ■ Forbid diagonal attacks

# Diagonal Attack

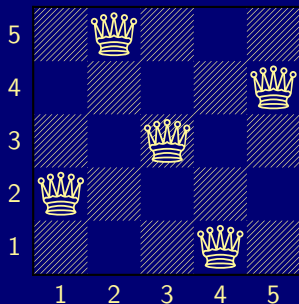
Running ...

```
$ clingo queens.lp -c n=5
Answer: 1
row(1) row(2) row(3) row(4) row(5) \
col(1) col(2) col(3) col(4) col(5) \
queen(4,5) queen(1,4) queen(3,3) \
queen(5,2) queen(2,1)
SATISFIABLE
```

```
Models      : 1+
Time        : 0.000
  Prepare    : 0.000
  Prepro.    : 0.000
  Solving    : 0.000
```

# Diagonal Attack: Answer 1

## Answer 1



# Optimizing

```
queens-opt.lp
```

```
{ queen(I,1..n) } == 1 :- I = 1..n.  
{ queen(1..n,J) } == 1 :- J = 1..n.  
:- { queen(D-J,J) } >= 2, D = 2..2*n.  
:- { queen(D+J,J) } >= 2, D = 1-n..n-1.
```

- Encoding can be optimized
- Much faster to solve
- See Section *Tweaking N-Queens*

# Reviewer Assignment

by Ilkka Niemelä

```
reviewer(r1). paper(p1). classA(r1,p1). classB(r1,p2). coi(r1,p5).  
reviewer(r2). paper(p2). classA(r1,p3). classB(r1,p4). coi(r1,p6).  
...
```

```
3 { assigned(P,R) : reviewer(R) } 3 :- paper(P).
```

```
:- assigned(P,R), coi(R,P).
```

```
:- assigned(P,R), not classA(R,P), not classB(R,P).
```

```
:- 9 { assigned(P,R) : paper(P) } , reviewer(R).
```

```
:- { assigned(P,R) : paper(P) } 6, reviewer(R).
```

```
assignedB(P,R) :- classB(R,P), assigned(P,R).
```

```
:- 3 { assignedB(P,R) : paper(P) }, reviewer(R).
```

```
#minimize { assignedB(P,R) : paper(P) : reviewer(R) }.
```

# Reviewer Assignment

by Ilkka Niemelä

```
reviewer(r1). paper(p1). classA(r1,p1). classB(r1,p2). coi(r1,p5).  
reviewer(r2). paper(p2). classA(r1,p3). classB(r1,p4). coi(r1,p6).  
...
```

```
3 { assigned(P,R) : reviewer(R) } 3 :- paper(P).
```

```
:- assigned(P,R), coi(R,P).
```

```
:- assigned(P,R), not classA(R,P), not classB(R,P).
```

```
:- 9 { assigned(P,R) : paper(P) } , reviewer(R).
```

```
:- { assigned(P,R) : paper(P) } 6, reviewer(R).
```

```
assignedB(P,R) :- classB(R,P), assigned(P,R).
```

```
:- 3 { assignedB(P,R) : paper(P) }, reviewer(R).
```

```
#minimize { assignedB(P,R) : paper(P) : reviewer(R) }.
```

# Reviewer Assignment

by Ilkka Niemelä

```
reviewer(r1). paper(p1). classA(r1,p1). classB(r1,p2). coi(r1,p5).  
reviewer(r2). paper(p2). classA(r1,p3). classB(r1,p4). coi(r1,p6).  
...
```

```
3 { assigned(P,R) : reviewer(R) } 3 :- paper(P).
```

```
:- assigned(P,R), coi(R,P).
```

```
:- assigned(P,R), not classA(R,P), not classB(R,P).
```

```
:- 9 { assigned(P,R) : paper(P) } , reviewer(R).
```

```
:- { assigned(P,R) : paper(P) } 6, reviewer(R).
```

```
assignedB(P,R) :- classB(R,P), assigned(P,R).
```

```
:- 3 { assignedB(P,R) : paper(P) }, reviewer(R).
```

```
#minimize { assignedB(P,R) : paper(P) : reviewer(R) }.
```

# Reviewer Assignment

by Ilkka Niemelä

```
reviewer(r1). paper(p1). classA(r1,p1). classB(r1,p2). coi(r1,p5).  
reviewer(r2). paper(p2). classA(r1,p3). classB(r1,p4). coi(r1,p6).  
...
```

```
3 { assigned(P,R) : reviewer(R) } 3 :- paper(P).
```

```
:- assigned(P,R), coi(R,P).
```

```
:- assigned(P,R), not classA(R,P), not classB(R,P).
```

```
:- 9 { assigned(P,R) : paper(P) } , reviewer(R).
```

```
:- { assigned(P,R) : paper(P) } 6, reviewer(R).
```

```
assignedB(P,R) :- classB(R,P), assigned(P,R).
```

```
:- 3 { assignedB(P,R) : paper(P) }, reviewer(R).
```

```
#minimize { assignedB(P,R) : paper(P) : reviewer(R) }.
```



# Reviewer Assignment

by Ilkka Niemelä

```
reviewer(r1). paper(p1). classA(r1,p1). classB(r1,p2). coi(r1,p5).  
reviewer(r2). paper(p2). classA(r1,p3). classB(r1,p4). coi(r1,p6).  
...
```

```
3 { assigned(P,R) : reviewer(R) } 3 :- paper(P).
```

```
:- assigned(P,R), coi(R,P).
```

```
:- assigned(P,R), not classA(R,P), not classB(R,P).
```

```
:- 9 { assigned(P,R) : paper(P) } , reviewer(R).
```

```
:- { assigned(P,R) : paper(P) } 6, reviewer(R).
```

```
assignedB(P,R) :- classB(R,P), assigned(P,R).
```

```
:- 3 { assignedB(P,R) : paper(P) }, reviewer(R).
```

```
#minimize { assignedB(P,R) : paper(P) : reviewer(R) }.
```

# Simplistic STRIPS Planning

```
fluent(p).      fluent(q).      fluent(r).  
action(a).      pre(a,p).      add(a,q).      del(a,p).  
action(b).      pre(b,q).      add(b,r).      del(b,q).  
init(p).        query(r).  
  
time(1..k).      lasttime(T) :- time(T), not time(T+1).  
  
holds(P,0) :- init(P).  
  
1 { occ(A,T) : action(A) } 1 :- time(T).  
  :- occ(A,T), pre(A,F), not holds(F,T-1).  
  
ocdel(F,T) :- occ(A,T), del(A,F).  
holds(F,T) :- occ(A,T), add(A,F).  
holds(F,T) :- holds(F,T-1), not ocdel(F,T), time(T).  
  
:- query(F), not holds(F,T), lasttime(T).
```

# Simplistic STRIPS Planning

```
fluent(p).      fluent(q).      fluent(r).  
action(a).      pre(a,p).      add(a,q).      del(a,p).  
action(b).      pre(b,q).      add(b,r).      del(b,q).  
init(p).        query(r).  
  
time(1..k).      lasttime(T) :- time(T), not time(T+1).  
  
holds(P,0) :- init(P).  
  
1 { occ(A,T) : action(A) } 1 :- time(T).  
  :- occ(A,T), pre(A,F), not holds(F,T-1).  
  
ocdel(F,T) :- occ(A,T), del(A,F).  
holds(F,T) :- occ(A,T), add(A,F).  
holds(F,T) :- holds(F,T-1), not ocdel(F,T), time(T).  
  
:- query(F), not holds(F,T), lasttime(T).
```

# Simplistic STRIPS Planning

```
fluent(p).      fluent(q).      fluent(r).  
action(a).      pre(a,p).      add(a,q).      del(a,p).  
action(b).      pre(b,q).      add(b,r).      del(b,q).  
init(p).        query(r).  
  
time(1..k).      lasttime(T) :- time(T), not time(T+1).  
  
holds(P,0) :- init(P).  
  
1 { occ(A,T) : action(A) } 1 :- time(T).  
  :- occ(A,T), pre(A,F), not holds(F,T-1).  
  
ocdel(F,T) :- occ(A,T), del(A,F).  
holds(F,T) :- occ(A,T), add(A,F).  
holds(F,T) :- holds(F,T-1), not ocdel(F,T), time(T).  
  
:- query(F), not holds(F,T), lasttime(T).
```

# Simplistic STRIPS Planning with iASP

```
#base.
```

```
fluent(p).      fluent(q).      fluent(r).  
action(a).      pre(a,p).        add(a,q).      del(a,p).  
action(b).      pre(b,q).        add(b,r).      del(b,q).  
init(p).        query(r).
```

```
holds(P,0) :- init(P).
```

```
#cumulative t.
```

```
1 { occ(A,t) : action(A) } 1.  
:- occ(A,t), pre(A,F), not holds(F,t-1).
```

```
ocdel(F,t) :- occ(A,t), del(A,F).  
holds(F,t) :- occ(A,t), add(A,F).  
holds(F,t) :- holds(F,t-1), not ocdel(F,t).
```

```
#volatile t.
```

```
:- query(F), not holds(F,t).
```

# Simplistic STRIPS Planning with iASP

```
#base.
```

```
fluent(p).      fluent(q).      fluent(r).  
action(a).      pre(a,p).        add(a,q).        del(a,p).  
action(b).      pre(b,q).        add(b,r).        del(b,q).  
init(p).        query(r).
```

```
holds(P,0) :- init(P).
```

```
#cumulative t.
```

```
1 { occ(A,t) : action(A) } 1.  
:- occ(A,t), pre(A,F), not holds(F,t-1).
```

```
ocdel(F,t) :- occ(A,t), del(A,F).  
holds(F,t) :- occ(A,t), add(A,F).  
holds(F,t) :- holds(F,t-1), not ocdel(F,t).
```

```
#volatile t.
```

```
:- query(F), not holds(F,t).
```

# Simplistic STRIPS Planning with iASP

#base.

```
fluent(p).      fluent(q).      fluent(r).  
action(a).      pre(a,p).        add(a,q).      del(a,p).  
action(b).      pre(b,q).        add(b,r).      del(b,q).  
init(p).        query(r).
```

```
holds(P,0) :- init(P).
```

#cumulative t.

```
1 { occ(A,t) : action(A) } 1.  
  :- occ(A,t), pre(A,F), not holds(F,t-1).  
  
ocdel(F,t) :- occ(A,t), del(A,F).  
holds(F,t) :- occ(A,t), add(A,F).  
holds(F,t) :- holds(F,t-1), not ocdel(F,t).  
  
#volatile t.  
  
:- query(F), not holds(F,t).
```

# Simplistic STRIPS Planning with iASP

#base.

```
fluent(p).      fluent(q).      fluent(r).  
action(a).      pre(a,p).        add(a,q).      del(a,p).  
action(b).      pre(b,q).        add(b,r).      del(b,q).  
init(p).        query(r).
```

holds(P,0) :- init(P).

#cumulative t.

```
1 { occ(A,t) : action(A) } 1.  
  :- occ(A,t), pre(A,F), not holds(F,t-1).  
  
ocdel(F,t) :- occ(A,t), del(A,F).  
holds(F,t) :- occ(A,t), add(A,F).  
holds(F,t) :- holds(F,t-1), not ocdel(F,t).
```

#volatile t.

:- query(F), not holds(F,t).



# Disjunctive logic programs: Overview

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# Overview

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## Disjunctive logic programs

- A **disjunctive rule**,  $r$ , is an ordered pair of the form

$$A_1 ; \dots ; A_m \leftarrow A_{m+1}, \dots, A_n, \text{not } A_{n+1}, \dots, \text{not } A_o,$$

where  $o \geq n \geq m \geq 0$ , and each  $A_i$  ( $0 \leq i \leq o$ ) is an atom.

- A **disjunctive logic program** is a finite set of disjunctive rules.
- (Generalized) Notation

$$\text{head}(r) = \{A_1, \dots, A_m\}$$

$$\text{body}(r) = \{A_{m+1}, \dots, A_n, \text{not } A_{n+1}, \dots, \text{not } A_o\}$$

$$\text{body}^+(r) = \{A_{m+1}, \dots, A_n\}$$

$$\text{body}^-(r) = \{A_{n+1}, \dots, A_o\}$$

- A program is called **positive** if  $\text{body}^-(r) = \emptyset$  for all its rules.

# Overview

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# Answer sets

## ■ Positive programs:

- A set  $X$  of atoms is **closed under** a positive program  $\Pi$  iff for any  $r \in \Pi$ ,  $head(r) \cap X \neq \emptyset$  whenever  $body^+(r) \subseteq X$ .
  - ➡  $X$  corresponds to a model of  $\Pi$  (seen as a formula).
- The set of all  $\subseteq$ -minimal sets of atoms being closed under a positive program  $\Pi$  is denoted by  $\min_{\subseteq}(\Pi)$ .
  - ➡  $\min_{\subseteq}(\Pi)$  corresponds to the  $\subseteq$ -minimal models of  $\Pi$  (ditto).

## ■ Disjunctive programs:

The reduct,  $\Pi^X$ , of a disjunctive program  $\Pi$  relative to a set  $X$  of atoms is defined by

$$\Pi^X = \{head(r) \leftarrow body^+(r) \mid r \in \Pi \text{ and } body^-(r) \cap X = \emptyset\}.$$

A set  $X$  of atoms is an answer set of a disjunctive program  $\Pi$  if  $X \in \min_{\subseteq}(\Pi^X)$ .

- FYI: The alternative definition on Page 104 is applicable as well.

# Answer sets

## ■ Positive programs:

- A set  $X$  of atoms is **closed under** a positive program  $\Pi$  iff for any  $r \in \Pi$ ,  $head(r) \cap X \neq \emptyset$  whenever  $body^+(r) \subseteq X$ .
  - ➡  $X$  corresponds to a model of  $\Pi$  (seen as a formula).
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## ■ Disjunctive programs:

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# Answer sets

## ■ Positive programs:

- A set  $X$  of atoms is **closed under** a positive program  $\Pi$  iff for any  $r \in \Pi$ ,  $head(r) \cap X \neq \emptyset$  whenever  $body^+(r) \subseteq X$ .
  - ➡  $X$  corresponds to a model of  $\Pi$  (seen as a formula).
- The set of all  $\subseteq$ -minimal sets of atoms being closed under a positive program  $\Pi$  is denoted by  $\min_{\subseteq}(\Pi)$ .
  - ➡  $\min_{\subseteq}(\Pi)$  corresponds to the  $\subseteq$ -minimal models of  $\Pi$  (ditto).

## ■ Disjunctive programs:

- The **reduct**,  $\Pi^X$ , of a disjunctive program  $\Pi$  relative to a set  $X$  of atoms is defined by

$$\Pi^X = \{head(r) \leftarrow body^+(r) \mid r \in \Pi \text{ and } body^-(r) \cap X = \emptyset\}.$$

- A set  $X$  of atoms is an **answer set** of a disjunctive program  $\Pi$  if  $X \in \min_{\subseteq}(\Pi^X)$ .

- FYI: The alternative definition on Page 104 is applicable as well.

# Answer sets

## ■ Positive programs:

- A set  $X$  of atoms is **closed under** a positive program  $\Pi$  iff for any  $r \in \Pi$ ,  $head(r) \cap X \neq \emptyset$  whenever  $body^+(r) \subseteq X$ .
  - ➡  $X$  corresponds to a model of  $\Pi$  (seen as a formula).
- The set of all  $\subseteq$ -minimal sets of atoms being closed under a positive program  $\Pi$  is denoted by  $\min_{\subseteq}(\Pi)$ .
  - ➡  $\min_{\subseteq}(\Pi)$  corresponds to the  $\subseteq$ -minimal models of  $\Pi$  (ditto).

## ■ Disjunctive programs:

- The **reduct**,  $\Pi^X$ , of a disjunctive program  $\Pi$  relative to a set  $X$  of atoms is defined by

$$\Pi^X = \{head(r) \leftarrow body^+(r) \mid r \in \Pi \text{ and } body^-(r) \cap X = \emptyset\}.$$

- A set  $X$  of atoms is an **answer set** of a disjunctive program  $\Pi$  if  $X \in \min_{\subseteq}(\Pi^X)$ .
- FYI: The alternative definition on Page 104 is applicable as well.



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## A “positive” example

$$\Pi = \left\{ \begin{array}{cc} a & \leftarrow \\ b ; c & \leftarrow a \end{array} \right\}$$

- The sets  $\{a, b\}$ ,  $\{a, c\}$ , and  $\{a, b, c\}$  are closed under  $\Pi$ .
- We have  $\min_{\subseteq}(\Pi) = \{ \{a, b\}, \{a, c\} \}$ .

## A “positive” example

$$\Pi = \left\{ \begin{array}{ccc} a & \leftarrow & \\ b; c & \leftarrow & a \end{array} \right\}$$

- The sets  $\{a, b\}$ ,  $\{a, c\}$ , and  $\{a, b, c\}$  are closed under  $\Pi$ .
- We have  $\min_{\subseteq}(\Pi) = \{ \{a, b\}, \{a, c\} \}$ .

## A “positive” example

$$\Pi = \left\{ \begin{array}{ccc} a & \leftarrow & \\ b ; c & \leftarrow & a \end{array} \right\}$$

- The sets  $\{a, b\}$ ,  $\{a, c\}$ , and  $\{a, b, c\}$  are closed under  $\Pi$ .
- We have  $\min_{\subseteq}(\Pi) = \{ \{a, b\}, \{a, c\} \}$ .

## 3-colorability revisited

```
node(1..6).
```

```
edge(1,2;3;4).   edge(2,4;5;6).   edge(3,1;4;5).
```

```
edge(4,1;2).     edge(5,3;4;6).   edge(6,2;3;5).
```

```
colored(X,r) | colored(X,b) | colored(X,g) :- node(X).  
:- edge(X,Y), color(X,C), color(Y,C).
```

## 3-colorability revisited

```
node(1..6).
```

```
edge(1,2;3;4).   edge(2,4;5;6).   edge(3,1;4;5).  
edge(4,1;2).     edge(5,3;4;6).   edge(6,2;3;5).
```

```
col(r). col(b). col(g).  
colored(X,C) : col(X) :- node(X).  
:- edge(X,Y), color(X,C), color(Y,C).
```

## More Examples

- $\Pi_1 = \{a ; b ; c \leftarrow\}$  has answer sets  $\{a\}$ ,  $\{b\}$ , and  $\{c\}$ .
- $\Pi_2 = \{a ; b ; c \leftarrow , \leftarrow a\}$  has answer sets  $\{b\}$  and  $\{c\}$ .
- $\Pi_3 = \{a ; b ; c \leftarrow , \leftarrow a , b \leftarrow c , c \leftarrow b\}$  has answer set  $\{b, c\}$ .
- $\Pi_4 = \{a ; b \leftarrow c , b \leftarrow \textit{not } a, \textit{not } c , a ; c \leftarrow \textit{not } b\}$   
has answer sets  $\{a\}$  and  $\{b\}$ .

## More Examples

- $\Pi_1 = \{a ; b ; c \leftarrow\}$  has answer sets  $\{a\}$ ,  $\{b\}$ , and  $\{c\}$ .
- $\Pi_2 = \{a ; b ; c \leftarrow , \leftarrow a\}$  has answer sets  $\{b\}$  and  $\{c\}$ .
- $\Pi_3 = \{a ; b ; c \leftarrow , \leftarrow a , b \leftarrow c , c \leftarrow b\}$  has answer set  $\{b, c\}$ .
- $\Pi_4 = \{a ; b \leftarrow c , b \leftarrow \textit{not } a, \textit{not } c , a ; c \leftarrow \textit{not } b\}$   
has answer sets  $\{a\}$  and  $\{b\}$ .



## More Examples

- $\Pi_1 = \{a ; b ; c \leftarrow\}$  has answer sets  $\{a\}$ ,  $\{b\}$ , and  $\{c\}$ .
- $\Pi_2 = \{a ; b ; c \leftarrow , \leftarrow a\}$  has answer sets  $\{b\}$  and  $\{c\}$ .
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## Answer set: Some properties

- A disjunctive logic program may have zero, one, or multiple answer sets.
- If  $X$  is an answer set of a disjunctive logic program  $\Pi$ , then  $X$  is a model of  $\Pi$  (seen as a formula).
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# An example with variables

$$\Pi = \left\{ \begin{array}{ll} a(1, 2) & \leftarrow \\ b(X) ; c(Y) & \leftarrow a(X, Y), \text{not } c(Y) \end{array} \right\}$$

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# Nested logic programs: Overview

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- Formulas are formed from

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- $\top$  and  $\perp$

using

- negation-as-failure (*not*),
  - conjunction ( $\wedge$ ), and
  - disjunction ( $\vee$ ).
- A nested rule,  $r$ , is an ordered pair of the form  $F \leftarrow G$  where  $F$  and  $G$  are formulas.
  - A nested program is a finite set of rules.
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## Satisfaction relation

- The **satisfaction relation**  $X \models F$  between a set of atoms and a formula  $F$  is defined recursively as follows:
  - $X \models F$  if  $F \in X$  for an atom  $F$ ,
  - $X \models \top$ ,
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- A set  $X$  of atoms satisfies a nested program  $\Pi$ , written  $X \models \Pi$ , iff for any  $r \in \Pi$ ,  $X \models \text{head}(r)$  whenever  $X \models \text{body}(r)$ .
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## Two examples

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For  $X = \emptyset$ , we get

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In general,

$F \leftarrow G, \text{ not not } H$  is equivalent to  $F ; \text{not } H \leftarrow G$

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➡ Intuitionistic Logics HT (Heyting, 1930) and G3 (Gödel, 1932)



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## Some more examples

$$\Pi_3 = \{p \leftarrow (q, r) ; (not\ q, not\ s)\}$$

$$\Pi_4 = \{(p ; not\ p), (q ; not\ q), (r ; not\ r) \leftarrow \top\}$$

$$\Pi_5 = \{(p ; not\ p), (q ; not\ q), (r ; not\ r) \leftarrow \top, \perp \leftarrow p, q\}$$



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# Propositional theories

- Formulas are formed from
  - propositional atoms and
  - $\perp$

using

- conjunction ( $\wedge$ ),
- disjunction ( $\vee$ ), and
- implication ( $\rightarrow$ ).

- Notation

$$\top = (\perp \rightarrow \perp)$$

$$\sim F = (F \rightarrow \perp) \quad (\text{or: } \textit{not } F)$$

- A propositional theory is a finite set of formulas.

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- The satisfaction relation  $X \models F$  between a set  $X$  of atoms and a (set of) formula(s)  $F$  is defined as in propositional logic.
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## Relationship with logic programs

- The translation,  $\tau[(F \leftarrow G)]$ , of a (nested) rule  $(F \leftarrow G)$  is defined recursively as follows:

- $\tau[(F \leftarrow G)] = (\tau[G] \rightarrow \tau[F])$ ,
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# Logic programs as propositional theories

- The normal logic program  $\Pi = \{p \leftarrow \text{not } q, q \leftarrow \text{not } p\}$  corresponds to  $\tau[\Pi] = \{\sim q \rightarrow p, \sim p \rightarrow q\}$ .

➡ Answer sets:  $\{p\}$  and  $\{q\}$

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# Classical Negation: Overview

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# Syntax

## Status quo

- In logic programs *not* (or  $\sim$ ) denotes **default negation**.

## Generalization

- We allow classical negation for atoms (only!).
  - Logic programs in “negation normal form.”
- Given an alphabet  $\mathcal{A}$  of atoms, let  $\overline{\mathcal{A}} = \{\neg A \mid A \in \mathcal{A}\}$ .
  - ☞ We assume  $\mathcal{A} \cap \overline{\mathcal{A}} = \emptyset$ .
- The atoms  $A$  and  $\neg A$  are complementary.
  - $\neg A$  is the classical negation of  $A$ , and vice versa.

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# Semantics

- A set  $X$  of atoms is **consistent**, if  $X \cap \{\neg A \mid A \in (\mathcal{A} \cap X)\} = \emptyset$ , and **inconsistent**, otherwise.
- A set  $X$  of atoms is an answer set of a logic program  $\Pi$  over  $\mathcal{A} \cup \overline{\mathcal{A}}$  if  $X$  is an answer set of  $\Pi \cup \{B \leftarrow A, \neg A \mid A \in \mathcal{A}, B \in (\mathcal{A} \cup \overline{\mathcal{A}})\}$ 
  - ➡ The only inconsistent answer set (candidate) is  $X = \mathcal{A} \cup \overline{\mathcal{A}}$ .
- For a normal or disjunctive logic program  $\Pi$  over  $\mathcal{A} \cup \overline{\mathcal{A}}$ , exactly one of the following two cases applies:
  - 1 All answer sets of  $\Pi$  are consistent or
  - 2  $X = \mathcal{A} \cup \overline{\mathcal{A}}$  is the only answer set of  $\Pi$ .

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  - ➡ The only inconsistent answer set (candidate) is  $X = \mathcal{A} \cup \overline{\mathcal{A}}$ .
- For a normal or disjunctive logic program  $\Pi$  over  $\mathcal{A} \cup \overline{\mathcal{A}}$ , exactly one of the following two cases applies:
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# Overview

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28 Semantics

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# To cross or not to cross...?

- $\Pi_1 = \{cross \leftarrow not\ train\}$ 
  - Answer set:  $\{cross\}$
- $\Pi_2 = \{cross \leftarrow \neg train\}$ 
  - Answer set:  $\emptyset$
- $\Pi_3 = \{cross \leftarrow \neg train, \neg train \leftarrow\}$ 
  - Answer set:  $\{cross, \neg train\}$
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$$\blacksquare \Pi = \{p \leftarrow, \neg p \leftarrow, q \leftarrow \text{not } r\}$$

$$\Pi' = \Pi \cup \{A \leftarrow (B, \neg B), \neg A \leftarrow (B, \neg B) \mid A, B \in \{p, q, r\}\}$$

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# Complexity

Let  $A$  be an atom and  $X$  be a set of atoms.

- For a **positive normal** logic program  $\Pi$ :
  - Deciding whether  $X$  is the answer set of  $\Pi$  is **P**-complete.
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# Language Extensions: Overview

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31 Integrity Constraints

32 Choice Rules

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35 Weight Constraints (and more)

36 Modeling Practice

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- The expressiveness of a language can be enhanced by introducing new constructs.
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# Integrity Constraints

- Purpose Integrity constraints eliminate unwanted solution candidates
- Syntax An integrity constraint is of the form

$$\leftarrow A_1, \dots, A_m, \text{not } A_{m+1}, \dots, \text{not } A_n,$$

where  $n \geq m \geq 1$ , and each  $A_i$  ( $1 \leq i \leq n$ ) is a atom.

- Example  $\text{:- edge}(X,Y), \text{color}(X,C), \text{color}(Y,C).$
- Implementation For a new symbol  $x$ , map

$$\begin{aligned} &\leftarrow A_1, \dots, A_m, \text{not } A_{m+1}, \dots, \text{not } A_n \\ \mapsto &x \leftarrow A_1, \dots, A_m, \text{not } A_{m+1}, \dots, \text{not } A_n, \text{not } x \end{aligned}$$

- Another example  $\Pi = \{p \leftarrow \text{not } q, q \leftarrow \text{not } p\}$   
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- Purpose Integrity constraints eliminate unwanted solution candidates
- Syntax An integrity constraint is of the form

$$\leftarrow A_1, \dots, A_m, \text{not } A_{m+1}, \dots, \text{not } A_n,$$

where  $n \geq m \geq 1$ , and each  $A_i$  ( $1 \leq i \leq n$ ) is a atom.

- Example  $\text{:- edge}(X,Y), \text{color}(X,C), \text{color}(Y,C).$
- Implementation For a new symbol  $x$ , map

$$\begin{aligned} &\leftarrow A_1, \dots, A_m, \text{not } A_{m+1}, \dots, \text{not } A_n \\ \mapsto \quad x &\leftarrow A_1, \dots, A_m, \text{not } A_{m+1}, \dots, \text{not } A_n, \text{not } x \end{aligned}$$

- Another example  $\Pi = \{p \leftarrow \text{not } q, q \leftarrow \text{not } p\}$   
versus  $\Pi' = \Pi \cup \{\leftarrow p\}$  and  $\Pi'' = \Pi \cup \{\leftarrow \text{not } p\}$

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# Choice rules

- Idea Choices over subsets.
- Syntax

$$\{A_1, \dots, A_m\} \leftarrow A_{m+1}, \dots, A_n, \text{not } A_{n+1}, \dots, \text{not } A_o,$$

- Informal meaning If the body is satisfied in an answer set, then any subset of  $\{A_1, \dots, A_m\}$  can be included in the answer set.
- Example  $1 \{ \text{color}(X, C) : \text{col}(C) \} 1 \text{ :- node}(X).$
- Another Example The program  $\Pi = \{ \{a\} \leftarrow b, b \leftarrow \}$  has two answer sets:  $\{b\}$  and  $\{a, b\}$ .
- Implementation `lparse/gringo + smodels/cmodels/clasp`

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## Embedding in normal logic programs

- A choice rule of form

$$\{A_1, \dots, A_m\} \leftarrow A_{m+1}, \dots, A_n, \text{not } A_{n+1}, \dots, \text{not } A_o$$

can be translated into  $2m + 1$  rules

$$\begin{array}{lcl} A & \leftarrow & A_{m+1}, \dots, A_n, \text{not } A_{n+1}, \dots, \text{not } A_o \\ A_1 & \leftarrow & A, \text{not } \overline{A_1} \quad \dots \quad A_m \leftarrow A, \text{not } \overline{A_m} \\ \overline{A_1} & \leftarrow & \text{not } A_1 \quad \dots \quad \overline{A_m} \leftarrow \text{not } A_m \end{array}$$

by introducing new atoms  $A, \overline{A_1}, \dots, \overline{A_m}$ .



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# Cardinality constraints

- Syntax A (positive) cardinality constraint is of the form  
 $l \{A_1, \dots, A_m\} u$
- **Informal** meaning A cardinality constraint is satisfied in an answer set  $X$ , if the number of atoms from  $\{A_1, \dots, A_m\}$  satisfied in  $X$  is between  $l$  and  $u$  (inclusive).  
 More formally, if  $l \leq |\{A_1, \dots, A_m\} \cap X| \leq u$ .
- Conditions  $l \{A_1 : B_1, \dots, A_m : B_m\} u$   
 where  $B_1, \dots, B_m$  are used for restricting instantiations of variables occurring in  $A_1, \dots, A_m$ .
- Example 2  $\{hd(a), \dots, hd(m)\} 4$
- Implementation `lparse/gringo + smodels/cmodels/clasp`

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# Cardinality rules

- Idea Control cardinality of subsets.
- Syntax

$$A_0 \leftarrow l \{A_1, \dots, A_m, \text{not } A_{m+1}, \dots, \text{not } A_n\}$$

- Informal meaning If at least  $l$  elements of the “body” are true in an answer set, then add  $A_0$  to the answer set.  
 ➡  $l$  is a **lower bound** on the “body”
- Example The program  $\Pi = \{ a \leftarrow 1\{b, c\}, b \leftarrow \}$  has one answer set:  $\{a, b\}$ .
- Implementation `lparse/gringo + smodels/cmodels/clasp`  
 ➡ `gringo` distinguishes sets and multi-sets!

## Embedding in normal logic programs (ctd)

- Replace each cardinality rule

$$A_0 \leftarrow I \{A_1, \dots, A_m\} \quad \text{by} \quad A_0 \leftarrow cc(A_1, I)$$

where atom  $cc(A_i, j)$  represents the fact that at least  $j$  of the atoms in  $\{A_i, \dots, A_m\}$ , that is, of the atoms that have an equal or greater index than  $i$ , are in a particular answer set.

- The definition of  $cc(A_i, j)$  is given by the rules

$$\begin{aligned} cc(A_i, j+1) &\leftarrow cc(A_{i+1}, j), A_i \\ cc(A_i, j) &\leftarrow cc(A_{i+1}, j) \\ cc(A_{m+1}, 0) &\leftarrow \end{aligned}$$

- What about space complexity?

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- What about space complexity?

... and vice versa

■ A normal rule

$$A_0 \leftarrow A_1, \dots, A_m, \text{not } A_{m+1}, \dots, \text{not } A_n,$$

can be represented by the cardinality rule

$$A_0 \leftarrow n+m \{A_1, \dots, A_m, \text{not } A_{m+1}, \dots, \text{not } A_n\}.$$

# Cardinality rules with upper bounds

- A rule of the form

$$A_0 \leftarrow I \{A_1, \dots, A_m, \text{not } A_{m+1}, \dots, \text{not } A_n\} \ u$$

stands for

$$A_0 \leftarrow B, \text{not } C$$

$$B \leftarrow I \{A_1, \dots, A_m, \text{not } A_{m+1}, \dots, \text{not } A_n\}$$

$$C \leftarrow u+1 \{A_1, \dots, A_m, \text{not } A_{m+1}, \dots, \text{not } A_n\}$$



# Cardinality constraints as heads

- A rule of the form

$$I \{A_1, \dots, A_m\} \ u \leftarrow A_{m+1}, \dots, A_n, \text{not } A_{n+1}, \dots, \text{not } A_o,$$

stands for

$$\begin{aligned} B &\leftarrow A_{m+1}, \dots, A_n, \text{not } A_{n+1}, \dots, \text{not } A_o \\ \{A_1, \dots, A_m\} &\leftarrow B \\ C &\leftarrow I \{A_1, \dots, A_m\} \ u \\ &\leftarrow B, \text{not } C \end{aligned}$$

## Full-fledged cardinality rules

- A rule of the form

$$l_0 \ S_0 \ u_0 \leftarrow l_1 \ S_1 \ u_1, \dots, l_n \ S_n \ u_n$$

stands for  $0 \leq i \leq n$

$$B_i \leftarrow l_i \ S_i$$

$$C_i \leftarrow u_{i+1} \ S_i$$

$$A \leftarrow B_1, \dots, B_n, \text{not } C_1, \dots, \text{not } C_n$$

$$\leftarrow A, \text{not } B_0$$

$$\leftarrow A, C_0$$

$$S_0 \cap \mathcal{A} \leftarrow A$$

where  $\mathcal{A}$  is the underlying alphabet.

## Full-fledged cardinality rules

- A rule of the form

$$l_0 S_0 u_0 \leftarrow l_1 S_1 u_1, \dots, l_n S_n u_n$$

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$$C_i \leftarrow u_{i+1} S_i$$

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# Weight constraints

- Syntax  $I [A_1 = w_1, \dots, A_m = w_m,$   
 $\quad \quad \quad \text{not } A_{m+1} = w_{m+1}, \dots, \text{not } A_n = w_n] u$
- **Informal** meaning A weight constraint is satisfied in an answer set  $X$ ,  
 if

$$I \leq \left( \sum_{1 \leq i \leq m, A_i \in X} w_i + \sum_{m < i \leq n, A_i \notin X} w_i \right) \leq u .$$

➡ Generalization of cardinality constraints.

- Example 80  $[\text{hd}(a)=50, \dots, \text{hd}(m)=100] 400$
- Implementation `lparse/gringo + smodels/cmodels/clasp`
  - ☞ `gringo` distinguishes sets and multi-sets!

# Optimization statements

- Idea Compute optimal answer sets by minimizing or maximizing a weighted sum of given elements, respectively.
- Syntax
  - *#minimize* [ $A_1 = w_1, \dots, A_m = w_m,$   
 $\text{not } A_{m+1} = w_{m+1}, \dots, \text{not } A_n = w_n$ ]
  - *#maximize* [ $A_1 = w_1, \dots, A_m = w_m,$   
 $\text{not } A_{m+1} = w_{m+1}, \dots, \text{not } A_n = w_n$ ]
- Several optimization statements are interpreted lexicographically.
- Example
  - *#minimize* [ $\text{hd}(a)=30, \dots, \text{hd}(m)=50$ ]
  - *#minimize* [ $\text{road}(X,Y) : \text{length}(X,Y,L) = L$ ]
- Implementation *lparse/gringo* + *smodels/clasp*

## Weak integrity constraints

- Syntax :  $\sim A_1, \dots, A_m, \text{not } A_{m+1}, \dots, \text{not } A_n [w : l]$
- Informal meaning
  - 1 minimize the sum of weights of violated constraints in the highest level;
  - 2 minimize the sum of weights of violated constraints in the next lower level;
  - 3 etc
- Implementation **dlv**

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## Conditional literals in `lp` and `gringo`

- We often want to encode the contents of a (multi-)set rather than enumerating each of the elements.
- To support this, `lp` and `gringo` allow for **conditional literals**.

- Syntax

$$A_0 : A_1 : \dots : A_m : \text{not } A_{m+1} : \dots : \text{not } A_n$$

- Informal meaning

List all ground instances of  $A_0$  such that corresponding instances of  $A_1, \dots, A_m, \text{not } A_{m+1}, \dots, \text{not } A_n$  are true.

- Example `gringo` instantiates the program:

```
p(1). p(2). p(3). q(2). {r(X) : p(X) : not q(X)}.
```

to:

```
p(1). p(2). p(3). q(2). {r(1), r(3)}.
```

## Domain predicates in `lparse` and `gringo`

- The predicates of literals on the right-hand side of a colon (`:`) must be defined from facts without any negative recursion.
- Such **domain predicates** are fully evaluated by `lparse` and `gringo`.

- Example

```
p(1). p(2).  
q(X) :- p(X), not p(X+1).  
q(X) :- p(X), q(X+1).  
r(X) :- p(X), not r(X+1).
```

- `p/1` and `q/1` are domain predicates because none of them negatively depends on itself.
  - `r/1` is not a domain predicate because it is defined in terms of `not r(X+1)`.
- See `gringo` documentation for further details.

## Normal form in `lparse` and `gringo`

- Consider a logic program consisting of
  - normal rules
  - choice rules
  - cardinality rules
  - weight rules
  - optimization statements
- Such a format is obtained by `lparse` or `gringo` and directly implemented by `smodels` and `clasp`.

# Aggregates: Overview

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# Overview

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# Motivation

- Aggregates provide a general way to obtain a single value from a collection of input values given as a set, a bag, or a list.
- Popular aggregate (functions):
  - Average
  - Count
  - Maximum
  - Minimum
  - Sum
- Cardinality and Weight constraints rely on Count and Sum aggregates.

# Overview

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## Syntax

- An **aggregate** has the form:

$$F \langle A_1 = w_1, \dots, A_m = w_m, \text{not } A_{m+1} = w_{m+1}, \dots, \text{not } A_n = w_n \rangle \prec k$$

where

- $F$  stands for a function mapping multi-sets of  $\mathbb{Z}$  to  $\mathbb{Z} \cup \{+\infty, -\infty\}$ ,
- $\prec$  stands for a relation between  $\mathbb{Z} \cup \{+\infty, -\infty\}$  and  $\mathbb{Z}$ ,
- $k$  an integer,
- $A_i$  is an atom, and
- $w_i$  are integers

for  $1 \leq i \leq n$ .

- For instance,  $\text{sum} \langle \text{hd}(a) = 30, \dots, \text{hd}(m) = 50 \rangle \leq 300$



# Overview

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## Semantics

- A (positive) aggregate  $F \langle A_1 = w_1, \dots, A_n = w_n \rangle \prec k$  can be represented by the formula:

$$\bigwedge_{I \subseteq \{1, \dots, n\}, F \langle w_i | i \in I \rangle \not\prec k} \left( \bigwedge_{i \in I} A_i \rightarrow \bigvee_{i \in \bar{I}} A_i \right)$$

where  $\bar{I} = \{1, \dots, n\} \setminus I$  and  $\not\prec$  is the complement of  $\prec$ .

- Then,  $F \langle A_1 = w_1, \dots, A_n = w_n \rangle \prec k$  is true in  $X$  iff the above formula is true in  $X$ .

## An example

- Consider  $\text{sum}\langle p = 1, q = 1 \rangle \neq 1$   
     ↳ that is,  $A_1 = p$ ,  $A_2 = q$  and  $w_1 = 1$ ,  $w_2 = 1$
- Calculemus!

$I$	$\langle w_i \mid i \in I \rangle$	$\sum \langle w_i \mid i \in I \rangle$	$\sum \langle w_i \mid i \in I \rangle = 1$
$\emptyset$	$\langle \rangle$	0	<i>false</i>
$\{1\}$	$\langle 1 \rangle$	1	<i>true</i>
$\{2\}$	$\langle 1 \rangle$	1	<i>true</i>
$\{1, 2\}$	$\langle 1, 1 \rangle$	2	<i>false</i>

- We get  $(p \rightarrow q) \wedge (q \rightarrow p)$
- Analogously, we obtain  $(p \vee q) \wedge \neg(p \wedge q)$  for  $\text{sum}\langle p = 1, q = 1 \rangle = 1$ .

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# Monotonicity

## ■ Monotone aggregates

### ■ For instance,

■  $body^+(r)$

■  $sum\langle p = 1, q = 1 \rangle > 1$  amounts to  $p \wedge q$

■ We get a simpler characterization:  $\bigwedge_{I \subseteq \{1, \dots, n\}, F\langle w_i | i \in I \rangle \not\models k} \bigvee_{i \in \bar{I}} A_i$

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# The smodels approach: Overview

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# Overview

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## (Towards) the `smodels` approach

- **Wanted:**
  - An efficient procedure to compute answer sets
- **The `smodels` approach:**
  - Backtracking search building a binary search tree
  - A node in the search tree corresponds to a 3-valued interpretation
  - The search space is pruned by
    - deriving deterministic consequences and detecting conflicts (**expand**)
    - making one choice at a time by appeal to a heuristic (**select**)
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# Approximating answer sets

**First Idea** Approximate an answer set  $X$  by two sets of atoms  $L$  and  $U$  such that  $L \subseteq X \subseteq U$ .

- ➡  $L$  and  $U$  constitute lower and upper bounds on  $X$ .
- ➡  $L$  and  $(\mathcal{A} \setminus U)$  describe a 3-valued model of the program.

Observation

$$X \subseteq Y \text{ implies } \Pi^Y \subseteq \Pi^X \text{ implies } Cn(\Pi^Y) \subseteq Cn(\Pi^X)$$

**Properties** Let  $X$  be an answer set of normal logic program  $\Pi$ .

- If  $L \subseteq X$ , then  $X \subseteq Cn(\Pi^L)$ .
- If  $X \subseteq U$ , then  $Cn(\Pi^U) \subseteq X$ .
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# Approximating answer sets

First Idea Approximate an answer set  $X$  by two sets of atoms  $L$  and  $U$  such that  $L \subseteq X \subseteq U$ .

- ➡  $L$  and  $U$  constitute lower and upper bounds on  $X$ .
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# Approximating answer sets (ctd)

## Second Idea

### Iterate

- Replace  $L$  by  $L \cup Cn(\Pi^U)$
- Replace  $U$  by  $U \cap Cn(\Pi^L)$

until  $L$  and  $U$  do not change anymore.

## Observations

- At each iteration step
  - $L$  becomes larger (or equal)
  - $U$  becomes smaller (or equal)
- $L \subseteq X \subseteq U$  is invariant for every answer set  $X$  of  $\Pi$
- If  $L \not\subseteq U$ , then  $\Pi$  has no answer set!
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# The simplistic expand algorithm

```

expand( $L, U$ )
  repeat
     $L' \leftarrow L$ 
     $U' \leftarrow U$ 
     $L \leftarrow L' \cup Cn(\Pi^{U'})$ 
     $U \leftarrow U' \cap Cn(\Pi^{L'})$ 
    if  $L \not\subseteq U$  then return
  until  $L = L'$  and  $U = U'$ 

```

☞  $\Pi$  is a global parameter!

Let's expand!

$$\Pi = \left\{ \begin{array}{l} a \leftarrow \\ b \leftarrow a, \text{not } c \\ d \leftarrow b, \text{not } e \\ e \leftarrow \text{not } d \end{array} \right\}$$

	$L'$	$Cn(\Pi^{U'})$	$L$	$U'$	$Cn(\Pi^{L'})$	$U$
1	$\emptyset$	$\{a\}$	$\{a\}$	$\{a, b, c, d, e\}$	$\{a, b, d, e\}$	$\{a, b, d, e\}$
2	$\{a\}$	$\{a, b\}$	$\{a, b\}$	$\{a, b, d, e\}$	$\{a, b, d, e\}$	$\{a, b, d, e\}$
3	$\{a, b\}$	$\{a, b\}$	$\{a, b\}$	$\{a, b, d, e\}$	$\{a, b, d, e\}$	$\{a, b, d, e\}$

- We have  $\{a, b\} \subseteq X$  and  
 $(\mathcal{A} \setminus \{a, b, d, e\}) \cap X = (\{c\} \cap X) = \emptyset$   
 for every answer set  $X$  of  $\Pi$ .



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## The simplistic expand algorithm (ctd)

### **expand**

- tightens the approximation on answer sets
- is answer set preserving

Let's expand with  $d$  !

$$\Pi = \left\{ \begin{array}{l} a \leftarrow \\ b \leftarrow a, \text{ not } c \\ d \leftarrow b, \text{ not } e \\ e \leftarrow \text{ not } d \end{array} \right\}$$

	$L'$	$Cn(\Pi^{U'})$	$L$	$U'$	$Cn(\Pi^{L'})$	$U$
1	$\{d\}$	$\{a\}$	$\{a, d\}$	$\{a, b, c, d, e\}$	$\{a, b, d\}$	$\{a, b, d\}$
2	$\{a, d\}$	$\{a, b, d\}$	$\{a, b, d\}$	$\{a, b, d\}$	$\{a, b, d\}$	$\{a, b, d\}$
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## Interlude: Partial interpretations

or: 3-valued interpretations

A **partial interpretation** of a logic program  $\Pi$  maps atoms on truth values:  $\{true, false, unknown\}$ .

Representation  $\langle T, F \rangle$ , where

- $T$  is the set of all *true* atoms and
- $F$  is the set of all *false* atoms.
- Truth of atoms in  $atom(\Pi) \setminus (T \cup F)$  is *unknown*.

☞ By  $atom(\Pi)$ , we denote the set of atoms occuring in  $\Pi$ .

Properties

- $\langle T, F \rangle$  is conflicting iff  $T \cap F \neq \emptyset$ .
- $\langle T, F \rangle$  is total iff  $T \cup F = atom(\Pi)$  and  $T \cap F = \emptyset$ .

Definition For  $\langle T_1, F_1 \rangle$  and  $\langle T_2, F_2 \rangle$ , define:

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# The **smodels** (decision) algorithm

Global: Normal logic program  $\Pi$

**smodels**( $\langle T, F \rangle$ )

```

 $\langle T, F \rangle \leftarrow \mathbf{expand}(\langle T, F \rangle)$ 
if  $\langle T, F \rangle$  is conflicting then return
else if  $\langle T, F \rangle$  is total then exit with  $T$ 
else
     $A \leftarrow \mathbf{select}(atom(\Pi) \setminus (T \cup F))$ 
    smodels( $\langle T \cup \{A\}, F \rangle$ )
    smodels( $\langle T, F \cup \{A\} \rangle$ )
  
```

Call: **smodels**( $\langle \emptyset, \emptyset \rangle$ )

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Global: Normal logic program  $\Pi$

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Call: **smodels**( $\langle \emptyset, \emptyset \rangle$ )

# Deterministic consequences via **expand**

Global: Normal logic program  $\Pi$

**expand**( $\langle T, F \rangle$ )

**repeat**

$\langle T, F \rangle \leftarrow \mathbf{atleast}(\langle T, F \rangle)$

**if**  $\langle T, F \rangle$  is **conflicting** **then return**  $\langle T, F \rangle$


**else**


$F' \leftarrow F$

$F \leftarrow F \cup \mathbf{atmost}(\langle T, F \rangle)$

**until**  $F = F'$

**return**  $\langle T, F \rangle$

 **atleast**( $\langle T, F \rangle$ ) derives deterministic consequences from Clark's completion

 **atmost**( $\langle T, F \rangle$ ) derives deterministic consequences from unfounded sets

# Deterministic consequences via **expand**

Global: Normal logic program  $\Pi$

**expand**( $\langle T, F \rangle$ )

**repeat**

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A glimpse at **atleast**( $\langle T, F \rangle$ )**repeat****if**  $\langle T, F \rangle$  **is conflicting** **then return**  $\langle T, F \rangle$  $\langle T', F' \rangle \leftarrow \langle T, F \rangle$ **case of** $r \in \Pi$  **such that**  $\text{head}(r) \notin T$  **and** $\text{body}^+(r) \subseteq T, \text{body}^-(r) \subseteq F$ : $T \leftarrow T \cup \{\text{head}(r)\}$  $A \in (\text{atom}(\Pi) \setminus F)$  **such that for all**  $r \in \Pi$ : $\text{head}(r) \neq A$  **or**  $(\text{body}^+(r) \cap F) \cup (\text{body}^-(r) \cap T) \neq \emptyset$ : $F \leftarrow F \cup \{A\}$  $\text{head}(r) \in F, r \in \Pi$  **such that**  $\text{body}^+(r) \cap \text{body}^-(r) = \emptyset$  **and** $(\text{body}^+(r) \setminus T) \cup (\text{body}^-(r) \setminus F) = \{A\}$ :**if**  $A \in \text{body}^+(r)$  **then**  $F \leftarrow F \cup \{A\}$  **else**  $T \leftarrow T \cup \{A\}$  $(A = \text{head}(r)) \in T, r \in \Pi$  **such that**  $\text{body}^+(r) \not\subseteq T$  **or**  $\text{body}^-(r) \not\subseteq F$  **and****for all**  $r' \in \Pi \setminus \{r\}$ :  $\text{head}(r') \neq A$  **or**  $(\text{body}^+(r') \cap F) \cup (\text{body}^-(r') \cap T) \neq \emptyset$ : $T \leftarrow T \cup \text{body}^+(r)$  $F \leftarrow F \cup \text{body}^-(r)$ **until**  $\langle T, F \rangle = \langle T', F' \rangle$ **return**  $\langle T, F \rangle$



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# Completion: Overview

44 Supported Models

45 Fitting Operator

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# Completion

Let  $\Pi$  be a normal logic program.

The completion of  $\Pi$  is defined as follows:

$$Comp(body(r)) = \bigwedge_{A \in body^+(r)} A \wedge \bigwedge_{A \in body^-(r)} \neg A$$

$$Comp(\Pi) = \{A \leftrightarrow \bigvee_{r \in \Pi, head(r)=A} Comp(body(r)) \mid A \in atom(\Pi)\}$$

- Every answer set of  $\Pi$  is a model of  $Comp(\Pi)$ , but not vice versa.
- Models of  $Comp(\Pi)$  are called the supported models of  $\Pi$ .

In other words, every answer set of  $\Pi$  is a supported model of  $\Pi$ .  
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## A first example

$$\Pi = \left\{ \begin{array}{lcl} a & \leftarrow & \\ b & \leftarrow & a \\ c & \leftarrow & b \\ c & \leftarrow & d \\ d & \leftarrow & c, e \end{array} \right\} \quad \text{Comp}(\Pi) = \left\{ \begin{array}{lcl} a & \leftrightarrow & \top \\ b & \leftrightarrow & a \\ c & \leftrightarrow & (b \vee d) \\ d & \leftrightarrow & (c \wedge e) \\ e & \leftrightarrow & \perp \end{array} \right\}$$

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## A third example

$$\Pi = \{ p \leftarrow p \} \quad \text{Comp}(\Pi) = \{ p \leftrightarrow p \}$$

- The supported models of  $\Pi$  are  $\emptyset$  and  $\{p\}$ .
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## Fitting operator: Basic idea

Idea Extend  $T_{\Pi}$  to normal logic programs.

Logical background Completion

- The head atom of a rule must be *true* if the rule's body is *true*.
- An atom must be *false* if the body of each rule having it as head is *false*.

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# Fitting operator: Definition

Let  $\Pi$  be a normal logic program.

Define

$$\Phi_{\Pi}\langle T, F \rangle = \langle \mathbf{T}_{\Pi}\langle T, F \rangle, \mathbf{F}_{\Pi}\langle T, F \rangle \rangle$$

where

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$$\Pi_1 = \left\{ \begin{array}{lll} a \leftarrow & c \leftarrow a, \text{not } d & e \leftarrow b \\ b \leftarrow \text{not } a & d \leftarrow \text{not } c, \text{not } e & e \leftarrow e \end{array} \right\}$$

Let's iterate  $\Phi_{\Pi_1}$  on  $\langle \{a\}, \{d\} \rangle$ :

$$\begin{aligned} \Phi_{\Pi_1} \langle \{a\}, \{d\} \rangle &= \langle \{a, c\}, \{b\} \rangle \\ \Phi_{\Pi_1} \langle \{a, c\}, \{b\} \rangle &= \langle \{a\}, \{b, d\} \rangle \\ \Phi_{\Pi_1} \langle \{a\}, \{b, d\} \rangle &= \langle \{a, c\}, \{b\} \rangle \\ &\vdots \end{aligned}$$

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## Fitting semantics

Define the iterative variant of  $\Phi_{\Pi}$  analogously to  $T_{\Pi}$ :

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## Fitting semantics: Properties

Let  $\Pi$  be a normal logic program.

- $\Phi_{\Pi}\langle\emptyset, \emptyset\rangle$  is monotonic.  
That is,  $\Phi_{\Pi}^i\langle\emptyset, \emptyset\rangle \sqsubseteq \Phi_{\Pi}^{i+1}\langle\emptyset, \emptyset\rangle$ .
- The Fitting semantics of  $\Pi$  is
  - not conflicting,
  - and generally not total.

## Fitting fixpoints

Let  $\Pi$  be a normal logic program,  
and let  $\langle T, F \rangle$  be a partial interpretation.

Define  $\langle T, F \rangle$  as a **Fitting fixpoint** of  $\Pi$  if  $\Phi_{\Pi} \langle T, F \rangle = \langle T, F \rangle$ .

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$$\Pi_1 = \left\{ \begin{array}{lll} a \leftarrow & c \leftarrow a, \text{not } d & e \leftarrow b \\ b \leftarrow \text{not } a & d \leftarrow \text{not } c, \text{not } e & e \leftarrow e \end{array} \right\}$$

$\Pi_1$  has three total Fitting fixpoints:

- 1  $\langle \{a, c\}, \{b, d, e\} \rangle$
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## Properties of Fitting operator

Let  $\Pi$  be a normal logic program,  
and let  $\langle T, F \rangle$  be a partial interpretation.

- Let  $\Phi_{\Pi} \langle T, F \rangle = \langle T', F' \rangle$ .

If  $X$  is an answer set of  $\Pi$  such that  $T \subseteq X$  and  $X \cap F = \emptyset$ ,  
then  $T' \subseteq X$  and  $X \cap F' = \emptyset$ .

- That is,  $\Phi_{\Pi}$  is answer set preserving.

$\Phi_{\Pi}$  can be used for approximating answer sets and so for propagation  
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That is, Fitting semantics cannot assign *false* to *a* and *b*, although they can never become *true* !

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# Overview

44 Supported Models

45 Fitting Operator

46 Implementation via smodels

47 Tightness

Rebuilding  $\text{atleast}(\langle T, F \rangle)$ 

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# Relationship with supported models

Let  $\Pi$  be a normal logic program and  $\langle T, F \rangle$  a total interpretation.

- **atleast**( $\langle T, F \rangle$ ) =  $\langle T, F \rangle$  iff  $T$  is a supported model of  $\Pi$

Assuming **atmost**( $\langle T, F \rangle$ ) =  $\emptyset$  for all  $\langle T, F \rangle$ ,  
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Reconsider:

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# Overview

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## (Non-)cyclic derivations

- Cyclic derivations are causing the mismatch between supported models and answer sets.
- Atoms in an answer set can be “derived” from a program in a finite number of steps.
- Atoms in a cycle (not being “supported from outside the cycle”) cannot be “derived” from a program in a finite number of steps.
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Let  $X$  be an answer set of normal logic program  $\Pi$ .

- For every atom  $A \in X$ , there is a finite sequence of positive rules

$$\langle r_1, \dots, r_n \rangle$$

such that

- 1  $head(r_1) = A$ ,
  - 2  $body^+(r_i) \subseteq \{head(r_j) \mid i < j \leq n\}$  for  $1 \leq i \leq n$ ,
  - 3  $r_i \in \Pi^X$  for  $1 \leq i \leq n$ .
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## Positive atom dependency graph

Let  $\Pi$  be a normal logic program.

The **positive atom dependency graph** of  $\Pi$  is a directed graph

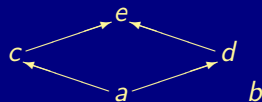
$G(\Pi) = (V, E)$  such that

- 1  $V = \text{atom}(\Pi)$  and
- 2  $E = \{(p, q) \mid r \in \Pi, p \in \text{body}^+(r), \text{head}(r) = q\}$ .

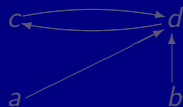


## Examples

$$\Pi_2 = \left\{ \begin{array}{ll} a \leftarrow \text{not } b & b \leftarrow \text{not } a \\ c \leftarrow a, \text{not } d & d \leftarrow a, \text{not } c \\ e \leftarrow c, \text{not } a & e \leftarrow d, \text{not } b \end{array} \right\}$$

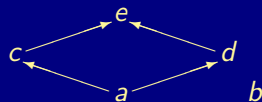


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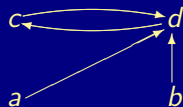


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## Tight programs

- A normal logic program  $\Pi$  is **tight** iff  $G(\Pi)$  is acyclic.
- For example,  $\Pi_2$  is tight, whereas  $\Pi_3$  is not.
- If a normal logic program  $\Pi$  is tight, then
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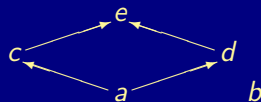
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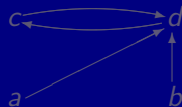
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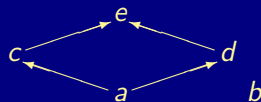

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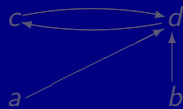


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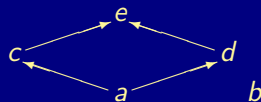
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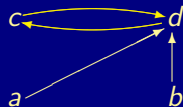
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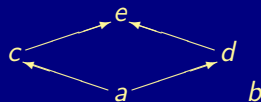


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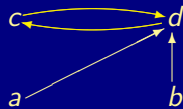
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A set  $U \subseteq \text{atom}(\Pi)$  is an **unfounded set** of  $\Pi$  with respect to  $\langle T, F \rangle$  if,  
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- $\{a\}$  is not an unfounded set of  $\Pi$  wrt  $\langle \emptyset, \emptyset \rangle$ .
- $\{a\}$  is an unfounded set of  $\Pi$  wrt  $\langle \emptyset, \{b\} \rangle$ .
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 ➡ Analogously for  $\{b\}$ .
- $\{a, b\}$  is an unfounded set of  $\Pi$  wrt  $\langle \emptyset, \emptyset \rangle$ .
- $\{a, b\}$  is an unfounded set of  $\Pi$  wrt any partial interpretation.

## Greatest unfounded sets

**Observation** The union of two unfounded sets is an unfounded set.

Let  $\Pi$  be a normal logic program,  
and let  $\langle T, F \rangle$  be a partial interpretation.

The greatest unfounded set of  $\Pi$  with respect to  $\langle T, F \rangle$ , denoted by  $\mathbf{U}_{\Pi}\langle T, F \rangle$ , is the union of all unfounded sets of  $\Pi$  with respect to  $\langle T, F \rangle$ .

- Alternatively, we may define

$$\mathbf{U}_{\Pi}\langle T, F \rangle = \text{atom}(\Pi) \setminus \text{Cn}(\{r \in \Pi \mid \text{body}^+(r) \cap F = \emptyset\}^T).$$

- Observe that  $\text{Cn}(\{r \in \Pi \mid \text{body}^+(r) \cap F = \emptyset\}^T)$  contains all non-circularly derivable atoms from  $\Pi$  wrt  $\langle T, F \rangle$ .

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# Overview

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# Well-founded operator

Let  $\Pi$  be a normal logic program,  
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Observation Condition 2 (in the definition of an unfounded set)  
corresponds to set  $\mathbf{F}_{\Pi}\langle T, F \rangle$  of Fitting's  $\Phi_{\Pi}\langle T, F \rangle$ .

Idea Extend (negative part of) Fitting's operator  $\Phi_{\Pi}$ .  
That is,

- keep definition of  $\mathbf{T}_{\Pi}\langle T, F \rangle$  from  $\Phi_{\Pi}\langle T, F \rangle$  and
- replace  $\mathbf{F}_{\Pi}\langle T, F \rangle$  from  $\Phi_{\Pi}\langle T, F \rangle$  by  $\mathbf{U}_{\Pi}\langle T, F \rangle$ .

In words, an atom must be *false*  
if it belongs to the greatest unfounded set.

Definition  $\Omega_{\Pi}\langle T, F \rangle = \langle \mathbf{T}_{\Pi}\langle T, F \rangle, \mathbf{U}_{\Pi}\langle T, F \rangle \rangle$

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Let's iterate  $\Omega_{\Pi_1}$  on  $\langle \{c\}, \emptyset \rangle$ :

$$\begin{aligned} \Omega_{\Pi_1} \langle \{c\}, \emptyset \rangle &= \langle \{a\}, \{d\} \rangle \\ \Omega_{\Pi_1} \langle \{a\}, \{d\} \rangle &= \langle \{a, c\}, \{b, e\} \rangle \\ \Omega_{\Pi_1} \langle \{a, c\}, \{b, e\} \rangle &= \langle \{a\}, \{b, d, e\} \rangle \\ \Omega_{\Pi_1} \langle \{a\}, \{b, d, e\} \rangle &= \langle \{a, c\}, \{b, e\} \rangle \\ &\vdots \end{aligned}$$

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Define the iterative variant of  $\Omega_{\Pi}$  analogously to  $\Phi_{\Pi}$ :

$$\Omega_{\Pi}^0 \langle T, F \rangle = \langle T, F \rangle \qquad \Omega_{\Pi}^{i+1} \langle T, F \rangle = \Omega_{\Pi} \Omega_{\Pi}^i \langle T, F \rangle$$

Define the well-founded semantics of a normal logic program  $\Pi$  as the partial interpretation:

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# Well-founded semantics: Properties

Let  $\Pi$  be a normal logic program.

- $\Omega_{\Pi} \langle \emptyset, \emptyset \rangle$  is monotonic.  
That is,  $\Omega_{\Pi}^i \langle \emptyset, \emptyset \rangle \subseteq \Omega_{\Pi}^{i+1} \langle \emptyset, \emptyset \rangle$ .
- The well-founded semantics of  $\Pi$  is
  - not conflicting,
  - and generally not total.
- We have  $\bigsqcup_{i \geq 0} \Phi_{\Pi}^i \langle \emptyset, \emptyset \rangle \subseteq \bigsqcup_{i \geq 0} \Omega_{\Pi}^i \langle \emptyset, \emptyset \rangle$ .

## Well-founded fixpoints

Let  $\Pi$  be a normal logic program,  
and let  $\langle T, F \rangle$  be a partial interpretation.

Define  $\langle T, F \rangle$  as a **well-founded fixpoint** of  $\Pi$  if  $\Omega_{\Pi} \langle T, F \rangle = \langle T, F \rangle$ .

- The well-founded semantics is the  $\sqsubseteq$ -least well-founded fixpoint of  $\Pi$ .
- Any other well-founded fixpoint extends the well-founded semantics.
- Total well-founded fixpoints correspond to answer sets.

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$\Pi_1$  has two total well-founded fixpoints:

- 1  $\langle \{a, c\}, \{b, d, e\} \rangle$
- 2  $\langle \{a, d\}, \{b, c, e\} \rangle$

Both of them represent answer sets.

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## Properties of well-founded operator

Let  $\Pi$  be a normal logic program,  
and let  $\langle T, F \rangle$  be a partial interpretation.

- Let  $\Omega_{\Pi} \langle T, F \rangle = \langle T', F' \rangle$ .

If  $X$  is an answer set of  $\Pi$  such that  $T \subseteq X$  and  $X \cap F = \emptyset$ ,  
then  $T' \subseteq X$  and  $X \cap F' = \emptyset$ .

- That is,  $\Omega_{\Pi}$  is answer set preserving.

$\Omega_{\Pi}$  can be used for approximating answer sets and so for propagation  
in ASP-solvers.

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## Properties of well-founded operator

Let  $\Pi$  be a normal logic program,  
and let  $\langle T, F \rangle$  be a partial interpretation.

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Rebuilding **atmost**( $\langle T, F \rangle$ )

from (greatest) unfounded sets

**return**  $\mathbf{U}_{\Pi} \langle T, F \rangle$



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# Recalling **expand**

Global: Normal logic program  $\Pi$

**expand**( $\langle T, F \rangle$ )

**repeat**

$\langle T, F \rangle \leftarrow \mathbf{atleast}(\langle T, F \rangle)$

**if**  $\langle T, F \rangle$  is **conflicting** **then return**  $\langle T, F \rangle$

**else**

$F' \leftarrow F$

$F \leftarrow F \cup \mathbf{atmost}(\langle T, F \rangle)$

**until**  $F = F'$

**return**  $\langle T, F \rangle$

- ☞ **atleast**( $\langle T, F \rangle$ ) derives deterministic consequences from Clark's completion
- ☞ **atmost**( $\langle T, F \rangle$ ) derives deterministic consequences from unfounded sets

# Relationship with well-founded semantics

Let  $\Pi$  be a normal logic program.

$$\blacksquare \text{ expand}(\langle \emptyset, \emptyset \rangle) = \bigsqcup_{i \geq 0} \Omega_{\Pi}^i \langle \emptyset, \emptyset \rangle$$

- ☞ That is, **expand** is basically an implementation of well-founded semantics !
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Let  $\Pi$  be a normal logic program and  $\langle T, F \rangle$  a total interpretation.

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Reconsider:

$$\Pi_1 = \left\{ \begin{array}{lll} a \leftarrow & c \leftarrow a, \text{not } d & e \leftarrow b \\ b \leftarrow \text{not } a & d \leftarrow \text{not } c, \text{not } e & e \leftarrow e \end{array} \right\}$$

Call	Interpretation	Result
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## Additional remarks on `smodels`

The `smodels` implementation also features:

- Extended rules
  - Cardinality constraints
  - Weight constraints
- Optimization via *minimize* and *maximize*
- Efficient counter-based propagation
- Lazy implementation of **atmost** based on “source pointers”
- Failed-literal detection, also called lookahead, for stronger propagation

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# Characterizing non-cyclic derivations

An alternative approach

**Question** Is there a propositional formula  $F(\Pi)$  such that the models of  $F(\Pi)$  correspond to the answer sets of  $\Pi$  ?

 If we consider the completion of a program,  $Comp(\Pi)$ , then the problem boils down to eliminating the circular support of atoms that are true in the supported models of  $\Pi$ .

**Idea** Add formulas to  $Comp(\Pi)$  that prohibit circular support of sets of atoms.

 Circular support between atoms  $p$  and  $q$  is possible if  $p$  has a path to  $q$  and  $q$  has a path to  $p$  in a program's positive atom dependency graph.

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# Loops

Let  $\Pi$  be a normal logic program, and  
let  $G(\Pi) = (atom(\Pi), E)$  be the positive atom dependency graph of  $\Pi$ .

- A set  $\emptyset \subset L \subseteq atom(\Pi)$  is a **loop** of  $\Pi$   
if it induces a non-trivial strongly connected subgraph of  $G(\Pi)$ .
- That is, each pair of atoms in  $L$  is connected by a path of non-zero length in  $(L, E \cap (L \times L))$ .
- We denote the set of all loops of  $\Pi$  by  $Loop(\Pi)$ .

Observation Program  $\Pi$  is tight iff  $Loop(\Pi) = \emptyset$ .

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# Loop formulas

Let  $\Pi$  be a normal logic program.

- For  $L \subseteq \text{atom}(\Pi)$ , define the **external supports** of  $L$  for  $\Pi$  as

$$ES_{\Pi}(L) = \{ r \in \Pi \mid \text{head}(r) \in L, \text{body}^+(r) \cap L = \emptyset \}.$$

- The (disjunctive) loop formula of  $L$  for  $\Pi$  is

$$\begin{aligned} LF_{\Pi}(L) &= (\bigvee_{A \in L} A) \rightarrow (\bigvee_{r \in ES_{\Pi}(L)} \text{Comp}(\text{body}(r))) \\ &\equiv (\bigwedge_{r \in ES_{\Pi}(L)} \neg \text{Comp}(\text{body}(r))) \rightarrow (\bigwedge_{A \in L} \neg A). \end{aligned}$$

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## Lin-Zhao Theorem

## Theorem

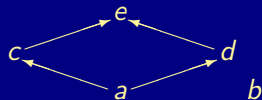
*Let  $\Pi$  be a normal logic program and  $X \subseteq \text{atom}(\Pi)$ .  
Then,  $X$  is an answer set of  $\Pi$  iff  $X \models \text{Comp}(\Pi) \cup \text{LF}(\Pi)$ .*

## Loops and loop formulas: Examples

$$\Pi_2 = \left\{ \begin{array}{ll} a \leftarrow \text{not } b & b \leftarrow \text{not } a \\ c \leftarrow a, \text{not } d & d \leftarrow a, \text{not } c \\ e \leftarrow c, \text{not } a & e \leftarrow d, \text{not } b \end{array} \right\}$$

$$\text{Loop}(\Pi_2) = \emptyset$$

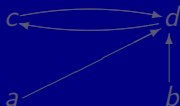
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$$\Pi_3 = \left\{ \begin{array}{ll} a \leftarrow \text{not } b & b \leftarrow \text{not } a \\ c \leftarrow \text{not } a & c \leftarrow d \\ d \leftarrow a, b & d \leftarrow c \end{array} \right\}$$

$$\text{Loop}(\Pi_3) = \{\{c, d\}\}$$

$$\text{LF}(\Pi_3) = \{(c \vee d) \rightarrow (\neg a \vee (a \wedge b))\}$$

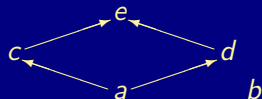


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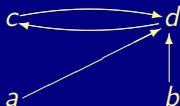
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$$\text{LF}(\Pi_3) = \{(c \vee d) \rightarrow (\neg a \vee (a \wedge b))\}$$

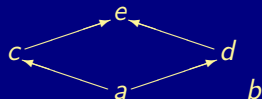


## Loops and loop formulas: Examples

$$\Pi_2 = \left\{ \begin{array}{ll} a \leftarrow \text{not } b & b \leftarrow \text{not } a \\ c \leftarrow a, \text{not } d & d \leftarrow a, \text{not } c \\ e \leftarrow c, \text{not } a & e \leftarrow d, \text{not } b \end{array} \right\}$$

$$\text{Loop}(\Pi_2) = \emptyset$$

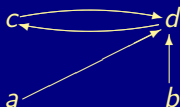
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# Loops and loop formulas: Properties

Let  $X$  be a supported model of normal logic program  $\Pi$ .

Then,  $X$  is an answer set of  $\Pi$  iff

- $X \models \{ LF_{\Pi}(U) \mid U \subseteq atom(\Pi) \};$
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## Loops and loop formulas: Properties (ctd)

If  $\mathcal{P} \not\subseteq \mathcal{NC}^1/poly$ ,<sup>1</sup> then there is no translation  $\mathcal{T}$  from logic programs to propositional formulas such that, for each normal logic program  $\Pi$ , both of the following conditions hold:

- 1 The propositional variables in  $\mathcal{T}[\Pi]$  are a subset of  $atom(\Pi)$ .
- 2 The size of  $\mathcal{T}[\Pi]$  is polynomial in the size of  $\Pi$ .
  - ⊗ Every vocabulary-preserving translation from normal logic programs to propositional formulas must be exponential (in the worst case).

### Observations

- Translation  $Comp(\Pi) \cup LF(\Pi)$  preserves the vocabulary of  $\Pi$ .
- The number of loops in  $Loop(\Pi)$  may be exponential in  $|atom(\Pi)|$ .

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<sup>1</sup>A conjecture from the theory of complexity that is widely believed to be true.

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Idea View answer set computations as derivations in an inference system

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## Tableau calculi

- Traditionally, tableau calculi are used for
  - automated theorem proving and
  - proof theoretical analysisin classical as well as non-classical logics.
- **General idea:** Given an input, prove some property by decomposition. Decomposition is done by applying deduction rules.
- For details, see [17].

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# Tableau calculi: General definitions

- A **tableau** is a (mostly binary) tree.
- A **branch** in a tableau is a path from the root to a leaf.
- A branch containing  $\gamma_1, \dots, \gamma_m$  can be extended by applying tableau rules of form:

$$\frac{\gamma_1, \dots, \gamma_m}{\alpha_1}$$

$$\vdots$$

$$\alpha_n$$

$$\frac{\gamma_1, \dots, \gamma_m}{\beta_1 \mid \dots \mid \beta_n}$$

- Rules of the former format append entries  $\alpha_1, \dots, \alpha_n$  to the branch.
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## Tableau calculus: Example

A simple tableau calculus for proving unsatisfiability of propositional formulas, composed from  $\neg$ ,  $\wedge$ , and  $\vee$ , consists of rules:

$$\frac{\neg\neg\alpha}{\alpha} \qquad \frac{\alpha_1 \wedge \alpha_2}{\alpha_1 \quad \alpha_2} \qquad \frac{\beta_1 \vee \beta_2}{\beta_1 \mid \beta_2}$$

- All rules are semantically valid, interpreting entries in a branch as connected via “and” and distinct (sub-)branches as connected via “or”.
- A propositional formula  $\varphi$  (composed from  $\neg$ ,  $\wedge$ , and  $\vee$ ) is unsatisfiable iff there is a tableau with  $\varphi$  as the root node such that
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## Tableau calculus: Example (ctd)

(1)	$a \wedge ((\neg b \wedge (\neg a \vee b)) \vee \neg \neg \neg a)$	[ $\varphi$ ]
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All three branches of the tableau are contradictory (cf. 2, 5, 7, 8, 10).

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## Tableaux and ASP: The idea

- A tableau rule captures an elementary inference scheme in an ASP-solver.
- A **branch** in a tableau corresponds to a successful or unsuccessful **computation** of an answer set.
- An **entire tableau** represents a traversal of the **search space**.

## Tableaux and ASP: Specific definitions

- A (signed) **tableau** for a logic program  $\Pi$  is a binary tree such that
  - the root node of the tree consists of the rules in  $\Pi$ ;
  - the other nodes in the tree are **entries** of the form  $\mathbf{T}v$  or  $\mathbf{F}v$ , called **signed literals**, where  $v$  is a variable,
  - generated by extending a tableau using deduction rules (given below).
- An entry  $\mathbf{T}v$  ( $\mathbf{F}v$ ) reflects that variable  $v$  is *true* (*false*) in a corresponding variable assignment.
  - ➡ A set of signed literals constitutes a partial assignment.
- For a normal logic program  $\Pi$ ,
  - atoms of  $\Pi$  in  $atom(\Pi)$  and
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# Tableau rules for ASP at a glance

[43]

$$(FTB) \quad \frac{p \leftarrow l_1, \dots, l_n \quad \mathbf{t}l_1, \dots, \mathbf{t}l_n}{\mathbf{T}\{l_1, \dots, l_n\}}$$

$$(FTA) \quad \frac{p \leftarrow l_1, \dots, l_n \quad \mathbf{T}\{l_1, \dots, l_n\}}{\mathbf{T}p}$$

$$(FFB) \quad \frac{p \leftarrow l_1, \dots, l_i, \dots, l_n \quad \mathbf{f}l_i}{\mathbf{F}\{l_1, \dots, l_i, \dots, l_n\}}$$

$$(FFA) \quad \frac{\mathbf{F}B_1, \dots, \mathbf{F}B_m}{\mathbf{F}p}$$

$$(WFN) \quad \frac{\mathbf{F}B_1, \dots, \mathbf{F}B_m}{\mathbf{F}p}$$

$$(FL) \quad \frac{\mathbf{F}B_1, \dots, \mathbf{F}B_m}{\mathbf{F}p}$$

$$(BFB) \quad \frac{\mathbf{F}\{l_1, \dots, l_i, \dots, l_n\} \quad \mathbf{t}l_1, \dots, \mathbf{t}l_{i-1}, \mathbf{t}l_{i+1}, \dots, \mathbf{t}l_n}{\mathbf{f}l_i}$$

$$(BFA) \quad \frac{p \leftarrow l_1, \dots, l_n \quad \mathbf{F}p}{\mathbf{F}\{l_1, \dots, l_n\}}$$

$$(BTB) \quad \frac{\mathbf{T}\{l_1, \dots, l_i, \dots, l_n\}}{\mathbf{t}l_i}$$

$$(\S) \quad (BTA) \quad \frac{\mathbf{T}p \quad \mathbf{F}B_1, \dots, \mathbf{F}B_{i-1}, \mathbf{F}B_{i+1}, \dots, \mathbf{F}B_m}{\mathbf{T}B_i}$$

$$(\dagger) \quad (WFJ) \quad \frac{\mathbf{T}p \quad \mathbf{F}B_1, \dots, \mathbf{F}B_{i-1}, \mathbf{F}B_{i+1}, \dots, \mathbf{F}B_m}{\mathbf{T}B_i}$$

$$(\ddagger) \quad (BL) \quad \frac{\mathbf{T}p \quad \mathbf{F}B_1, \dots, \mathbf{F}B_{i-1}, \mathbf{F}B_{i+1}, \dots, \mathbf{F}B_m}{\mathbf{T}B_i}$$

$$(\text{Cut}[X]) \quad \frac{}{\mathbf{T}_v \mid \mathbf{F}_v} \quad (\sharp[X])$$

## More concepts

- A **tableau calculus** is a set of tableau rules.
- A branch in a tableau is conflicting,  
if it contains both  $\mathbf{T}v$  and  $\mathbf{F}v$  for some variable  $v$ .
- A branch in a tableau is total for a program  $\Pi$ ,  
if it contains either  $\mathbf{T}v$  or  $\mathbf{F}v$  for each  $v \in \text{atom}(\Pi) \cup \text{body}(\Pi)$ .
- A branch in a tableau of some calculus  $\mathcal{T}$  is closed,  
if no rule in  $\mathcal{T}$  other than *Cut* can produce any new entries.
- A branch in a tableau is complete,  
if it is either conflicting or both total and closed.
- A tableau is complete,  
if all its branches are complete.
- A tableau of some calculus  $\mathcal{T}$  is a refutation of  $\mathcal{T}$  for a program  $\Pi$ ,  
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# Example

Consider the program

$$\Pi = \left\{ \begin{array}{l} a \leftarrow \\ c \leftarrow \text{not } b, \text{not } d \\ d \leftarrow a, \text{not } c \end{array} \right\}$$

having two answer sets  $\{a, c\}$  and  $\{a, d\}$ .

## (Previewed) Example

			$a \leftarrow$		
			$c \leftarrow \text{not } b, \text{not } d$		
			$d \leftarrow a, \text{not } c$		
(FTB)			$\mathbf{T}\emptyset$		
(FTA)			$\mathbf{T}a$		
(FFA)			$\mathbf{F}b$		
(Cut[atom( $\Pi$ )])		$\mathbf{T}c$		$\mathbf{F}c$	
	(BTA)	$\mathbf{T}\{\text{not } b, \text{not } d\}$		(BFA)	$\mathbf{F}\{\text{not } b, \text{not } d\}$
	(BTB)	$\mathbf{F}d$		(BFB)	$\mathbf{T}d$
	(FFB)	$\mathbf{F}\{a, \text{not } c\}$		(FTB)	$\mathbf{T}\{a, \text{not } c\}$

Recall answer sets  $\{a, c\}$  and  $\{a, d\}$ .

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(FTB)			<b>T</b> $\emptyset$		
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## Tableau rules: Auxiliary definitions

- The application of rules makes use of two conjugation functions, **t** and **f**.
- For a literal  $l$ , define:

$$\mathbf{t}l = \begin{cases} \mathbf{T}l & \text{if } l \text{ is an atom} \\ \mathbf{F}p & \text{if } l = \text{not } p \text{ for an atom } p \end{cases}$$

$$\mathbf{f}l = \begin{cases} \mathbf{F}l & \text{if } l \text{ is an atom} \\ \mathbf{T}p & \text{if } l = \text{not } p \text{ for an atom } p \end{cases}$$

### Examples

$$\mathbf{t}p = \mathbf{T}p \quad \mathbf{f}p = \mathbf{F}p \quad \mathbf{t}\text{not } p = \mathbf{F}p \quad \mathbf{f}\text{not } p = \mathbf{T}p$$

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## Tableau rules: Auxiliary definitions (ctd)

- Some tableau rules require conditions for their application. Such conditions are specified as **provisos**:

$$\frac{\textit{prerequisites}}{\textit{consequence}} \text{ (proviso)}$$

*proviso*: some condition(s)

☞ All tableau rules given in the sequel are answer set preserving.

# Forward True Body (FTB)

Prerequisites All of a body's literals are *true*.

Consequence The body is *true*.

Tableau Rule FTB

$$\frac{p \leftarrow l_1, \dots, l_n \quad \mathbf{T}l_1, \dots, \mathbf{T}l_n}{\mathbf{T}\{l_1, \dots, l_n\}}$$

Example

$$\frac{a \leftarrow b, \text{not } c \quad \mathbf{T}b \quad \mathbf{F}c}{\mathbf{T}\{b, \text{not } c\}}$$

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## Backward False Body (BFB)

**Prerequisites** A body is *false*, and all its literals except for one are *true*.

**Consequence** The residual body literal is *false*.

Tableau Rule BFB

$$\frac{\mathbf{F}\{l_1, \dots, l_i, \dots, l_n\} \quad \mathbf{t}l_1, \dots, \mathbf{t}l_{i-1}, \mathbf{t}l_{i+1}, \dots, \mathbf{t}l_n}{\mathbf{f}l_i}$$

Examples

$$\frac{\mathbf{F}\{b, \text{not } c\} \quad \mathbf{T}b}{\mathbf{T}c}$$

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Examples

$$\frac{\mathbf{F}\{b, \text{not } c\} \quad \mathbf{T}b}{\mathbf{T}c}$$

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# Forward False Body (FFB)

Prerequisites Some literal of a body is *false*.

Consequence The body is *false*.

Tableau Rule FFB

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Examples

$$\frac{a \leftarrow b, \text{not } c \quad \mathbf{F}b}{\mathbf{F}\{b, \text{not } c\}}$$

$$\frac{a \leftarrow b, \text{not } c \quad \mathbf{T}c}{\mathbf{F}\{b, \text{not } c\}}$$



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## Examples

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$$\frac{a \leftarrow b, \text{not } c \quad \mathbf{T} c}{\mathbf{F}\{b, \text{not } c\}}$$

# Backward True Body (BTB)

Prerequisites A body is *true*.

Consequence The body's literals are *true*.

Tableau Rule BTB

$$\frac{\mathbf{T}\{l_1, \dots, l_i, \dots, l_n\}}{\mathbf{t}l_i}$$

Examples

$$\frac{\mathbf{T}\{b, \text{not } c\}}{\mathbf{T}b}$$

$$\frac{\mathbf{T}\{b, \text{not } c\}}{\mathbf{F}c}$$

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Examples

$$\frac{\mathbf{T}\{b, \text{not } c\}}{\mathbf{T}b}$$

$$\frac{\mathbf{T}\{b, \text{not } c\}}{\mathbf{F}c}$$

## Reviewing tableau rules for bodies

Consider rule body  $B = \{l_1, \dots, l_n\}$ .

- Rules FTB and BFB amount to implication:

$$l_1 \wedge \dots \wedge l_n \rightarrow B$$

- Rules FFB and BTB amount to implication:

$$B \rightarrow l_1 \wedge \dots \wedge l_n$$

☞ Together they yield:

$$B \equiv l_1 \wedge \dots \wedge l_n$$

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👉 Together they yield:

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# Forward True Atom (FTA)

Prerequisites Some of an atom's bodies is *true*.

Consequence The atom is *true*.

Tableau Rule FTA

$$\frac{p \leftarrow l_1, \dots, l_n \quad \mathbf{T}\{l_1, \dots, l_n\}}{\mathbf{T}p}$$

Examples

$$\frac{a \leftarrow b, \text{not } c \quad \mathbf{T}\{b, \text{not } c\}}{\mathbf{T}a}$$

$$\frac{a \leftarrow d, \text{not } e \quad \mathbf{T}\{d, \text{not } e\}}{\mathbf{T}a}$$

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# Backward False Atom (BFA)

Prerequisites An atom is *false*.

Consequence The bodies of all rules with the atom as head are *false*.

Tableau Rule BFA

$$\frac{p \leftarrow l_1, \dots, l_n \quad \mathbf{F}p}{\mathbf{F}\{l_1, \dots, l_n\}}$$

Examples

$$\frac{a \leftarrow b, \text{not } c \quad \mathbf{F}a}{\mathbf{F}\{b, \text{not } c\}}$$

$$\frac{a \leftarrow d, \text{not } e \quad \mathbf{F}a}{\mathbf{F}\{d, \text{not } e\}}$$



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Examples

$$\frac{a \leftarrow b, \text{not } c \quad \mathbf{F}a}{\mathbf{F}\{b, \text{not } c\}}$$

$$\frac{a \leftarrow d, \text{not } e \quad \mathbf{F}a}{\mathbf{F}\{d, \text{not } e\}}$$

# Forward False Atom (FFA)

**Prerequisites** For some atom, the bodies of all rules with the atom as head are *false*.

**Consequence** The atom is *false*.

**Tableau Rule FFA**

$$\frac{\mathbf{F}B_1, \dots, \mathbf{F}B_m}{\mathbf{F}p} \quad (body(p) = \{B_1, \dots, B_m\})$$

☞ For an atom  $p$  occurring in a logic program  $\Pi$ , we let  $body(p) = \{body(r) \mid r \in \Pi, head(r) = p\}$ .

Example

$$\frac{\mathbf{F}\{b, not\ c\} \quad \mathbf{F}\{d, not\ e\}}{\mathbf{F}a} \quad (body(a) = \{\{b, not\ c\}, \{d, not\ e\}\})$$

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**Example**

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## Backward True Atom (BTA)

**Prerequisites** An atom is *true*, and the bodies of all rules with the atom as head except for one are *false*.

**Consequence** The residual body is *true*.

Tableau Rule BTA

$$\frac{\mathbf{T}p \quad \mathbf{F}B_1, \dots, \mathbf{F}B_{i-1}, \mathbf{F}B_{i+1}, \dots, \mathbf{F}B_m}{\mathbf{T}B_i} \quad (body(p) = \{B_1, \dots, B_m\})$$

Examples

$$\frac{\mathbf{T}a \quad \mathbf{F}\{b, not\ c\}}{\mathbf{T}\{d, not\ e\}} \quad (*) \qquad \frac{\mathbf{T}a \quad \mathbf{F}\{d, not\ e\}}{\mathbf{T}\{b, not\ c\}} \quad (*)$$

$$(*): \quad body(a) = \{\{b, not\ c\}, \{d, not\ e\}\}$$

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**Consequence** The residual body is *true*.

Tableau Rule BTA

$$\frac{\mathbf{T}p \quad \mathbf{F}B_1, \dots, \mathbf{F}B_{i-1}, \mathbf{F}B_{i+1}, \dots, \mathbf{F}B_m}{\mathbf{T}B_i} \quad (body(p) = \{B_1, \dots, B_m\})$$

Examples

$$\frac{\mathbf{T}a \quad \mathbf{F}\{b, not\ c\}}{\mathbf{T}\{d, not\ e\}} (*) \qquad \frac{\mathbf{T}a \quad \mathbf{F}\{d, not\ e\}}{\mathbf{T}\{b, not\ c\}} (*)$$

$$(*): \quad body(a) = \{\{b, not\ c\}, \{d, not\ e\}\}$$

## Reviewing tableau rules for atoms

Consider an atom  $p$  such that  $body(p) = \{B_1, \dots, B_m\}$ .

- Rules FTA and BFA amount to implication:

$$B_1 \vee \dots \vee B_m \rightarrow p$$

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## Relationship with Clark's completion

Let  $\Pi$  be a normal logic program.

The eight tableau rules introduced so far essentially provide:

- (straightforward) inferences from  $Comp(\Pi)$  (cf. Page 430)
- inferences via **atleast** (cf. Page 488)

Given the same partial assignment (of atoms),

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## Preliminaries for unfounded sets

Let  $\Pi$  be a normal logic program.

- For  $\Pi' \subseteq \Pi$ , define the **greatest unfounded set**, denoted by  $GUS(\Pi')$ , of  $\Pi$  with respect to  $\Pi'$  as:

$$GUS(\Pi') = atom(\Pi) \setminus Cn((\Pi')^\emptyset)$$

- For a loop  $L \in Loop(\Pi)$ , define

$$EB(L) = \{body(r) \mid r \in \Pi, head(r) \in L, body^+(r) \cap L = \emptyset\}$$

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# Well-Founded Negation (WFN)

**Prerequisites** An atom is in the greatest unfounded set with respect to rules whose bodies are *false*.

**Consequence** The atom is *false*.

**Tableau Rule WFN**

$$\frac{\mathbf{F}B_1, \dots, \mathbf{F}B_m}{\mathbf{F}p} \quad (p \in GUS(\{r \in \Pi \mid \text{body}(r) \notin \{B_1, \dots, B_m\}\}))$$

**Examples**

$$\frac{a \leftarrow not\ b \quad \mathbf{F}\{not\ b\}}{\mathbf{F}a} (*) \qquad \frac{a \leftarrow a \quad a \leftarrow not\ b \quad \mathbf{F}\{not\ b\}}{\mathbf{F}a} (*)$$

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## Reviewing well-founded tableau rules

Tableau rules WFN and WFJ ensure non-circular support for *true* atoms.  
Note that

- 1 WFN subsumes falsifying atoms via FFA,
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## Relationship with well-founded operator

Let  $\Pi$  be a normal logic program,  $\langle T, F \rangle$  a partial interpretation, and  $\Pi' = \{r \in \Pi \mid \text{body}^+(r) \cap F = \emptyset, \text{body}^-(r) \cap T = \emptyset\}$ .

Then the following conditions are equivalent:

- 1  $p \in \mathbf{U}_\Pi \langle T, F \rangle$ ; (cf. Page 530)
- 2  $p \in \mathbf{atmost}(\langle T, F \rangle)$ ; (cf. Page 568)
- 3  $p \in GUS(\Pi')$ .

➡ Well-founded operator, **atmost**, and WFN coincide.

- ⚠ In contrast to the former, WFN does not necessarily require a rule body to contain a *false* literal for the rule being inapplicable.

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## Forward Loop (FL)

Prerequisites The external bodies of a loop are *false*.

Consequence The atoms in the loop are *false*.

Tableau Rule FL

$$\frac{\mathbf{F}B_1, \dots, \mathbf{F}B_m}{\mathbf{F}p} \quad (p \in L, L \in \text{Loop}(\Pi), EB(L) = \{B_1, \dots, B_m\})$$

Example

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## Backward Loop (BL)

**Prerequisites** An atom of a loop is *true*, and all external bodies except for one are *false*.

**Consequence** The residual external body is *true*.

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## Reviewing tableau rules for loops

Tableau rules FL and BL ensure non-circular support for *true* atoms. For a loop  $L$  such that  $EB(L) = \{B_1, \dots, B_m\}$ , they amount to implication:

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Comparison to well-founded tableau rules yields:

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## Relationship with loop formulas

Tableau rules FL and BL essentially provide:

- (straightforward) inferences from loop formulas (cf. Page 589)
  - ☞ But impractical to precompute exponentially many loop formulas !
- an application of the Lin-Zhao Theorem (cf. Page 593)

In practice, ASP-solvers such as `smodels`:

- exploit strongly connected components of positive atom dependency graphs
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## Case analysis by *Cut*

Up to now, all tableau rules are deterministic.

That is, rules extend a single branch but cannot create sub-branches.

👉 In general, closing a branch leads to a partial assignment.

Case analysis is done by  $Cut[\mathcal{C}]$  where  $\mathcal{C} \subseteq atom(\Pi) \cup body(\Pi)$ .

Tableau Rule  $Cut[\mathcal{C}]$

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## Well-known tableau calculi

Fitting's operator  $\Phi$  applies forward propagation without sophisticated unfounded set checks. We have:

$$\mathcal{T}_{\Phi} = \{FTB, FTA, FFB, FFA\}$$

Well-founded operator  $\Omega$  replaces negation of single atoms with negation of unfounded sets. We have:

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# Tableau calculi characterizing ASP-solvers

ASP-solvers combine propagation with case analysis.

We obtain the following tableau calculi characterizing

[4, 63, 51, 77, 57, 54, 2]:

$$\mathcal{T}_{cmodels-1} = \mathcal{T}_{completion} \cup \{Cut[atom(\Pi) \cup body(\Pi)]\}$$

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## Proof complexity

The notion of **proof complexity** is used for describing the relative efficiency of different proof systems.

It compares proof systems based on **minimal refutations**.

➡ Proof complexity does not depend on heuristics.

A proof system  $\mathcal{T}$  polynomially simulates a proof system  $\mathcal{T}'$  if every refutation of  $\mathcal{T}'$  can be polynomially mapped to a refutation of  $\mathcal{T}$ .

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For showing that proof system  $\mathcal{T}$  does not polynomially simulate  $\mathcal{T}'$ , we have to provide an infinite **witnessing family** of programs such that minimal refutations of  $\mathcal{T}$  asymptotically are exponentially larger than minimal refutations of  $\mathcal{T}'$ .

The size of tableaux is simply the number of their entries.

👉 We do not need to know the precise number of entries:

Counting required *Cut* applications is sufficient !

# $\mathcal{T}_{\text{models}}$ versus $\mathcal{T}_{\text{noMoRe}}$

Recall that  $\mathcal{T}_{\text{models}}$  restricts  $\text{Cut}$  to  $\text{atom}(\Pi)$  and  $\mathcal{T}_{\text{noMoRe}}$  to  $\text{body}(\Pi)$ .

Are both approaches similar or is one of them superior to the other?

Let  $\{\Pi_a^n\}$ ,  $\{\Pi_b^n\}$ , and  $\{\Pi_c^n\}$  be infinite families of programs as follows:

$$\Pi_a^n = \left\{ \begin{array}{l} x \leftarrow \text{not } x \\ x \leftarrow a_1, b_1 \\ \vdots \\ x \leftarrow a_n, b_n \end{array} \right\} \quad \Pi_b^n = \left\{ \begin{array}{ll} x \leftarrow c_1, \dots, c_n, \text{not } x & \\ c_1 \leftarrow a_1 & c_1 \leftarrow b_1 \\ \vdots & \vdots \\ c_n \leftarrow a_n & c_n \leftarrow b_n \end{array} \right\} \quad \Pi_c^n = \left\{ \begin{array}{l} a_1 \leftarrow \text{not } b_1 \\ b_1 \leftarrow \text{not } a_1 \\ \vdots \\ a_n \leftarrow \text{not } b_n \\ b_n \leftarrow \text{not } a_n \end{array} \right\}$$

In minimal refutations for  $\Pi_a^n \cup \Pi_c^n$ , the number of applications of  $\text{Cut}[\text{body}(\Pi_a^n \cup \Pi_c^n)]$  with  $\mathcal{T}_{\text{noMoRe}}$  is linear in  $n$ , whereas  $\mathcal{T}_{\text{models}}$  requires exponentially many applications of  $\text{Cut}[\text{atom}(\Pi_a^n \cup \Pi_c^n)]$ .

Vice versa, minimal refutations for  $\Pi_b^n \cup \Pi_c^n$  require linearly many applications of  $\text{Cut}[\text{atom}(\Pi_b^n \cup \Pi_c^n)]$  with  $\mathcal{T}_{\text{models}}$  and exponentially many applications of  $\text{Cut}[\text{body}(\Pi_b^n \cup \Pi_c^n)]$  with  $\mathcal{T}_{\text{noMoRe}}$ .

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## Relative efficiency

As witnessed by  $\{\Pi_a^n \cup \Pi_c^n\}$  and  $\{\Pi_b^n \cup \Pi_c^n\}$ , respectively,  $\mathcal{T}_{\text{models}}$  and  $\mathcal{T}_{\text{noMoRe}}$  do not polynomially simulate one another. Any refutation of  $\mathcal{T}_{\text{models}}$  or  $\mathcal{T}_{\text{noMoRe}}$  is a refutation of  $\mathcal{T}_{\text{noMore}^{++}}$  (but not vice versa).

It follows that

- both  $\mathcal{T}_{\text{models}}$  and  $\mathcal{T}_{\text{noMoRe}}$  are polynomially simulated by  $\mathcal{T}_{\text{noMore}^{++}}$  and
- $\mathcal{T}_{\text{noMore}^{++}}$  is polynomially simulated by neither  $\mathcal{T}_{\text{models}}$  nor  $\mathcal{T}_{\text{noMoRe}}$ .
- ➡ The proof system obtained with  $\text{Cut}[\text{atom}(\Pi) \cup \text{body}(\Pi)]$  is exponentially stronger than the ones with either  $\text{Cut}[\text{atom}(\Pi)]$  or  $\text{Cut}[\text{body}(\Pi)]$  !
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- 👉 Case analyses (at least) on atoms and bodies are mandatory in powerful ASP-solvers.

$\mathcal{T}_{models}$ : Example tableau $(r_1) \quad a \leftarrow not \ b$  $(r_4) \quad c \leftarrow g$  $(r_7) \quad e \leftarrow f, not \ c$  $(r_2) \quad b \leftarrow d, not \ a$  $(r_5) \quad d \leftarrow c$  $(r_8) \quad f \leftarrow not \ g$  $(r_3) \quad c \leftarrow b, d$  $(r_6) \quad d \leftarrow g$  $(r_9) \quad g \leftarrow not \ a, not \ f$  $(1) \quad T a$  $(2) \quad T \{not \ b\}$  $(3) \quad F b$  $(4) \quad F \{d, not \ a\}$  $(5) \quad F \{not \ a, not \ f\}$  $(6) \quad F g$  $(7) \quad T \{not \ g\}$  $(8) \quad T f$  $(9) \quad F \{b, d\}$  $(10) \quad F \{g\}$  $(11) \quad F c$  $(12) \quad F \{c\}$  $(13) \quad F d$  $(14) \quad T \{f, not \ c\}$  $(15) \quad T e$  $[Cut]$  $[BTA: r_1, 1]$  $[BTB: 2]$  $[BFA: r_2, 3]$  $[FFB: r_9, 1]$  $[FFA: r_9, 5]$  $[FTB: r_8, 6]$  $[FTA: r_8, 7]$  $[FFB: r_3, 3]$  $[FFB: r_4, r_6, 6]$  $[FFA: r_3, r_4, 9, 10]$  $[FFB: r_5, 11]$  $[FFA: r_5, r_6, 10, 12]$  $[FTB: r_7, 8, 11]$  $[FTA: r_7, 14]$  $(16) \quad F a$  $(17) \quad F \{not \ b\}$  $(18) \quad T b$  $(19) \quad T \{d, not \ a\}$  $(20) \quad T d$  $(21) \quad T \{b, d\}$  $(22) \quad T c$  $(23) \quad F \{f, not \ c\}$  $(24) \quad F e$  $(25) \quad T \{c\}$  $[Cut]$  $[BFA: r_1, 16]$  $[BFB: 17]$  $[BTA: r_2, 18]$  $[BTB: 19]$  $[FTB: r_3, 18, 20]$  $[FTA: r_3, 21]$  $[FFB: r_7, 22]$  $[FFA: r_7, 23]$  $[FTB: r_5, 22]$  $(26) \quad T f$  $(27) \quad F \{not \ a, not \ f\}$  $(28) \quad F c$  $[Cut]$  $[FFB: r_9, 26]$  $[WFN: 27]$  $(29) \quad F f$  $(30) \quad T \{not \ a, not \ f\}$  $(31) \quad T g$  $(32) \quad T \{g\}$  $(33) \quad F \{not \ g\}$  $[Cut]$  $[FTB: r_9, 30]$  $[FTA: r_9, 31]$  $[FTB: r_4, 32]$  $[FFB: r_8, 33]$

# $\mathcal{T}_{noMoRe}$ : Example tableau

(1)  $a \leftarrow not\ b$ (4)  $c \leftarrow g$ (7)  $e \leftarrow f, not\ c$ (2)  $b \leftarrow d, not\ a$ (5)  $d \leftarrow c$ (8)  $f \leftarrow not\ g$ (3)  $c \leftarrow b, d$ (6)  $d \leftarrow g$ (9)  $g \leftarrow not\ a, not\ f$ (1)  $T\{not\ b\}$ (2)  $Ta$  [FTA:  $r_1, 1$ ](3)  $Fb$  [BTB: 1](4)  $F\{d, not\ a\}$  [BFA:  $r_2, 3$ ](5)  $F\{not\ a, not\ f\}$  [FFB:  $r_9, 2$ ](6)  $Fg$  [FFA:  $r_9, 5$ ](7)  $T\{not\ g\}$  [FTB:  $r_8, 6$ ](8)  $Tf$  [FTA:  $r_8, 7$ ](9)  $F\{b, d\}$  [FFB:  $r_3, 3$ ](10)  $F\{g\}$  [FFB:  $r_4, r_6, 6$ ](11)  $Fc$  [FFA:  $r_3, r_4, 9, 10$ ](12)  $F\{c\}$  [FFB:  $r_5, 11$ ](13)  $Fd$  [FFA:  $r_5, r_6, 10, 12$ ](14)  $T\{f, not\ c\}$  [FTB:  $r_7, 8, 11$ ](15)  $Te$  [FTA:  $r_7, 14$ ](16)  $F\{not\ b\}$  [Cut](17)  $Fa$  [FFA:  $r_1, 16$ ](18)  $Tb$  [BFB: 16](19)  $T\{d, not\ a\}$  [BTA:  $r_2, 18$ ](20)  $Td$  [BTB: 19](21)  $T\{b, d\}$  [FTB:  $r_3, 18, 20$ ](22)  $Tc$  [FTA:  $r_3, 21$ ](23)  $F\{f, not\ c\}$  [FFB:  $r_7, 22$ ](24)  $Fe$  [FFA:  $r_7, 23$ ](25)  $T\{c\}$  [FTB:  $r_5, 22$ ](26)  $T\{not\ g\}$  [Cut](27)  $Fg$  [BTB: 26](28)  $F\{g\}$  [FFB:  $r_4, r_6, 27$ ](29)  $Fc$  [WFN: 28](30)  $F\{not\ g\}$  [Cut](31)  $Tg$  [BFB: 30](32)  $T\{g\}$  [FTB:  $r_4, 31$ ](33)  $Ff$  [FFA:  $r_8, 32$ ](34)  $T\{not\ a, not\ f\}$  [FTB:  $r_9, 33$ ]



# $\mathcal{T}_{nomore^{++}}$ : Example tableau

(1)  $a \leftarrow not\ b$ (4)  $c \leftarrow g$ (7)  $e \leftarrow f, not\ c$ (2)  $b \leftarrow d, not\ a$ (5)  $d \leftarrow c$ (8)  $f \leftarrow not\ g$ (3)  $c \leftarrow b, d$ (6)  $d \leftarrow g$ (9)  $g \leftarrow not\ a, not\ f$ 

(1)	<b>T</b> $a$	[Cut]
(2)	<b>T</b> { $not\ b$ }	[BTA: $r_1, 1$ ]
(3)	<b>F</b> $b$	[BTB: 2]
(4)	<b>F</b> { $d, not\ a$ }	[BFA: $r_2, 3$ ]
(5)	<b>F</b> { $not\ a, not\ f$ }	[FFB: $r_9, 1$ ]
(6)	<b>F</b> $g$	[FFA: $r_9, 5$ ]
(7)	<b>T</b> { $not\ g$ }	[FTB: $r_8, 6$ ]
(8)	<b>T</b> $f$	[FTA: $r_8, 7$ ]
(9)	<b>F</b> { $b, d$ }	[FFB: $r_3, 3$ ]
(10)	<b>F</b> { $g$ }	[FFB: $r_4, r_6, 6$ ]
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(12)	<b>F</b> { $c$ }	[FFB: $r_5, 11$ ]
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(30)	<b>F</b> { $not\ g$ }	[Cut]
(31)	<b>T</b> $g$	[BFB: 30]
(32)	<b>T</b> { $g$ }	[FTB: $r_4, 31$ ]
(33)	<b>F</b> $f$	[FFA: $r_8, 32$ ]
(34)	<b>T</b> { $not\ a, not\ f$ }	[FTB: $r_9, 33$ ]

# Conflict-Driven Answer Set Solving: Overview

55 Motivation

56 Boolean Constraints

57 Nogoods from Logic Programs

- Nogoods from Clark's Completion
- Nogoods from Loop Formulas

58 Conflict-Driven Nogood Learning

- CDNL-ASP Algorithm
- Nogood Propagation
- Conflict Analysis

59 Implementation via clasp

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Goal New approach to computing answer sets of logic programs, based on concepts from

- Constraint Processing (CSP) and
- Satisfiability Checking (SAT)

Idea View inferences in Answer Set Programming (ASP) as unit propagation on nogoods.

## Benefits

- A uniform constraint-based framework for different kinds of inferences in ASP
- Advanced techniques from the areas of CSP and SAT
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# Assignments

- An **assignment**  $A$  over  $dom(A) = atom(\Pi) \cup body(\Pi)$  is a sequence

$$(\sigma_1, \dots, \sigma_n)$$

of **signed literals**  $\sigma_i$  of form **T** $p$  or **F** $p$  for  $p \in dom(A)$  and  $1 \leq i \leq n$ .

☞ **T** $p$  expresses that  $p$  is *true* and **F** $p$  that it is *false*.

- The complement,  $\bar{\sigma}$ , of a literal  $\sigma$  is defined as  $\overline{\text{T}p} = \text{F}p$  and  $\overline{\text{F}p} = \text{T}p$ .
- $A \circ B$  denotes the concatenation of assignments  $A$  and  $B$ .
- Given  $A = (\sigma_1, \dots, \sigma_{k-1}, \sigma_k, \dots, \sigma_n)$ , we let  $A[\sigma_k] = (\sigma_1, \dots, \sigma_{k-1})$ .
- We sometimes identify an assignment with the set of its literals.  
Given this, we access *true* and *false* propositions in  $A$  via

$$A^{\text{T}} = \{p \in dom(A) \mid \text{T}p \in A\} \quad \text{and} \quad A^{\text{F}} = \{p \in dom(A) \mid \text{F}p \in A\}.$$



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- An **assignment**  $A$  over  $dom(A) = atom(\Pi) \cup body(\Pi)$  is a sequence

$$(\sigma_1, \dots, \sigma_n)$$

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👉 **T** $p$  expresses that  $p$  is *true* and **F** $p$  that it is *false*.

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# Nogoods, Solutions, and Unit Propagation

- A **nogood** is a set  $\{\sigma_1, \dots, \sigma_n\}$  of signed literals, expressing a **constraint** violated by any assignment containing  $\sigma_1, \dots, \sigma_n$ .
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- For a set  $\Delta$  of nogoods and an assignment  $A$ , unit propagation is the iterated process of extending  $A$  with unit-resulting literals until no further literal is unit-resulting for any nogood in  $\Delta$ .

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# Overview

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  - Conflict Analysis
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# Nogoods from logic programs

via Clark's completion

The completion of a logic program  $\Pi$  can be defined as follows:

$$\begin{aligned} & \{p_\beta \leftrightarrow p_1 \wedge \dots \wedge p_m \wedge \neg p_{m+1} \wedge \dots \wedge \neg p_n \mid \\ & \quad \beta \in \text{body}(\Pi), \beta = \{p_1, \dots, p_m, \text{not } p_{m+1}, \dots, \text{not } p_n\}\} \\ \cup & \quad \{p \leftrightarrow p_{\beta_1} \vee \dots \vee p_{\beta_k} \mid \\ & \quad p \in \text{atom}(\Pi), \text{body}(p) = \{\beta_1, \dots, \beta_k\}\}, \end{aligned}$$

where  $\text{body}(p) = \{\text{body}(r) \mid r \in \Pi, \text{head}(r) = p\}$ .

# Nogoods from logic programs (ctd)

via Clark's completion

Let  $\beta = \{p_1, \dots, p_m, \text{not } p_{m+1}, \dots, \text{not } p_n\}$  be a body.

The equivalence

$$p_\beta \leftrightarrow p_1 \wedge \dots \wedge p_m \wedge \neg p_{m+1} \wedge \dots \wedge \neg p_n$$

can be decomposed into two implications.

**1** We get

$$p_\beta \rightarrow p_1 \wedge \dots \wedge p_m \wedge \neg p_{m+1} \wedge \dots \wedge \neg p_n ,$$

which is equivalent to the conjunction of

$$\neg p_\beta \vee p_1, \dots, \neg p_\beta \vee p_m, \neg p_\beta \vee \neg p_{m+1}, \dots, \neg p_\beta \vee \neg p_n .$$

This set of clauses expresses the following set of nogoods:

$$\Delta(\beta) = \{ \{ \mathbf{T}\beta, \mathbf{F}p_1 \}, \dots, \{ \mathbf{T}\beta, \mathbf{F}p_m \}, \{ \mathbf{T}\beta, \mathbf{T}p_{m+1} \}, \dots, \{ \mathbf{T}\beta, \mathbf{T}p_n \} \} .$$

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can be decomposed into two implications.

**2** The converse of the previous implication, viz.

$$p_1 \wedge \dots \wedge p_m \wedge \neg p_{m+1} \wedge \dots \wedge \neg p_n \rightarrow p_\beta ,$$

gives rise to the nogood

$$\delta(\beta) = \{\mathbf{F}\beta, \mathbf{T}p_1, \dots, \mathbf{T}p_m, \mathbf{F}p_{m+1}, \dots, \mathbf{F}p_n\} .$$

Intuitively,  $\delta(\beta)$  is a constraint enforcing the truth of body  $\beta$ , or the falsity of a contained literal.

# Nogoods from logic programs (ctd)

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Proceeding analogously with the atom-based equivalences, viz.

$$p \leftrightarrow p_{\beta_1} \vee \cdots \vee p_{\beta_k}$$

we obtain for an atom  $p \in \text{atom}(\Pi)$  along with its bodies  
 $\text{body}(p) = \{\beta_1, \dots, \beta_k\}$  the nogoods

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# Nogoods from logic programs

## atom-oriented nogoods

For an atom  $p$  where  $body(p) = \{\beta_1, \dots, \beta_k\}$ , recall that

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For example, for atom  $x$  with  $body(x) = \{y, \text{not } z\}$ , we obtain

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For nogood  $\delta(x) = \{\mathbf{T}x, \mathbf{F}\{y\}, \mathbf{F}\{\text{not } z\}\}$ , the signed literal

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$\begin{array}{l} \dots \leftarrow x, \text{not } y \\ \vdots \\ \dots \leftarrow x, \text{not } y \end{array}$	$\begin{aligned} \delta(\{x, \text{not } y\}) &= \{\mathbf{F}\{x, \text{not } y\}, \mathbf{T}x, \mathbf{F}y\} \\ \Delta(\{x, \text{not } y\}) &= \{\{\mathbf{T}\{x, \text{not } y\}, \mathbf{F}x\}, \{\mathbf{T}\{x, \text{not } y\}, \mathbf{T}y\}\} \end{aligned}$
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For nogood  $\delta(\{x, \text{not } y\}) = \{\mathbf{F}\{x, \text{not } y\}, \mathbf{T}x, \mathbf{F}y\}$ , the signed literal

- $\mathbf{T}\{x, \text{not } y\}$  is unit-resulting wrt assignment  $(\mathbf{T}x, \mathbf{F}y)$  and
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# Nogoods from logic programs

## body-oriented nogoods

For a body  $\beta = \{p_1, \dots, p_m, \text{not } p_{m+1}, \dots, \text{not } p_n\}$ , recall that

$$\delta(\beta) = \{\mathbf{F}\beta, \mathbf{T}p_1, \dots, \mathbf{T}p_m, \mathbf{F}p_{m+1}, \dots, \mathbf{F}p_n\}$$

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# Characterization of answer sets

## for tight logic programs

Let  $\Pi$  be a logic program and

$$\begin{aligned} \Delta_{\Pi} = & \{\delta(p) \mid p \in \text{atom}(\Pi)\} \cup \{\delta \in \Delta(p) \mid p \in \text{atom}(\Pi)\} \\ & \cup \{\delta(\beta) \mid \beta \in \text{body}(\Pi)\} \cup \{\delta \in \Delta(\beta) \mid \beta \in \text{body}(\Pi)\} . \end{aligned}$$

### Theorem

*Let  $\Pi$  be a tight logic program. Then,*

*$X \subseteq \text{atom}(\Pi)$  is an answer set of  $\Pi$  iff*

*$X = A^{\top} \cap \text{atom}(\Pi)$  for a (unique) solution  $A$  for  $\Delta_{\Pi}$ .*

- ☞ The set  $\Delta_{\Pi}$  of nogoods captures inferences from (program  $\Pi$  and) Clark's completion.

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### Theorem

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# Atom-oriented nogoods and tableau rules

- Tableau rules FTA, BFA, FFA, and BTA are atom-oriented.
- For an atom  $p$  such that  $body(p) = \{\beta_1, \dots, \beta_k\}$ , consider the equivalence:  $p \leftrightarrow p_{\beta_1} \vee \dots \vee p_{\beta_k}$
- Inferences from nogoods  $\Delta(p) = \{\{Fp, Tp_1\}, \dots, \{Fp, Tp_k\}\}$  correspond to those from tableau rules FTA and BFA:

$$\frac{p \leftarrow \beta \quad Tp}{Tp}$$

$$\frac{p \leftarrow \beta \quad Fp}{F\beta}$$

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$$\frac{p \leftarrow \beta \quad \mathbf{T}\beta}{\mathbf{T}p}$$

$$\frac{p \leftarrow \beta \quad \mathbf{F}p}{\mathbf{F}\beta}$$

- Inferences from nogood  $\delta(p) = \{ \mathbf{T}p, \mathbf{F}\beta_1, \dots, \mathbf{F}\beta_k \}$  correspond to those from tableau rules FFA and BTA:

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$$\frac{\mathbf{F}\beta_1, \dots, \mathbf{F}\beta_k}{\mathbf{F}p}$$

$$\frac{\mathbf{T}p \quad \mathbf{F}\beta_1, \dots, \mathbf{F}\beta_{i-1}, \mathbf{F}\beta_{i+1}, \dots, \mathbf{F}\beta_k}{\mathbf{T}\beta_i}$$

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$$\frac{p \leftarrow l_1, \dots, l_n \quad \mathbf{t}l_1, \dots, \mathbf{t}l_n}{\mathbf{T}\{l_1, \dots, l_n\}}$$

$$\frac{\mathbf{F}\{l_1, \dots, l_n\} \quad \mathbf{t}l_1, \dots, \mathbf{t}l_{i-1}, \mathbf{t}l_{i+1}, \dots, \mathbf{t}l_n}{\mathbf{f}l_i}$$

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# Nogoods from logic programs

via loop formulas (cf. Page 589)


Let  $\Pi$  be a normal logic program and recall that:

- For  $L \subseteq \text{atom}(\Pi)$ , the external supports of  $L$  for  $\Pi$  are

$$ES_{\Pi}(L) = \{r \in \Pi \mid \text{head}(r) \in L, \text{body}^+(r) \cap L = \emptyset\}.$$

- The (disjunctive) loop formula of  $L$  for  $\Pi$  is

$$\begin{aligned} LF_{\Pi}(L) &= (\bigvee_{A \in L} A) \rightarrow (\bigvee_{r \in ES_{\Pi}(L)} \text{Comp}(\text{body}(r))) \\ &\equiv (\bigwedge_{r \in ES_{\Pi}(L)} \neg \text{Comp}(\text{body}(r))) \rightarrow (\bigwedge_{A \in L} \neg A). \end{aligned}$$

 The loop formula of  $L$  enforces all atoms in  $L$  to be *false* whenever  $L$  is not externally supported.

- The external bodies of  $L$  for  $\Pi$  are

$$\begin{aligned} EB(L) &= \{\text{body}(r) \mid r \in \Pi, \text{head}(r) \in L, \text{body}^+(r) \cap L = \emptyset\} \\ &= \{\text{body}(r) \mid r \in ES_{\Pi}(L)\}. \end{aligned}$$

# Nogoods from logic programs

## loop nogoods

For a logic program  $\Pi$  and some  $\emptyset \subset U \subseteq \text{atom}(\Pi)$ ,  
define the **loop nogood** of an atom  $p \in U$  as

$$\lambda(p, U) = \{\mathbf{T}p, \mathbf{F}\beta_1, \dots, \mathbf{F}\beta_k\}$$

where  $EB(U) = \{\beta_1, \dots, \beta_k\}$ .

In all, we get the following set of loop nogoods for  $\Pi$ :

$$\Lambda_\Pi = \bigcup_{\emptyset \subset U \subseteq \text{atom}(\Pi)} \{\lambda(p, U) \mid p \in U\}$$

 The set  $\Lambda_\Pi$  of loop nogoods denies cyclic support among *true* atoms.

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# Example

Consider

$$\Pi = \left\{ \begin{array}{ll} x \leftarrow \text{not } y & u \leftarrow x \\ y \leftarrow \text{not } x & u \leftarrow v \\ & v \leftarrow u, y \end{array} \right\}$$

For  $u$  in the set  $\{u, v\}$ , we obtain the loop nogood:

$$\lambda(u, \{u, v\}) = \{\mathbf{T}u, \mathbf{F}\{x\}\}$$

Similarly for  $v$  in  $\{u, v\}$ , we get:

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## Characterization of answer sets

For a logic program  $\Pi$ ,  
let  $\Delta_\Pi$  and  $\Lambda_\Pi$  as defined on Page 755 and Page 767, respectively.

### Theorem

*Let  $\Pi$  be a logic program. Then,  
 $X \subseteq \text{atom}(\Pi)$  is an answer set of  $\Pi$  iff  
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### Some remarks

- Nogoods in  $\Lambda_\Pi$  augment  $\Delta_\Pi$  with conditions checking for unfounded sets, in particular, those being loops. While  $|\Delta_\Pi|$  is linear in the size of  $\Pi$ ,  $\Lambda_\Pi$  may contain exponentially many (non-redundant) loop nogoods !

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 $X = A^\top \cap \text{atom}(\Pi)$  for a (unique) solution  $A$  for  $\Delta_\Pi \cup \Lambda_\Pi$ .*

### Some remarks

- Nogoods in  $\Lambda_\Pi$  augment  $\Delta_\Pi$  with conditions checking for unfounded sets, in particular, those being loops.
- While  $|\Delta_\Pi|$  is linear in the size of  $\Pi$ ,  $\Lambda_\Pi$  may contain exponentially many (non-redundant) loop nogoods !



## Characterization of answer sets

For a logic program  $\Pi$ ,  
let  $\Delta_\Pi$  and  $\Lambda_\Pi$  as defined on Page 755 and Page 767, respectively.

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# Overview

- 55 Motivation
- 56 Boolean Constraints
- 57 Nogoods from Logic Programs
  - Nogoods from Clark's Completion
  - Nogoods from Loop Formulas
- 58 Conflict-Driven Nogood Learning
  - CDNL-ASP Algorithm
  - Nogood Propagation
  - Conflict Analysis
- 59 Implementation via clasp

# Conflict-driven search

Boolean constraint solving algorithms pioneered for SAT led to:

Traditional approach

- (Unit) propagation
- Exhaustive (chronological) backtracking
- DPLL [20, 19]

State of the art

- (Unit) propagation
- Conflict analysis (via resolution)
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# Outline of CDNL-ASP algorithm

[38]

- Keep track of deterministic consequences by unit propagation on:
  - Clark's completion  $[\Delta_{\Pi}]$
  - Loop nogoods, determined and recorded on demand  $[\Lambda_{\Pi}]$ 
    - ☞ Dedicated unfounded set detection !
  - Dynamic nogoods, derived from conflicts and unfounded sets  $[\nabla]$
- When a nogood in  $\Delta_{\Pi} \cup \nabla$  becomes violated:
  - Analyze the conflict by resolution until reaching the First Unique Implication Point (First-UIP) [68]
  - Learn the derived conflict nogood  $\delta$
  - Backjump to the earliest (heuristic) choice such that the complement of the First-UIP is unit-resulting for  $\delta$
  - Assert the complement of the First-UIP and proceed (by unit propagation)
- Terminate when either:
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**Algorithm 1:** CDNL-ASP
 

---

**Input** : A logic program  $\Pi$ .

**Output** : An answer set of  $\Pi$  or “no answer set”.

```

1   $A \leftarrow \emptyset$                                 // assignment over  $\text{atom}(\Pi) \cup \text{body}(\Pi)$ 
2   $\nabla \leftarrow \emptyset$                             // set of (dynamic) nogoods
3   $dl \leftarrow 0$                                   // decision level
4  loop
5       $(A, \nabla) \leftarrow \text{NOGOODPROPAGATION}(\Pi, \nabla, A)$ 
6      if  $\varepsilon \subseteq A$  for some  $\varepsilon \in \Delta_\Pi \cup \nabla$  then
7          if  $dl = 0$  then return no answer set
8           $(\delta, k) \leftarrow \text{CONFLICTANALYSIS}(\varepsilon, \Pi, \nabla, A)$ 
9           $\nabla \leftarrow \nabla \cup \{\delta\}$                     // learning
10          $A \leftarrow (A \setminus \{\sigma \in A \mid k < dl(\sigma)\})$  // backjumping
11          $dl \leftarrow k$ 
12     else if  $A^\top \cup A^\text{F} = \text{atom}(\Pi) \cup \text{body}(\Pi)$  then
13         return  $A^\top \cap \text{atom}(\Pi)$                     // answer set
14     else
15          $\sigma_d \leftarrow \text{SELECT}(\Pi, \nabla, A)$         // heuristic choice of  $\sigma_d \notin A$ 
16          $dl \leftarrow dl + 1$ 
17          $A \leftarrow A \circ (\sigma_d)$                     //  $dl(\sigma_d) = dl$ 

```

---

## Observations

- Decision level  $dl$ , initially set to 0, is used to count the number of heuristically chosen literals in assignment  $A$ .
- For a heuristically chosen literal  $\sigma_d = \mathbf{T}p$  or  $\sigma_d = \mathbf{F}p$ , respectively, we require  $p \in (atom(\Pi) \cup body(\Pi)) \setminus (A^{\mathbf{T}} \cup A^{\mathbf{F}})$ .
- For any literal  $\sigma \in A$ ,  $dl(\sigma)$  denotes the decision level of  $\sigma$ , viz. the value  $dl$  had when  $\sigma$  was assigned.
- A conflict is detected from violation of a nogood  $\varepsilon \subseteq \Delta_{\Pi} \cup \nabla$ .
- A conflict at decision level 0 (where  $A$  contains no heuristically chosen literals) indicates non-existence of answer sets.
- A nogood  $\delta$  derived by conflict analysis is asserting, that is, some literal is unit-resulting for  $\delta$  at a decision level  $k < dl$ .
  - ➡ After learning  $\delta$  and backjumping to decision level  $k$ , at least one literal is newly derivable by unit propagation.
  - ⚠ No explicit flipping of heuristically chosen literals !

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# Example: CDNL-ASP

Consider

$$\Pi = \begin{cases} x \leftarrow \text{not } y & u \leftarrow x, y & v \leftarrow x & w \leftarrow \text{not } x, \text{not } y \\ y \leftarrow \text{not } x & u \leftarrow v & v \leftarrow u, y \end{cases}$$

$dl$	$\sigma_d$	$\bar{\sigma}$	$\delta$
1	$\mathbf{T}_u$		
2	$\mathbf{F}\{\text{not } x, \text{not } y\}$	$\mathbf{F}_w$	$\{\mathbf{T}_w, \mathbf{F}\{\text{not } x, \text{not } y\}\} = \delta(w)$
3	$\mathbf{F}\{\text{not } y\}$	$\mathbf{F}_x$ $\mathbf{F}\{x\}$ $\mathbf{F}\{x, y\}$ $\vdots$	$\{\mathbf{T}_x, \mathbf{F}\{\text{not } y\}\} = \delta(x)$ $\{\mathbf{T}\{x\}, \mathbf{F}_x\} \in \Delta(\{x\})$ $\{\mathbf{T}\{x, y\}, \mathbf{F}_x\} \in \Delta(\{x, y\})$ $\vdots$ $\{\mathbf{T}_u, \mathbf{F}\{x\}, \mathbf{F}\{x, y\}\} = \lambda(u, \{u, v\})$

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# Outline of NOGOODPROPAGATION

- Derive deterministic consequences via:
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  - Unfounded sets  $U \subseteq atom(\Pi)$ .
- Note that  $U$  is **unfounded** if  $EB(U) \subseteq A^F$ .
  - ☞ For any  $p \in U$ , we have  $(\lambda(p, U) \setminus \{\top p\}) \subseteq A$ .
- An “interesting” unfounded set  $U$  satisfies:

$$\emptyset \subset U \subseteq (atom(\Pi) \setminus A^F) .$$

- Wrt a fixpoint of unit propagation,  
such an unfounded set contains some loop of  $\Pi$ .
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- Given an unfounded set  $U$  and some  $p \in U$ , adding  $\lambda(p, U)$  to  $\nabla$   
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- Given an unfounded set  $U$  and some  $p \in U$ , adding  $\lambda(p, U)$  to  $\nabla$   
triggers a conflict or further derivations by unit propagation.
  - ☞ Add loop nogoods atom by atom to eventually falsify all  $p \in U$ .

---

**Algorithm 2:** NOGOODPROPAGATION
 

---

**Input** : A logic program  $\Pi$ , a set  $\nabla$  of nogoods, and an assignment  $A$ .

**Output** : An extended assignment and set of nogoods.

```

1   $U \leftarrow \emptyset$                                 // set of unfounded atoms
2  loop
3      repeat
4          if  $\delta \subseteq A$  for some  $\delta \in \Delta_{\Pi} \cup \nabla$  then return  $(A, \nabla)$            // conflict
5           $\Sigma \leftarrow \{\delta \in \Delta_{\Pi} \cup \nabla \mid (\delta \setminus A) = \{\sigma\}, \bar{\sigma} \notin A\}$  // unit-resulting nogoods
6          if  $\Sigma \neq \emptyset$  then
7              let  $\sigma \in (\delta \setminus A)$  for some  $\delta \in \Sigma$  in
8                   $A \leftarrow A \circ (\bar{\sigma})$            //  $dl(\bar{\sigma}) = \max(\{dl(\rho) \mid \rho \in (\delta \setminus \{\sigma\})\} \cup \{0\})$ 
9          until  $\Sigma = \emptyset$ 

10 if  $\Pi$  is tight then return  $(A, \nabla)$  // no unfounded set  $\emptyset \subset U \subseteq (\text{atom}(\Pi) \setminus A^F)$ 
11 else
12      $U \leftarrow (U \setminus A^F)$ 
13     if  $U = \emptyset$  then  $U \leftarrow \text{UNFOUNDEDSET}(\Pi, A)$ 
14     if  $U = \emptyset$  then return  $(A, \nabla)$  // no unfounded set  $\emptyset \subset U \subseteq (\text{atom}(\Pi) \setminus A^F)$ 
15     let  $p \in U$  in
16          $\nabla \leftarrow \nabla \cup \{\lambda(p, U)\}$  // record unit-resulting or violated loop nogood
  
```

---

## Requirements for UNFOUNDEDSET

- Implementations of UNFOUNDEDSET must guarantee the following for a result  $U$ :
  - 1  $U \subseteq (atom(\Pi) \setminus A^F)$ ;
  - 2  $EB(U) \subseteq A^F$ ;
  - 3  $U = \emptyset$  iff there is no nonempty unfounded subset of  $(atom(\Pi) \setminus A^F)$ .
- Beyond that, there are various alternatives, such as:
  - Calculating the greatest unfounded set.
  - Calculating unfounded sets within strongly connected components of the positive atom dependency graph of  $\Pi$ .Usually, the latter option is implemented in ASP solvers !

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# Example: NOGOODPROPAGATION

Consider

$$\Pi = \begin{cases} x \leftarrow \text{not } y & u \leftarrow x, y & v \leftarrow x & w \leftarrow \text{not } x, \text{not } y \\ y \leftarrow \text{not } x & u \leftarrow v & v \leftarrow u, y \end{cases}$$

$dl$	$\sigma_d$	$\bar{\sigma}$	$\delta$
1	<b>T</b> $u$		
2	<b>F</b> { $\text{not } x, \text{not } y$ }	<b>F</b> $w$	{ <b>T</b> $w, \mathbf{F}\{\text{not } x, \text{not } y\}$ } = $\delta(w)$
3	<b>F</b> { $\text{not } y$ }	<b>F</b> $x$ <b>F</b> { $x$ } <b>F</b> { $x, y$ } <b>T</b> { $\text{not } x$ } <b>T</b> $y$ <b>T</b> { $v$ } <b>T</b> { $u, y$ } <b>T</b> $v$	{ <b>T</b> $x, \mathbf{F}\{\text{not } y\}$ } = $\delta(x)$ { <b>T</b> { $x$ }, <b>F</b> $x$ } $\in \Delta(\{x\})$ { <b>T</b> { $x, y$ }, <b>F</b> $x$ } $\in \Delta(\{x, y\})$ { <b>F</b> { $\text{not } x$ }, <b>F</b> $x$ } = $\delta(\{\text{not } x\})$ { <b>F</b> { $\text{not } y$ }, <b>F</b> $y$ } = $\delta(\{\text{not } y\})$ { <b>T</b> $u, \mathbf{F}\{x, y\}, \mathbf{F}\{v\}$ } = $\delta(u)$ { <b>F</b> { $u, y$ }, <b>T</b> $u, \mathbf{T}y$ } = $\delta(\{u, y\})$ { <b>F</b> $v, \mathbf{T}\{u, y\}$ } $\in \Delta(v)$ { <b>T</b> $u, \mathbf{F}\{x\}, \mathbf{F}\{x, y\}$ } = $\lambda(u, \{u, v\})$ <b>x</b>

# Outline of CONFLICTANALYSIS

- Conflict analysis is triggered whenever some nogood  $\delta \in \Delta_{\perp} \cup \nabla$  becomes violated, viz.  $\delta \subseteq A$ , at a decision level  $dl > 0$ .
- ☞ Note that all but the first literal assigned at  $dl$  have been unit-resulting for nogoods  $\varepsilon \in \Delta_{\perp} \cup \nabla$ .
  - ➡ If  $\sigma \in \delta$  has been unit-resulting for  $\varepsilon$ , we obtain a new violated nogood by resolving  $\delta$  and  $\varepsilon$  as follows:

$$(\delta \setminus \{\sigma\}) \cup (\varepsilon \setminus \{\bar{\sigma}\}) .$$

- Resolution is directed by resolving first over the literal  $\sigma \in \delta$  derived last, viz.  $(\delta \setminus A[\sigma]) = \{\sigma\}$ .
  - ☞ Iterated resolution progresses in inverse order of assignment.
- Iterated resolution stops as soon as it generates a nogood  $\delta$  containing exactly one literal  $\sigma$  assigned at decision level  $dl$ .
  - This literal  $\sigma$  is called First Unique Implication Point (First-UIP).
  - ☞ All literals in  $(\delta \setminus \{\sigma\})$  are assigned at decision levels smaller than  $dl$ .

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  - This literal  $\sigma$  is called **First Unique Implication Point** (First-UIP).
  - ☞ All literals in  $(\delta \setminus \{\sigma\})$  are assigned at decision levels smaller than  $dl$ .

---

**Algorithm 3: CONFLICTANALYSIS**


---

**Input** : A violated nogood  $\delta$ , a logic program  $\Pi$ , a set  $\nabla$  of nogoods, and an assignment  $A$ .

**Output** : A derived nogood and a decision level.

```

1 loop
2   let  $\sigma \in \delta$  such that  $(\delta \setminus A[\sigma]) = \{\sigma\}$  in
3      $k \leftarrow \max(\{dl(\rho) \mid \rho \in \delta \setminus \{\sigma\}\} \cup \{0\})$ 
4     if  $k = dl(\sigma)$  then
5       let  $\varepsilon \in \Delta_{\Pi} \cup \nabla$  such that  $(\varepsilon \setminus A[\sigma]) = \{\bar{\sigma}\}$  in
6          $\delta \leftarrow (\delta \setminus \{\sigma\}) \cup (\varepsilon \setminus \{\bar{\sigma}\})$  // resolution
7     else return  $(\delta, k)$ 

```

---

# Example: CONFLICTANALYSIS

Consider

$$\Pi = \left\{ \begin{array}{llll} x \leftarrow \text{not } y & u \leftarrow x, y & v \leftarrow x & w \leftarrow \text{not } x, \text{not } y \\ y \leftarrow \text{not } x & u \leftarrow v & v \leftarrow u, y & \end{array} \right.$$

$dl$	$\sigma_d$	$\bar{\sigma}$	$\delta$
1	$\mathbf{T}u$		
2	$\mathbf{F}\{\text{not } x, \text{not } y\}$	$\mathbf{F}w$	$\{\mathbf{T}w, \mathbf{F}\{\text{not } x, \text{not } y\}\} = \delta(w)$
3	$\mathbf{F}\{\text{not } y\}$	$\mathbf{F}x$ $\mathbf{F}\{x\}$ $\mathbf{F}\{x, y\}$ $\mathbf{T}\{\text{not } x\}$ $\mathbf{T}y$ $\mathbf{T}\{v\}$ $\mathbf{T}\{u, y\}$ $\mathbf{T}v$	$\{\mathbf{T}x, \mathbf{F}\{\text{not } y\}\} = \delta(x)$ $\{\mathbf{T}\{x\}, \mathbf{F}x\} \in \Delta(\{x\})$ $\{\mathbf{T}\{x, y\}, \mathbf{F}x\} \in \Delta(\{x, y\})$ $\{\mathbf{F}\{\text{not } x\}, \mathbf{F}x\} = \delta(\{\text{not } x\})$ $\{\mathbf{F}\{\text{not } y\}, \mathbf{F}y\} = \delta(\{\text{not } y\})$ $\{\mathbf{T}u, \mathbf{F}\{x, y\}, \mathbf{F}\{v\}\} = \delta(u)$ $\{\mathbf{F}\{u, y\}, \mathbf{T}u, \mathbf{T}y\} = \delta(\{u, y\})$ $\{\mathbf{F}v, \mathbf{T}\{u, y\}\} \in \Delta(v)$ $\{\mathbf{T}u, \mathbf{F}\{x\}, \mathbf{F}\{x, y\}\} = \lambda(u, \{u, v\})$

$\{\mathbf{T}u, \mathbf{F}x\}$   
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**x**

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$dl$	$\sigma_d$	$\bar{\sigma}$	$\delta$
1	<b>T</b> $u$		
2	<b>F</b> { $\text{not } x, \text{not } y$ }	<b>F</b> $w$	{ <b>T</b> $w, \text{F}\{\text{not } x, \text{not } y\}\} = \delta(w)$
3	<b>F</b> { $\text{not } y$ }	<b>F</b> $x$ <b>F</b> { $x$ } <b>F</b> { $x, y$ } <b>T</b> { $\text{not } x$ } <b>T</b> $y$ <b>T</b> { $v$ } <b>T</b> { $u, y$ } <b>T</b> $v$	{ <b>T</b> $x, \text{F}\{\text{not } y\}\} = \delta(x)$ { <b>T</b> { $x$ }, <b>F</b> $x$ } $\in \Delta(\{x\})$ { <b>T</b> { $x, y$ }, <b>F</b> $x$ } $\in \Delta(\{x, y\})$ { <b>F</b> { $\text{not } x$ }, <b>F</b> $x$ } $= \delta(\{\text{not } x\})$ { <b>F</b> { $\text{not } y$ }, <b>F</b> $y$ } $= \delta(\{\text{not } y\})$ { <b>T</b> $u, \text{F}\{x, y\}, \text{F}\{v\}\} = \delta(u)$ { <b>F</b> { $u, y$ }, <b>T</b> $u, \text{T}y} = \delta(\{u, y\}) {Fv, \text{T}\{u, y\}\} \in \Delta(v) {Tu, \text{F}\{x\}, \text{F}\{x, y\}\} = \lambda(u, \{u, v\}) $

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2	<b>F</b> { $\text{not } x, \text{not } y$ }	<b>F</b> $w$	{ <b>T</b> $w, \text{F}\{\text{not } x, \text{not } y\}$ } = $\delta(w)$
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$dl$	$\sigma_d$	$\bar{\sigma}$	$\delta$
1	<b>T</b> $u$		
2	<b>F</b> { $\text{not } x, \text{not } y$ }	<b>F</b> $w$	{ <b>T</b> $w, \text{F}\{\text{not } x, \text{not } y\}$ } = $\delta(w)$
3	<b>F</b> { $\text{not } y$ }	<b>F</b> $x$ <b>F</b> { $x$ } <b>F</b> { $x, y$ } <b>T</b> { $\text{not } x$ } <b>T</b> $y$ <b>T</b> { $v$ } <b>T</b> { $u, y$ } <b>T</b> $v$	{ <b>T</b> $x, \text{F}\{\text{not } y\}$ } = $\delta(x)$ { <b>T</b> { $x$ }, <b>F</b> $x$ } $\in \Delta(\{x\})$ { <b>T</b> { $x, y$ }, <b>F</b> $x$ } $\in \Delta(\{x, y\})$ { <b>F</b> { $\text{not } x$ }, <b>F</b> $x$ } = $\delta(\{\text{not } x\})$ { <b>F</b> { $\text{not } y$ }, <b>F</b> $y$ } = $\delta(\{\text{not } y\})$ { <b>T</b> $u, \text{F}\{x, y\}, \text{F}\{v\}$ } = $\delta(u)$ { <b>F</b> { $u, y$ }, <b>T</b> $u, \text{T}y} = \delta(\{u, y\}) {Fv, \text{T}\{u, y\}} \in \Delta(v) {Tu, \text{F}\{x\}, \text{F}\{x, y\}} = \lambda(u, \{u, v\}) $

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{**T** $u, \text{F} $x$ }  
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1	<b>T</b> $u$		
2	<b>F</b> { $\text{not } x, \text{not } y$ }	<b>F</b> $w$	{ <b>T</b> $w, \text{F}\{\text{not } x, \text{not } y\}$ } = $\delta(w)$
3	<b>F</b> { $\text{not } y$ }	<b>F</b> $x$ <b>F</b> { $x$ } <b>F</b> { $x, y$ } <b>T</b> { $\text{not } x$ } <b>T</b> $y$ <b>T</b> { $v$ } <b>T</b> { $u, y$ } <b>T</b> $v$	{ <b>T</b> $x, \text{F}\{\text{not } y\}$ } = $\delta(x)$ { <b>T</b> { $x$ }, <b>F</b> $x$ } $\in \Delta(\{x\})$ { <b>T</b> { $x, y$ }, <b>F</b> $x$ } $\in \Delta(\{x, y\})$ { <b>F</b> { $\text{not } x$ }, <b>F</b> $x$ } = $\delta(\{\text{not } x\})$ { <b>F</b> { $\text{not } y$ }, <b>F</b> $y$ } = $\delta(\{\text{not } y\})$ { <b>T</b> $u, \text{F}\{x, y\}, \text{F}\{v\}$ } = $\delta(u)$ { <b>F</b> { $u, y$ }, <b>T</b> $u, \text{T}y} = \delta(\{u, y\}) {Fv, \text{T}\{u, y\}} \in \Delta(v) {Tu, \text{F}\{x\}, \text{F}\{x, y\}} = \lambda(u, \{u, v\}) $

{**T** $u, \text{F} $x$ }  
{**T** $u, \text{F} $x, \text{F}\{x\}$ }$$

**x**

# Example: CONFLICTANALYSIS

Consider

$$\Pi = \left\{ \begin{array}{llll} x \leftarrow \text{not } y & u \leftarrow x, y & v \leftarrow x & w \leftarrow \text{not } x, \text{not } y \\ y \leftarrow \text{not } x & u \leftarrow v & v \leftarrow u, y & \end{array} \right.$$

$dl$	$\sigma_d$	$\bar{\sigma}$	$\delta$
1	<b>T</b> $u$		
2	<b>F</b> { $\text{not } x, \text{not } y$ }	<b>F</b> $w$	{ <b>T</b> $w, \text{F}\{\text{not } x, \text{not } y\}$ } = $\delta(w)$
3	<b>F</b> { $\text{not } y$ }	<b>F</b> $x$ <b>F</b> { $x$ } <b>F</b> { $x, y$ } <b>T</b> { $\text{not } x$ } <b>T</b> $y$ <b>T</b> { $v$ } <b>T</b> { $u, y$ } <b>T</b> $v$	{ <b>T</b> $x, \text{F}\{\text{not } y\}$ } = $\delta(x)$ { <b>T</b> { $x$ }, <b>F</b> $x$ } $\in \Delta(\{x\})$ { <b>T</b> { $x, y$ }, <b>F</b> $x$ } $\in \Delta(\{x, y\})$ { <b>F</b> { $\text{not } x$ }, <b>F</b> $x$ } = $\delta(\{\text{not } x\})$ { <b>F</b> { $\text{not } y$ }, <b>F</b> $y$ } = $\delta(\{\text{not } y\})$ { <b>T</b> $u, \text{F}\{x, y\}, \text{F}\{v\}$ } = $\delta(u)$ { <b>F</b> { $u, y$ }, <b>T</b> $u, \text{T}y} = \delta(\{u, y\}) {Fv, \text{T}\{u, y\}} \in \Delta(v) {Tu, \text{F}\{x\}, \text{F}\{x, y\}} = \lambda(u, \{u, v\}) $

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$dl$	$\sigma_d$	$\bar{\sigma}$	$\delta$
1	<b>T</b> $u$		
2	<b>F</b> { $\text{not } x, \text{not } y$ }	<b>F</b> $w$	{ <b>T</b> $w, \mathbf{F}\{\text{not } x, \text{not } y\}$ } = $\delta(w)$
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## Remarks

- There always is a First-UIP at which conflict analysis terminates.
- ☞ In the worst, resolution stops at the heuristically chosen literal assigned at decision level  $dl$ .
- The nogood  $\delta$  containing First-UIP  $\sigma$  is violated by  $A$ , viz.  $\delta \subseteq A$ .
- We have  $k = \max(\{dl(\rho) \mid \rho \in \delta \setminus \{\sigma\}\} \cup \{0\}) < dl$ .
  - ➡ After recording  $\delta$  in  $\nabla$  and backjumping to decision level  $k$ ,  $\bar{\sigma}$  is unit-resulting for  $\delta$  !
  - ☞ Such a nogood  $\delta$  is called asserting.
- ☞ Asserting nogoods direct conflict-driven search into a different region of the search space than traversed before, without explicitly flipping any heuristically chosen literal !



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# Overview

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  - Conflict Analysis
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# The clasp system

[40]


- Native ASP solver combining conflict-driven search with sophisticated reasoning techniques:
  - Advanced preprocessing including, e.g., equivalence reasoning
  - Lookback-based decision heuristics
  - Restart policies
  - Nogood deletion
  - Progress saving
  - Dedicated data structures for binary and ternary nogoods
  - Lazy data structures (watched literals) for long nogoods
  - Dedicated data structures for cardinality and weight constraints
  - Lazy unfounded set checking based on “source pointers”
  - Tight integration of unit propagation and unfounded set checking
  - Reasoning modes
  - ...

 Many of these techniques are configurable !

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 Many of these techniques are configurable !

## Reasoning modes of clasp

Beyond deciding answer set existence, clasp allows for:

- Optimization
- Enumeration [without solution recording]
- Projective Enumeration [without solution recording]
- Brave and Cautious Reasoning determining the
  - union or
  - intersection

of all answer sets by computing only linearly many of them

☞ Reasoning applicable wrt answer sets as well as supported models

Front-ends also admit clasp to solve:

- Propositional CNF formulas
- Pseudo-Boolean formulas

Find clasp at: <http://potassco.sourceforge.net>

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# Grounding: Overview

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## Non-Ground

$q(a, b).$

$q(b, a).$

$q(a, c).$

$p(X, Y) \leftarrow q(X, Y), q(Y, Z).$

## Ground

$q(a, b).$   $q(b, a).$   $q(a, c).$

$p(a, a) \leftarrow q(a, a), q(a, a).$

$p(a, a) \leftarrow q(a, a), q(a, b).$

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$p(a, c) \leftarrow q(a, c), q(c, b).$

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$p(b, a) \leftarrow q(b, a), q(a, a).$

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☞ Only a small part of the program is relevant !

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 $q(b, a).$ 
 $q(a, c).$ 
 $p(X, Y) \leftarrow q(X, Y), q(Y, Z).$ 

## Ground

 $q(a, b). \quad q(b, a). \quad q(a, c).$ 
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## Non-Ground

$q(a).$   
 $q(f(a)).$   
 $p(X) \leftarrow q(X).$

## Ground

$q(a).$   
 $q(f(a)).$   
 $p(a) \leftarrow q(a).$   
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 $\dots$

- With functions of non-zero arity, the grounding is infinite !
- Given a logic program  $\Pi$ , we are interested in a subset  $\Pi'$  of  $ground(\Pi)$  s.t. the answer sets of  $\Pi'$  and  $ground(\Pi)$  coincide.

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- ☞ With functions of non-zero arity, the grounding is **infinite** !
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- ☞ All (but one) rules are relevant !
- ☞ The answer set is infinite !
- ☞ For practical reasons, such programs should be rejected.



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# Goals

- **First Part:** What classes of programs yield finite equivalent ground programs?
- **Second Part:** How to efficiently instantiate a program?

# Terminology I

- **Variables:**  $X, Y, Z, \dots$
- **Functions:**  $a/0, f/1, g/2, \dots$  (associated with arities)
- **Predicates:**  $p/0, q/1, r/2, \dots$  (associated with arities)
- **Terms:** variables or  $f(t_1, \dots, t_n)$  s.t. each  $t_i$  is a term and  $f/n$  is a function
- **Atoms:**  $p(t_1, \dots, t_n)$  s.t. each  $t_i$  is a term and  $p/n$  is a predicate
  - An atom **binds** all variables that occur in it.
- **Literals:** an atom or an atom preceded by *not*
- **Ground terms (atoms, literals):** terms (atoms, literals) without variables

## Terminology II

- **Signature  $\sigma$** : a pair of functions and predicates
- **Herbrand universe  $U_\sigma$** : the set of all ground terms over functions in  $\sigma$
- **Herbrand base  $B_\sigma$** : the set of all ground atoms over predicates and functions in  $\sigma$

### Example

Given the signature  $\sigma = (\{a/0, f/1\}, \{p/1\})$ :

- $U_\sigma = \{a, f(a), f(f(a)), f(f(f(a))), \dots\}$
- $B_\sigma = \{p(a), p(f(a)), p(f(f(a))), p(f(f(f(a)))) , \dots\}$

In the following, signature  $\sigma$  is often implicitly given by functions and predicates occurring in a logic program.

## Terminology III

Let  $\Pi$  be a logic program with signature  $\sigma$ .

- Ground instances of  $r \in \Pi$ : Set of variable-free rules obtained by replacing all variables in  $r$  by elements from  $U_\sigma$ :

$$\text{ground}(r) = \{r\theta \mid \theta : \text{vars}(r) \rightarrow U_\sigma\}$$

where

- $\text{vars}(r)$  stands for the set of all variables occurring in  $r$  and
- $\theta$  is a (ground) substitution.
- Ground instantiation of  $\Pi$ :

$$\text{ground}(\Pi) = \bigcup_{r \in \Pi} \text{ground}(r)$$

- A set  $X \subseteq B_\sigma$  is an **answer set** of  $\Pi$  if  $\text{Cn}(\text{ground}(\Pi)^X) = X$ .

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# $\omega$ -Restricted Programs

## Definition

Given a logic program  $\Pi$ :

- 1 A predicate  $p/n$  is a **domain predicate** if there is a level mapping from predicates to integers s.t., for each rule where  $p/n$  occurs in the head, all predicates in the body are domain predicates s.t. their levels are strictly smaller than that of  $p/n$ .
- 2  $\Pi$  is  **$\omega$ -restricted** if, for each rule, every variable occurring in the rule is bound by some atom  $p(t_1, \dots, t_n)$  in the positive body s.t.  $p/n$  is a domain predicate.

☞ Every  $\omega$ -restricted program has a finite equivalent ground program.

## ■ Implementation lparse

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## ■ Implementation `lparse`



# Example

## Example

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$$r^1(X) \leftarrow d^0(X), \text{not } g^0(X).$$

$$p^1(X) \leftarrow q^2(X), d^0(X).$$

$$q^2(X) \leftarrow p^1(X), r^1(X).$$

## Level mapping

$$d/1 \rightarrow 0$$

$$g/1 \rightarrow 0$$

$$r/1 \rightarrow 1$$

$$p/1 \rightarrow 1$$

$$q/1 \rightarrow 2$$

- ☞ Domain predicates:  $d/1, g/1, r/1$ .
- ☞ The program is  $\omega$ -restricted.

## Example

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# $\lambda$ -Restricted Programs

## Definition

A logic program is  $\lambda$ -restricted if there is a level mapping from predicates to integers s.t., for each rule, every variable occurring in the rule is bound by some atom in the positive body whose predicate has a strictly smaller level than the head predicate(s).

- ▶ Every  $\lambda$ -restricted program has a finite equivalent ground program.
- ▶ Every  $\omega$ -restricted program is also  $\lambda$ -restricted.
- Implementation gringo (below version 3.0.0)

# $\lambda$ -Restricted Programs

## Definition

A logic program is  $\lambda$ -restricted if there is a level mapping from predicates to integers s.t., for each rule, every variable occurring in the rule is bound by some atom in the positive body whose predicate has a strictly smaller level than the head predicate(s).

- Every  $\lambda$ -restricted program has a finite equivalent ground program.
- Every  $\omega$ -restricted program is also  $\lambda$ -restricted.
- Implementation gringo (below version 3.0.0)

# Example

## Example

$$d^0(a). \ d^0(b). \ g^0(b).$$

$$p^1(X) \leftarrow q^2(X), d^0(X).$$

$$q^2(X) \leftarrow p^1(X).$$

$$r^3 \leftarrow q^2(X), \text{not } g^0(X), \text{not } r^3.$$

## Level mapping

$$d/1 \rightarrow 0$$

$$g/1 \rightarrow 0$$

$$p/1 \rightarrow 1$$

$$q/1 \rightarrow 2$$

$$r/0 \rightarrow 3$$

☒ The program is  $\lambda$ -restricted.

☒ The program is not  $\omega$ -restricted.

# Example

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## Level mapping

$$d/1 \rightarrow 0$$

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# Safe Programs

## Definition

A logic program is **safe** if, for each rule, every variable occurring in the rule is bound by some atom in the positive body.

- Every safe program (without functions of non-zero arity) has a finite equivalent ground program.
- Every  $\lambda$ -restricted program is also safe.
- Implementation `dlv` & `gringo` (from version 3.0.0)

# Safe Programs

## Definition

A logic program is **safe** if, for each rule, every variable occurring in the rule is bound by some atom in the positive body.

- ☞ Every safe program (**without functions of non-zero arity**) has a finite equivalent ground program.
- ☞ Every  $\lambda$ -restricted program is also safe.
- Implementation **dlv & gringo** (from version 3.0.0)

# Example

## Example I

$d(a).$   $d(b).$   $g(b).$

$p(X) \leftarrow q(X).$

$q(X) \leftarrow p(X).$

$r \leftarrow q(X), \text{not } g(X), \text{not } r.$

■ The program is safe.

■ The program is not  $\lambda$ -restricted.

## Example II

$p(a).$

$p(f(X)) \leftarrow p(X).$

■ The grounding is infinite !

# Example

## Example I

$d(a).$   $d(b).$   $g(b).$

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$d(a). \quad d(b). \quad g(b).$

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⊞ The program is safe.

⊞ The program is not  $\lambda$ -restricted.

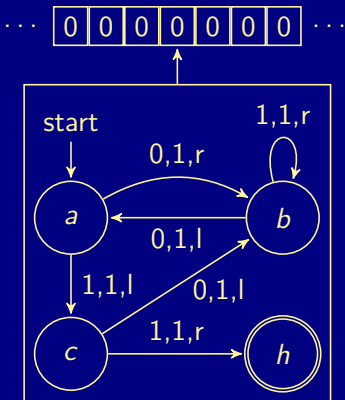
## Example II

$p(a).$

$p(f(X)) \leftarrow p(X).$

- The grounding is infinite !

# Encoding a 3-State Busy Beaver Machine



```
$ cat beaver.lp
start(a).
blank(0).
tape(n,0,n).
```

```
trans(a,0,1,b,r).
trans(a,1,1,c,l).
trans(b,0,1,a,l).
trans(b,1,1,b,r).
trans(c,0,1,b,l).
trans(c,1,1,h,r).
```



# Encoding a Universal Turing Machine

```
$ cat turing.lp
conf(S,L,A,R) :- start(S), tape(L,A,R).

conf(SN,l(L,AN),AR,R) :- conf(S,L,A,r(AR,R)),
                           trans(S,A,AN,SN,r).
conf(SN,l(L,AN),AR,n) :- conf(S,L,A,n), blank(AR),
                           trans(S,A,AN,SN,r).
conf(SN,L,AL,r(AN,R)) :- conf(S,l(L,AL),A,R),
                           trans(S,A,AN,SN,l).
conf(SN,n,AL,r(AN,R)) :- conf(S,n,A,R), blank(AL),
                           trans(S,A,AN,SN,l).
```

# Running the Turing Machine

```
$ gringo -t beaver.lp turing.lp
```

```
...
```

```
conf(a,n,0,n).
```

```
conf(b,l(n,1),0,n).
```

```
conf(a,n,1,r(1,n)).
```

```
...
```

```
conf(a,l(l(l(l(n,1),1),1),1),1,r(1,n)).
```

```
conf(c,l(l(l(n,1),1),1),1,r(1,r(1,n))).
```

```
conf(h,l(l(l(l(n,1),1),1),1),1,r(1,n)).
```

- Halts if Turing machine halts
- Finiteness check for safe programs is undecidable

# Running the Turing Machine

```
$ gringo -t beaver.lp turing.lp
...
conf(a,n,0,n).
conf(b,l(n,1),0,n).
conf(a,n,1,r(1,n)).
...
conf(a,l(l(l(l(n,1),1),1),1),1,r(1,n)).
conf(c,l(l(l(n,1),1),1),1,r(1,r(1,n))).
conf(h,l(l(l(l(n,1),1),1),1),1,r(1,n)).
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- Halts if Turing machine halts
- Finiteness check for safe programs is undecidable

# Overview

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64 Rule Instantiation

## Definition

Given a set  $P$  of atoms, a signature  $\sigma$ , and a **domain**  $D \subseteq B_\sigma$ :

$$\text{inst}(P, D) = \{\theta : \text{vars}(P) \rightarrow U_\sigma \mid A\theta \in D \text{ for all } A \in P\}$$

---

## Algorithm: $\text{instantiate}_\omega(\Pi)$

---

**Input** : An  $\omega$ -restricted program  $\Pi$  with level mapping  $\lambda$

**Output** : A ground program  $G$

```

1  $X \leftarrow$  set of predicates occurring in  $\Pi$ 
2  $D \leftarrow \emptyset$ 
3  $G \leftarrow \emptyset$ 
4 while  $X \neq \emptyset$  do
5     remove a predicate  $p/n$  with smallest level  $\lambda(p/n)$  from  $X$ 
6     foreach rule  $r \in \Pi$  with  $p/n$  in the head do
7          $P \leftarrow \{A \in \text{body}^+(r) \mid \text{the predicate of } A \text{ is a domain predicate}\}$ 
8         foreach  $\theta \in \text{inst}(P, D)$  do
9              $D \leftarrow D \cup \{\text{head}(r)\theta\}$ 
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Given a set  $P$  of atoms, a signature  $\sigma$ , and a **domain**  $D \subseteq B_\sigma$ :

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---

## Algorithm: $\text{instantiate}_\omega(\Pi)$

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```

---

# Instantiating $\lambda$ -Restricted Programs

---

## Algorithm: $\text{instantiate}_\lambda(\Pi)$

---

**Input** : A  $\lambda$ -restricted program  $\Pi$  with level mapping  $\lambda$

**Output** : A ground program  $G$

```

1   $X \leftarrow$  set of predicates occurring in  $\Pi$ 
2   $D \leftarrow \emptyset$ 
3   $G \leftarrow \emptyset$ 
4  while  $X \neq \emptyset$  do
5      remove a predicate  $p/n$  with smallest level  $\lambda(p/n)$  from  $X$ 
6      foreach rule  $r \in \Pi$  with  $p/n$  in the head do
7           $P \leftarrow \{A \in \text{body}^+(r) \mid \lambda(p/n) \text{ is greater than the level of the predicate of } A\}$ 
8          foreach  $\theta \in \text{inst}(P, D)$  do
9               $D \leftarrow D \cup \{\text{head}(r)\theta\}$ 
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```

---

☞ More predicates to instantiate with !

☞ Possibly smaller grounding.

# Instantiating $\lambda$ -Restricted Programs

---

## Algorithm: $\text{instantiate}_\lambda(\Pi)$

---

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## Example

$$d^0(a). \ d^0(b). \ g^0(b). \ p^1(X) \leftarrow q^2(X), d^0(X).$$

$$q^2(X) \leftarrow p^1(X). \quad r^3 \leftarrow q^2(X), \text{not } g^0(X), \text{not } r^3.$$

$p$	$P$	$\text{inst}(P, D)$	$D$	$G$
$d/1$	$\emptyset$	$\{\emptyset\}$	$\cup \{d(a)\}$	$\cup \{d(a).\}$
	$\emptyset$	$\{\emptyset\}$	$\cup \{d(b)\}$	$\cup \{d(b).\}$
$g/1$	$\emptyset$	$\{\emptyset\}$	$\cup \{g(b)\}$	$\cup \{g(b).\}$
$p/1$	$\{d(X)\}$	$\{\{X \rightarrow a\},$	$\cup \{p(a)\}$	$\cup \{p(a) \leftarrow q(a), d(a).\}$
		$\{X \rightarrow b\}\}$	$\cup \{p(b)\}$	$\cup \{p(b) \leftarrow q(b), d(b).\}$
$q/1$	$\{p(X)\}$	$\{\{X \rightarrow a\},$	$\cup \{q(a)\}$	$\cup \{q(a) \leftarrow p(a).\}$
		$\{X \rightarrow b\}\}$	$\cup \{q(b)\}$	$\cup \{q(b) \leftarrow p(b).\}$
$r/0$	$\{q(X)\}$	$\{\{X \rightarrow a\},$	$\cup \{r\}$	$\cup \{r \leftarrow q(a), \text{not } g(a), \text{not } r.\}$
		$\{X \rightarrow b\}\}$	$\cup \{r\}$	$\cup \{r \leftarrow q(b), \text{not } g(b), \text{not } r.\}$

## Example

$$d^0(a). \quad d^0(b). \quad g^0(b). \quad p^1(X) \leftarrow q^2(X), d^0(X).$$

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$p$	$P$	$\text{inst}(P, D)$	$D$	$G$
$d/1$	$\emptyset$	$\{\emptyset\}$	$\cup \{d(a)\}$	$\cup \{d(a).\}$
	$\emptyset$	$\{\emptyset\}$	$\cup \{d(b)\}$	$\cup \{d(b).\}$
$g/1$	$\emptyset$	$\{\emptyset\}$	$\cup \{g(b)\}$	$\cup \{g(b).\}$
$p/1$	$\{d(X)\}$	$\{\{X \rightarrow a\},$	$\cup \{p(a)\}$	$\cup \{p(a) \leftarrow q(a), d(a).\}$
		$\{X \rightarrow b\}\}$	$\cup \{p(b)\}$	$\cup \{p(b) \leftarrow q(b), d(b).\}$
$q/1$	$\{p(X)\}$	$\{\{X \rightarrow a\},$	$\cup \{q(a)\}$	$\cup \{q(a) \leftarrow p(a).\}$
		$\{X \rightarrow b\}\}$	$\cup \{q(b)\}$	$\cup \{q(b) \leftarrow p(b).\}$
$r/0$	$\{q(X)\}$	$\{\{X \rightarrow a\},$	$\cup \{r\}$	$\cup \{r \leftarrow q(a), \text{not } g(a), \text{not } r.\}$
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$p$	$P$	$\text{inst}(P, D)$	$D$	$G$
$d/1$	$\emptyset$	$\{\emptyset\}$	$\cup \{d(a)\}$	$\cup \{d(a).\}$
	$\emptyset$	$\{\emptyset\}$	$\cup \{d(b)\}$	$\cup \{d(b).\}$
$g/1$	$\emptyset$	$\{\emptyset\}$	$\cup \{g(b)\}$	$\cup \{g(b).\}$
$p/1$	$\{d(X)\}$	$\{\{X \rightarrow a\},$ $\{X \rightarrow b\}\}$	$\cup \{p(a)\}$ $\cup \{p(b)\}$	$\cup \{p(a) \leftarrow q(a), d(a).\}$ $\cup \{p(b) \leftarrow q(b), d(b).\}$
$q/1$	$\{p(X)\}$	$\{\{X \rightarrow a\},$ $\{X \rightarrow b\}\}$	$\cup \{q(a)\}$ $\cup \{q(b)\}$	$\cup \{q(a) \leftarrow p(a).\}$ $\cup \{q(b) \leftarrow p(b).\}$
$r/0$	$\{q(X)\}$	$\{\{X \rightarrow a\},$ $\{X \rightarrow b\}\}$	$\cup \{r\}$ $\cup \{r\}$	$\cup \{r \leftarrow q(a), \text{not } g(a), \text{not } r.\}$ $\cup \{r \leftarrow q(b), \text{not } g(b), \text{not } r.\}$

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$p$	$P$	$\text{inst}(P, D)$	$D$	$G$
$d/1$	$\emptyset$	$\{\emptyset\}$	$\cup \{d(a)\}$	$\cup \{d(a).\}$
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$p/1$	$\{d(X)\}$	$\{\{X \rightarrow a\},$	$\cup \{p(a)\}$	$\cup \{p(a) \leftarrow q(a), d(a).\}$
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$q/1$	$\{p(X)\}$	$\{\{X \rightarrow a\},$	$\cup \{q(a)\}$	$\cup \{q(a) \leftarrow p(a).\}$
		$\{X \rightarrow b\}\}$	$\cup \{q(b)\}$	$\cup \{q(b) \leftarrow p(b).\}$
$r/0$	$\{q(X)\}$	$\{\{X \rightarrow a\},$	$\cup \{r\}$	$\cup \{r \leftarrow q(a), \text{not } g(a), \text{not } r.\}$
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	$\emptyset$	$\{\emptyset\}$	$\cup \{d(b)\}$	$\cup \{d(b).\}$
$g/1$	$\emptyset$	$\{\emptyset\}$	$\cup \{g(b)\}$	$\cup \{g(b).\}$
$p/1$	$\{d(X)\}$	$\{\{X \rightarrow a\},$ $\{X \rightarrow b\}\}$	$\cup \{p(a)\}$ $\cup \{p(b)\}$	$\cup \{p(a) \leftarrow q(a), d(a).\}$ $\cup \{p(b) \leftarrow q(b), d(b).\}$
$q/1$	$\{p(X)\}$	$\{\{X \rightarrow a\},$ $\{X \rightarrow b\}\}$	$\cup \{q(a)\}$ $\cup \{q(b)\}$	$\cup \{q(a) \leftarrow p(a).\}$ $\cup \{q(b) \leftarrow p(b).\}$
$r/0$	$\{q(X)\}$	$\{\{X \rightarrow a\},$ $\{X \rightarrow b\}\}$	$\cup \{r\}$ $\cup \{r\}$	$\cup \{r \leftarrow q(a), \text{not } g(a), \text{not } r.\}$ $\cup \{r \leftarrow q(b), \text{not } g(b), \text{not } r.\}$

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$q/1$	$\{p(X)\}$	$\{\{X \rightarrow a\},$ $\{X \rightarrow b\}\}$	$\cup \{q(a)\}$ $\cup \{q(b)\}$	$\cup \{q(a) \leftarrow p(a).\}$ $\cup \{q(b) \leftarrow p(b).\}$
$r/0$	$\{q(X)\}$	$\{\{X \rightarrow a\},$ $\{X \rightarrow b\}\}$	$\cup \{r\}$ $\cup \{r\}$	$\cup \{r \leftarrow q(a), \text{not } g(a), \text{not } r.\}$ $\cup \{r \leftarrow q(b), \text{not } g(b), \text{not } r.\}$

## Example

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$d/1$	$\emptyset$	$\{\emptyset\}$	$\cup \{d(a)\}$	$\cup \{d(a).\}$
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$g/1$	$\emptyset$	$\{\emptyset\}$	$\cup \{g(b)\}$	$\cup \{g(b).\}$
$p/1$	$\{d(X)\}$	$\{\{X \rightarrow a\},$	$\cup \{p(a)\}$	$\cup \{p(a) \leftarrow q(a), d(a).\}$
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# Instantiating Safe Programs

---

**Algorithm:**  $\text{instantiate}_{\text{safe}}(\Pi)$ 


---

**Input** : A safe program  $\Pi$

**Output** : A ground program  $G$

```

1  $D \leftarrow \emptyset$ 
2  $G \leftarrow \emptyset$ 
3 repeat
4    $D' \leftarrow D$ 
5   foreach  $r \in \Pi$  do
6      $P \leftarrow \text{body}^+(r)$ 
7     foreach  $\theta \in \text{inst}(P, D)$  do
8        $D \leftarrow D \cup \{\text{head}(r)\theta\}$ 
9        $G \leftarrow G \cup \{r\theta\}$ 
10 until  $D = D'$ 

```

---

- ☞ Possibly generates fewer rules than  $\text{instantiate}_{\omega}$  and  $\text{instantiate}_{\lambda}$ .
- ☞ Real implementations have to carefully avoid regrounding rules (semi-naive evaluation).



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- ☞ Possibly generates fewer rules than  $\text{instantiate}_{\omega}$  and  $\text{instantiate}_{\lambda}$ .
- ☞ Real implementations have to carefully **avoid regrounding** rules (semi-naive evaluation).

## Example

 $p(a, b).$  $p(b, c).$  $p(c, d).$  $p(X, Z) \leftarrow p(X, Y), p(Y, Z).$ 

$\text{inst}(P, D)$	$D$	$G$
$\{\emptyset\}$	$\cup \{p(a, b)\}$	$\cup \{p(a, b).\}$
$\{\emptyset\}$	$\cup \{p(b, c)\}$	$\cup \{p(b, c).\}$
$\{\emptyset\}$	$\cup \{p(c, d)\}$	$\cup \{p(c, d).\}$
$\{\{X \rightarrow a, Y \rightarrow b, Z \rightarrow c\},$	$\cup \{p(a, c)\}$	$\cup \{p(a, c) \leftarrow p(a, b), p(b, c).\}$
$\{X \rightarrow b, Y \rightarrow c, Z \rightarrow d\}\}$	$\cup \{p(b, d)\}$	$\cup \{p(b, d) \leftarrow p(b, c), p(c, d).\}$
$\{\{X \rightarrow a, Y \rightarrow c, Z \rightarrow d\},$	$\cup \{p(a, d)\}$	$\cup \{p(a, d) \leftarrow p(a, c), p(c, d).\}$
$\{X \rightarrow a, Y \rightarrow b, Z \rightarrow d\}\}$	$\cup \{p(a, d)\}$	$\cup \{p(a, d) \leftarrow p(a, b), p(b, d).\}$
Fixpoint		

## Example

 $p(a, b).$  $p(b, c).$  $p(c, d).$  $p(X, Z) \leftarrow p(X, Y), p(Y, Z).$ 

$\text{inst}(P, D)$	$D$	$G$
$\{\emptyset\}$	$\cup \{p(a, b)\}$	$\cup \{p(a, b).\}$
$\{\emptyset\}$	$\cup \{p(b, c)\}$	$\cup \{p(b, c).\}$
$\{\emptyset\}$	$\cup \{p(c, d)\}$	$\cup \{p(c, d).\}$
$\{\{X \rightarrow a, Y \rightarrow b, Z \rightarrow c\},$	$\cup \{p(a, c)\}$	$\cup \{p(a, c) \leftarrow p(a, b), p(b, c).\}$
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Fixpoint		

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 $p(a, b).$  $p(b, c).$  $p(c, d).$  $p(X, Z) \leftarrow p(X, Y), p(Y, Z).$ 

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$\{X \rightarrow b, Y \rightarrow c, Z \rightarrow d\}\}$	$\cup \{p(b, d)\}$	$\cup \{p(b, d) \leftarrow p(b, c), p(c, d).\}$
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$\{X \rightarrow a, Y \rightarrow b, Z \rightarrow d\}\}$	$\cup \{p(a, d)\}$	$\cup \{p(a, d) \leftarrow p(a, b), p(b, d).\}$
Fixpoint		

## Example

 $p(a, b).$ 
 $p(b, c).$ 
 $p(c, d).$ 
 $p(X, Z) \leftarrow p(X, Y), p(Y, Z).$ 

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$\{\emptyset\}$	$\cup \{p(a, b)\}$	$\cup \{p(a, b).\}$
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$\{\{X \rightarrow a, Y \rightarrow b, Z \rightarrow c\},$ $\{X \rightarrow b, Y \rightarrow c, Z \rightarrow d\}\}$	$\cup \{p(a, c)\}$	$\cup \{p(a, c) \leftarrow p(a, b), p(b, c).\}$
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Fixpoint		

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 $p(a, b).$  $p(b, c).$  $p(c, d).$  $p(X, Z) \leftarrow p(X, Y), p(Y, Z).$ 

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## Example

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## Example

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Fixpoint		

# Optimizations

## ■ Remove facts from rule bodies:

1  $r(c)$ . has already been found

2  $p(a) \leftarrow q(b), r(c)$ . is found

🔍 Simplify ground rule to  $p(a) \leftarrow q(b)$ .

## ■ Skip rules that contain false literals:

1  $r(c)$ . has already been found

2  $p(a) \leftarrow q(b), \text{not } r(c)$ . is found

🔍 Skip the ground rule.

## 🔍 Allows for finitely grounding larger class of programs:

■ Consider  $\Pi = \{ p(a). \quad q(f(f(a))). \quad p(f(X)) \leftarrow p(X), \text{not } q(X). \}$

🔍  $\text{instantiate}_{\text{safe}}(\Pi)$  will terminate !

# Optimizations

## ■ Remove facts from rule bodies:

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# Overview

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64 Rule Instantiation

# Predicate-Rule Dependency Graph

## Definition

Let  $\Pi$  be a logic program.

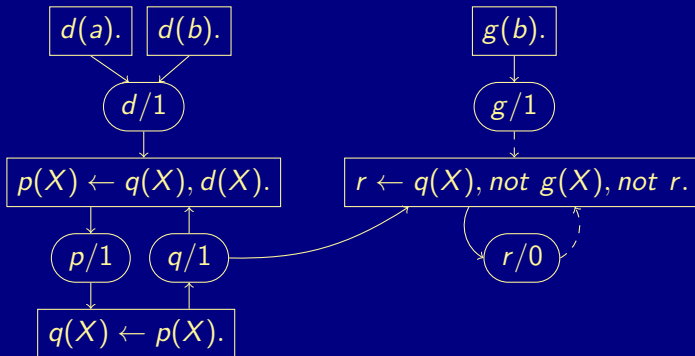
- 1 The **predicate-rule dependency graph**  $G_{\Pi} = (V, E)$  of  $\Pi$  is a directed graph s.t.:
  - $V$  is the set of predicates and rules of  $\Pi$
  - $(p/n, r) \in E$  if predicate  $p/n$  occurs in the body of rule  $r$
  - $(r, p/n) \in E$  if predicate  $p/n$  occurs in the head of rule  $r$
- 2  $(p/n, r) \in E$  is **negative** if predicate  $p/n$  occurs in the negative body of rule  $r$

☞ More fine-grained static program analysis.



## Example

$$d(a). \quad d(b). \quad g(b). \quad p(X) \leftarrow q(X), d(X).$$

$$q(X) \leftarrow p(X). \quad r \leftarrow q(X), \text{not } g(X), \text{not } r.$$


# Strongly Connected Components I

A graph is **strongly connected** if all vertices pairwise reach each other via some path.

## Definition

Let  $G = (V, E)$  be a graph.

- 1 A set  $C \subseteq V$  of vertices belonging to a maximal strongly connected subgraph of  $G$  is called a **strongly connected component (SCC)** of  $G$ .
- 2 An SCC  $A$  **depends** on an SCC  $B$  if  $(B \times A) \cap E \neq \emptyset$ .

👉 Dependencies among SCCs are acyclic.

👉 The SCCs of a predicate-rule dependency graph can be used to partition a logic program.

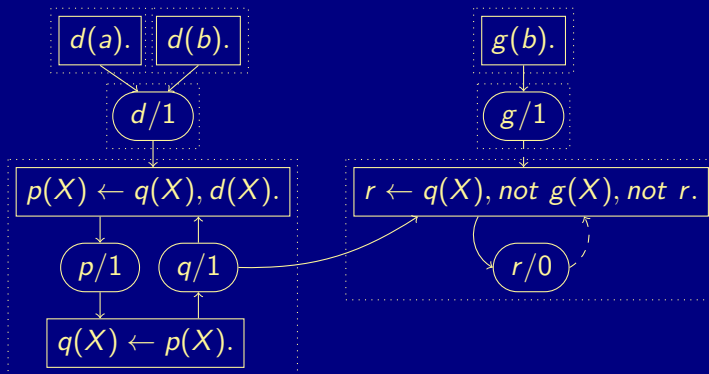
# Strongly Connected Components II

## Definition

Given a logic program  $\Pi$ , an SCC of  $G_\Pi$  is

- **normal** if it contains a negative edge or depends on a normal SCC,
- **basic** if it is not normal and contains at least one edge,
- **fact** otherwise.

- ☞ A program is  $\lambda$ -restricted if its components are  $\lambda$ -restricted.
- ☞ Basic and fact components do not involve “choices”.
- ☞ SCCs can be grounded in topological order.

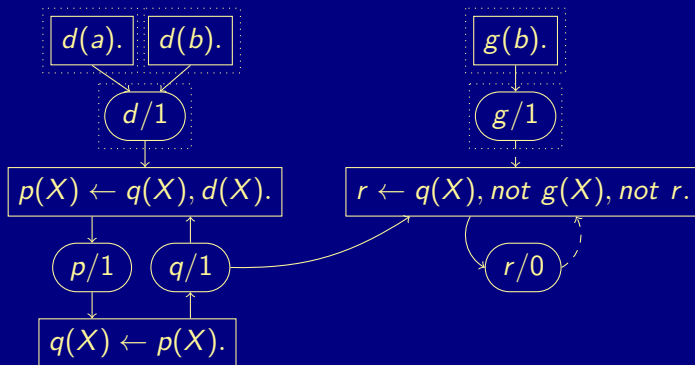


fact  $\{d(a).\}, \{d(b).\}, \{g(b).\}, \{d/1\}, \{g/1\}$

basic  $\{p(X) \leftarrow q(X), d(X)., q(X) \leftarrow p(X)., p/1, q/1\}$

normal  $\{r \leftarrow q(X), \text{not } g(X), \text{not } r., r/0\}$

A topological order

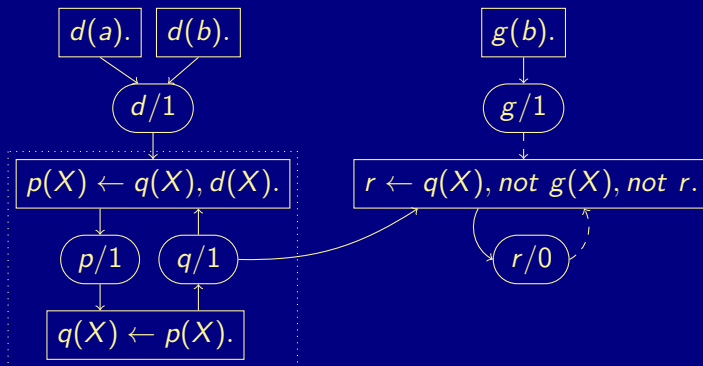


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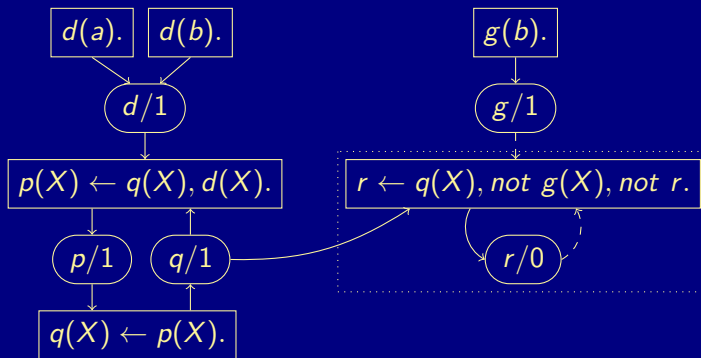


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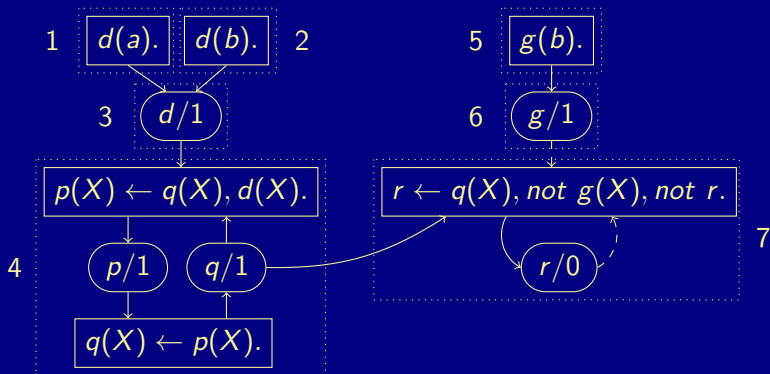


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A topological order



# Overview

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# The Backtracking Instantiator

## Definition

Given a signature  $\sigma$ , a substitution  $\theta$ , an atom  $A$ , and a domain  $D$ :

$$\text{match}(\theta, A, D) = \{\theta \cup \theta' \mid \theta' : \text{vars}(A\theta) \rightarrow U_\sigma, (A\theta)\theta' \in D\}$$

---

## Algorithm: $\text{instantiate}_{\text{bt}}(\theta, P)$

---

**Input** : A substitution  $\theta$  and a list  $P$  of atoms

**Output** : Set of (ground) substitutions

**Global** : Domain  $D$

```

1 if  $P = []$  then return  $\{\theta\}$ 
2 else
3    $S \leftarrow \emptyset$ 
4   foreach  $\theta' \in \text{match}(\theta, \text{first}(P), D)$  do
5      $S \leftarrow S \cup \text{instantiate}_{\text{bt}}(\theta', \text{tail}(P))$ 
6   return  $S$ 

```

---

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6   return  $S$ 

```

---

## Example

## Example

$$P = [p(X, Y), q(Y, Z), r(Z)]$$

 $p(a, b)$ 
 $q(b, c)$ 
 $r(c)$ 
 $p(b, a)$ 
 $q(b, a)$ 
 $q(a, c)$ 

- $\theta = \{X \rightarrow a, Y \rightarrow b\}$
- $S = \emptyset$

## Example

## Example

$$P = [p(X, Y), q(Y, Z), r(Z)]$$

$$p(a, b) \longrightarrow q(b, c) \quad r(c)$$

$$p(b, a) \quad q(b, a)$$

$$q(a, c)$$

- $\theta = \{X \rightarrow a, Y \rightarrow b, Z \rightarrow c\}$
- $S = \emptyset$

## Example

## Example

$$P = [p(X, Y), q(Y, Z), r(Z)]$$

$$p(a,b) \longrightarrow q(b,c) \longrightarrow r(c)$$

$$p(b,a) \qquad q(b,a)$$

$$q(a,c)$$

- $\theta = \{X \rightarrow a, Y \rightarrow b, Z \rightarrow c\}$
- $S = \{\{X \rightarrow a, Y \rightarrow b, Z \rightarrow c\}\}$

## Example

## Example

$$P = [p(X, Y), q(Y, Z), r(Z)]$$

$$p(a, b) \longrightarrow q(b, c) \quad r(c)$$

$$p(b, a) \quad q(b, a)$$

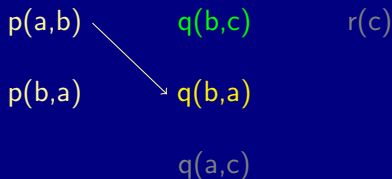
$$q(a, c)$$

- $\theta = \{X \rightarrow a, Y \rightarrow b\}$
- $S = \{\{X \rightarrow a, Y \rightarrow b, Z \rightarrow c\}\}$

## Example

## Example

$$P = [p(X, Y), q(Y, Z), r(Z)]$$



- $\theta = \{X \rightarrow a, Y \rightarrow b, Z \rightarrow a\}$
- $S = \{\{X \rightarrow a, Y \rightarrow b, Z \rightarrow c\}\}$



## Example

## Example

$$P = [p(X, Y), q(Y, Z), r(Z)]$$

 $p(a, b)$ 
 $q(b, c)$ 
 $r(c)$ 
 $p(b, a)$ 
 $q(b, a)$ 
 $q(a, c)$ 

- $\theta = \{\}$
- $S = \{\{X \rightarrow a, Y \rightarrow b, Z \rightarrow c\}\}$

## Example

## Example

$$P = [p(X, Y), q(Y, Z), r(Z)]$$

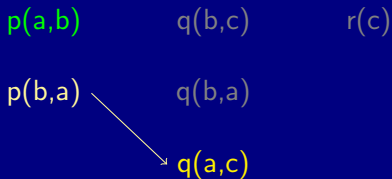
 $p(a, b)$ 
 $q(b, c)$ 
 $r(c)$ 
 $p(b, a)$ 
 $q(b, a)$ 
 $q(a, c)$ 

- $\theta = \{X \rightarrow b, Y \rightarrow a\}$
- $S = \{\{X \rightarrow a, Y \rightarrow b, Z \rightarrow c\}\}$

## Example

## Example

$$P = [p(X, Y), q(Y, Z), r(Z)]$$

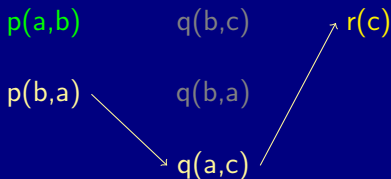


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## Example

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$$P = [p(X, Y), q(Y, Z), r(Z)]$$

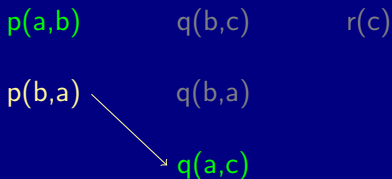


- $\theta = \{X \rightarrow b, Y \rightarrow a, Z \rightarrow c\}$
- $S = \{\{X \rightarrow a, Y \rightarrow b, Z \rightarrow c\}, \{X \rightarrow b, Y \rightarrow a, Z \rightarrow c\}\}$

## Example

## Example

$$P = [p(X, Y), q(Y, Z), r(Z)]$$



- $\theta = \{X \rightarrow b, Y \rightarrow a\}$
- $S = \{\{X \rightarrow a, Y \rightarrow b, Z \rightarrow c\}, \{X \rightarrow b, Y \rightarrow a, Z \rightarrow c\}\}$

## Example

## Example

$$P = [p(X, Y), q(Y, Z), r(Z)]$$

 $p(a, b)$ 
 $q(b, c)$ 
 $r(c)$ 
 $p(b, a)$ 
 $q(b, a)$ 
 $q(a, c)$ 

- $\theta = \{\}$
- $S = \{\{X \rightarrow a, Y \rightarrow b, Z \rightarrow c\}, \{X \rightarrow b, Y \rightarrow a, Z \rightarrow c\}\}$

# The Backjumping Instantiator

---

**Algorithm:**  $\text{instantiate}_{\text{bj}}(\theta, P)$ 


---

**Input** : A substitution  $\theta$  and a list  $P$  of atoms

**Output** : Set of (ground) substitutions and variables to bind

**Global** : Output variables  $O$  and domain  $D$

```

1 if  $P = []$  then return  $(\{\theta\}, O)$ 
2 else
3    $A \leftarrow \text{first}(P)$ 
4    $M \leftarrow \text{match}(\theta, A, D)$ 
5   if  $M = \emptyset$  then
6     return  $(\emptyset, \text{vars}(A))$ 
7   else
8      $S \leftarrow \emptyset$ 
9      $B \leftarrow \emptyset$ 
10    foreach  $\theta' \in M$  do
11       $(S, B) \leftarrow (S, B) \sqcup \text{instantiate}_{\text{bj}}(\theta', \text{tail}(P))$ 
12      if  $\text{vars}(A\theta) \cap B = \emptyset$  then return  $(S, B)$ 
13    return  $(S, B \cup \text{vars}(A))$ 

```

---

# Advanced Modeling: Overview

65 Introduction

66 Tweaking  $N$ -Queens

67 Do's and Dont's

68 Hitori Puzzle

69 Ramsey Numbers



# Overview

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# Motivation

- Many problems can nicely be encoded using ASP
  - There are often many degrees of freedom to encode a problem
  - Even worse, different encodings may lead to drastically different solving times
  - ☞ We will try to find some hints on how to efficiently encode problems using ASP
- Some problems can, due to increased complexity, no longer be (polynomially) represented using normal logic programs
  - ☞ We will take a look on how disjunctive rules can be used to overcome this situation

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- Many problems can nicely be encoded using ASP
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# Solving a Problem Using ASP

## ■ My (Roland) steps to solve a problem using ASP

- 1 Create a small test instance
- 2 Come up with a quick solution
- 3 Debug this solution using the test instance
  - Use ASPViz or write some small scripts
- 4 Switch to larger instances
- 5 Analyze the flaws of the quick solution
  - Size of the grounding
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- 6 Incrementally refine the solution
  - The quick solution serves as cross-check
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☞ Basically it is a Trial and Error process

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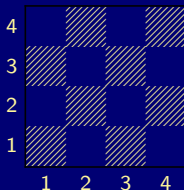
# $N$ -Queens Problem

## Problem Specification

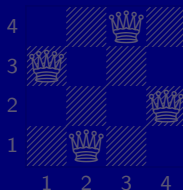
Given an  $N \times N$  chessboard,  
place  $N$  queens such that they do not attack each other.

$$N = 4$$

Chessboard



Placement



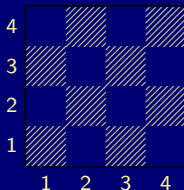
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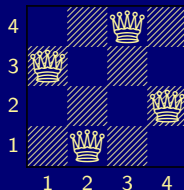
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$$N = 4$$

Chessboard



Placement



# A First Encoding

- 1 Each square may host a queen.
- 2 No row, column, or diagonal hosts two queens.
- 3 A placement is given by instances of queen in an answer set.

## queens\_0.lp

```
% DOMAIN
#const n=4. square(1..n,1..n).

% GENERATE
0 #count{ queen(X,Y) } 1 :- square(X,Y).

% TEST
:- queen(X1,Y1), queen(X1,Y2), Y1 < Y2.

% DISPLAY
#hide. #show queen/2.
```



# A First Encoding

- 1 Each square may host a queen.
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- 3 A placement is given by instances of `queen` in an answer set.

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queens_0.lp
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```

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```

```
[...]
```

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```

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```

```
[...]
```

```
% DISPLAY
```

```
#hide. #show queen/2.
```

*Anything missing?*

# A First Encoding

- 1 Each square may host a queen.
- 2 No row, column, or diagonal hosts two queens.
- 3 A placement is given by instances of `queen` in an answer set.
- 4 We have to place (at least)  $N$  queens.

```
queens_0.lp
```

```
% DOMAIN
```

```
#const n=4. square(1..n,1..n).
```

```
% GENERATE
```

```
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```

```
% TEST
```

```
[...]
```

```
:- not n #count{ queen(X,Y) }.
```

```
% DISPLAY
```

```
#hide. #show queen/2.
```

# A First Encoding

Let's Place 8 Queens!

```
gringo -c n=8 queens_0.lp | clasp --stats
```

```
Answer: 1
```

```
queen(1,6) queen(2,3) queen(3,1) queen(4,7)
```

```
queen(5,5) queen(6,8) queen(7,2) queen(8,4)
```

```
SATISFIABLE
```

```
Models      : 1+
```

```
Time        : 0.006s (Solving: 0.00s 1st Model: 0.00s Unsat: 0.00s)
```

```
CPU Time    : 0.000s
```

```
Choices     : 18
```

```
Conflicts   : 13
```

```
Restarts    : 0
```

```
Variables   : 793
```

```
Constraints : 729
```

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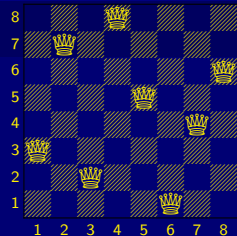
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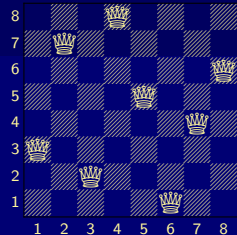
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Conflicts : 13

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# A First Encoding

Let's Place 22 Queens!

```
gringo -c n=22 queens_0.lp | clasp --stats
```

```
Answer: 1
```

```
queen(1,10) queen(2,6) queen(3,16) queen(4,14) queen(5,8) ...
```

```
SATISFIABLE
```

```
Models      : 1+
```

```
Time        : 150.531s (Solving: 150.37s 1st Model: 150.34s Unsat: 0.00s)
```

```
CPU Time    : 147.480s
```

```
Choices     : 594960
```

```
Conflicts   : 574565
```

```
Restarts    : 19
```

```
Variables   : 17271
```

```
Constraints : 16787
```

# A First Encoding

Let's Place **22** Queens!

```
gringo -c n=22 queens_0.lp | clasp --stats
```

Answer: 1

queen(1,10) queen(2,6) queen(3,16) queen(4,14) queen(5,8) ...

SATISFIABLE

Models : 1+

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Variables : 17271

Constraints : 16787

# A First Refinement

At least  $N$  queens?

Exactly one queen per row and column!

```
queens_0.lp
```

```
% DOMAIN
```

```
#const n=4. square(1..n,1..n).
```

```
% GENERATE
```

```
0 #count{ queen(X,Y) } 1 :- square(X,Y).
```

```
% TEST
```

```
:- queen(X1,Y1), queen(X1,Y2), Y1 < Y2.
```

```
:- queen(X1,Y1), queen(X2,Y1), X1 < X2.
```

```
:- queen(X1,Y1), queen(X2,Y2), X1 < X2, X2-X1 == |Y2-Y1|.
```

```
:- not n #count{ queen(X,Y) }.
```

```
% DISPLAY
```

```
#hide. #show queen/2.
```

## A First Refinement

At least  $N$  queens?Exactly one queen per **row** and column!`queens_0.lp``% DOMAIN``#const n=4. square(1..n,1..n).``% GENERATE``0 #count{ queen(X,Y) } 1 :- square(X,Y).``% TEST``:- X = 1..n, not 1 #count{ queen(X,Y) } 1.``:- queen(X1,Y1), queen(X2,Y1), X1 < X2.``:- queen(X1,Y1), queen(X2,Y2), X1 < X2, X2-X1 == |Y2-Y1|.``:- not n #count{ queen(X,Y) }.``% DISPLAY``#hide. #show queen/2.`

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# A First Refinement

At least  $N$  queens?

Exactly one queen per row and column!

```
queens_1.lp
```

```
% DOMAIN
```

```
#const n=4. square(1..n,1..n).
```

```
% GENERATE
```

```
0 #count{ queen(X,Y) } 1 :- square(X,Y).
```

```
% TEST
```

```
:- X = 1..n, not 1 #count{ queen(X,Y) } 1.
```

```
:- Y = 1..n, not 1 #count{ queen(X,Y) } 1.
```

```
:- queen(X1,Y1), queen(X2,Y2), X1 < X2, X2-X1 == |Y2-Y1|.
```

```
% DISPLAY
```

```
#hide. #show queen/2.
```



# A First Refinement

Let's Place **22** Queens!

```
gringo -c n=22 queens_1.lp | clasp --stats
```

```
Answer: 1
```

```
queen(1,18) queen(2,10) queen(3,21) queen(4,3) queen(5,5) ...
```

```
SATISFIABLE
```

```
Models      : 1+
```

```
Time        : 0.113s (Solving: 0.00s 1st Model: 0.00s Unsat: 0.00s)
```

```
CPU Time    : 0.020s
```

```
Choices     : 132
```

```
Conflicts   : 105
```

```
Restarts    : 1
```

```
Variables   : 7238
```

```
Constraints : 6710
```

# A First Refinement

Let's Place **22** Queens!

```
gringo -c n=22 queens_1.lp | clasp --stats
```

Answer: 1

queen(1,18) queen(2,10) queen(3,21) queen(4,3) queen(5,5) ...

SATISFIABLE

Models : 1+

Time : 0.113s (Solving: 0.00s 1st Model: 0.00s Unsat: 0.00s)

CPU Time : 0.020s

Choices : 132

Conflicts : 105

Restarts : 1

Variables : 7238

Constraints : 6710

# A First Refinement

Let's Place 122 Queens!

```
gringo -c n=122 queens_1.lp | clasp --stats
```

```
Answer: 1
```

```
queen(1,24) queen(2,52) queen(3,37) queen(4,60) queen(5,76) ...  
SATISFIABLE
```

```
Models      : 1+
```

```
Time        : 79.475s (Solving: 1.06s 1st Model: 1.06s Unsat: 0.00s)
```

```
CPU Time    : 6.930s
```

```
Choices     : 1373
```

```
Conflicts   : 845
```

```
Restarts    : 4
```

```
Variables   : 1211338
```

```
Constraints : 1196210
```

# A First Refinement

Let's Place 122 Queens!

```
gringo -c n=122 queens_1.lp | clasp --stats
```

Answer: 1

queen(1,24) queen(2,52) queen(3,37) queen(4,60) queen(5,76) ...  
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# A First Refinement

## Where Time Has Gone

```
time( gringo -c n=122 queens_1.lp | clasp --stats
```

```
1241358 7402724 24950848
```

```
real 1m15.468s
```

```
user 1m15.980s
```

```
sys 0m0.090s
```

⚡ Grounding makes the problem!

# A First Refinement

## Where Time Has Gone

```
time(gringo -c n=122 queens_1.lp | wc)
```

```
1241358 7402724 24950848
```

```
real 1m15.468s
```

```
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```
sys 0m0.090s
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# A First Refinement

## Where Time Has Gone

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real 1m15.468s  
user 1m15.980s  
sys 0m0.090s
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# Just kidding :-)

⚡ Grounding makes the problem!



# A First Refinement

## Where Time Has Gone

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# A First Refinement

Grounding Time  $\sim$  Space

## queens\_1.lp

```
% DOMAIN
#const n=4. square(1..n,1..n). O(n \times n)

% GENERATE
0 #count{ queen(X,Y) } 1 :- square(X,Y). O(n \times n)

% TEST
:- X = 1..n, not 1 #count{ queen(X,Y) } 1. O(n \times n)
:- Y = 1..n, not 1 #count{ queen(X,Y) } 1. O(n \times n)
:- queen(X1,Y1), queen(X2,Y2), X1 < X2, X2-X1 == |Y2-Y1|. O(n^2 \times n^2)

% DISPLAY
#hide. #show queen/2.
```

# A First Refinement

Grounding Time  $\sim$  Space

queens\_1.lp

% DOMAIN

#const n=4. square(1..n,1..n).

$O(n \times n)$

% GENERATE

0 #count{ queen(X,Y) } 1 :- square(X,Y).

$O(n \times n)$

% TEST

:- X = 1..n, not 1 #count{ queen(X,Y) } 1.

$O(n \times n)$

:- Y = 1..n, not 1 #count{ queen(X,Y) } 1.

$O(n \times n)$

:- queen(X1,Y1), queen(X2,Y2), X1 < X2, X2-X1 == |Y2-Y1|.

$O(n^2 \times n^2)$

% DISPLAY

#hide. #show queen/2.

# A First Refinement

Grounding Time  $\sim$  Space

queens\_1.lp

% DOMAIN

#const n=4. square(1..n,1..n).

$O(n \times n)$

% GENERATE

0 #count{ queen(X,Y) } 1 :- square(X,Y).

$O(n \times n)$

% TEST

:- X = 1..n, not 1 #count{ queen(X,Y) } 1.

$O(n \times n)$

:- Y = 1..n, not 1 #count{ queen(X,Y) } 1.

$O(n \times n)$

:- queen(X1,Y1), queen(X2,Y2), X1 < X2, X2-X1 == |Y2-Y1|.

$O(n^2 \times n^2)$

% DISPLAY

#hide. #show queen/2.

# A First Refinement

Grounding Time  $\sim$  Space

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#hide. #show queen/2.

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Grounding Time  $\sim$  Space

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#const n=4. square(1..n,1..n).

$O(n \times n)$

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0 #count{ queen(X,Y) } 1 :- square(X,Y).

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:- X = 1..n, not 1 #count{ queen(X,Y) } 1.

$O(n \times n)$

:- Y = 1..n, not 1 #count{ queen(X,Y) } 1.

$O(n \times n)$

:- queen(X1,Y1), queen(X2,Y2), X1 < X2, X2-X1 == |Y2-Y1|.

$O(n^2 \times n^2)$

% DISPLAY

#hide. #show queen/2.



# A First Refinement

Grounding Time  $\sim$  Space

queens\_1.lp

```
% DOMAIN
#const n=4. square(1..n,1..n). O(n×n)

% GENERATE
0 #count{ queen(X,Y) } 1 :- square(X,Y). O(n×n)

% TEST
:- X = 1..n, not 1 #count{ queen(X,Y) } 1. O(n×n)
:- Y = 1..n, not 1 #count{ queen(X,Y) } 1. O(n×n)
:- queen(X1,Y1), queen(X2,Y2), X1 < X2, X2-X1 == |Y2-Y1|. O(n²×n²)

% DISPLAY
#hide. #show queen/2.
```

# A First Refinement

Grounding Time  $\sim$  Space

queens\_1.lp

```
% DOMAIN
#const n=4. square(1..n,1..n). O(n \times n)

% GENERATE
0 #count{ queen(X,Y) } 1 :- square(X,Y). O(n \times n)

% TEST
:- X = 1..n, not 1 #count{ queen(X,Y) } 1. O(n \times n)
:- Y = 1..n, not 1 #count{ queen(X,Y) } 1. O(n \times n)
:- queen(X1,Y1), queen(X2,Y2), X1 < X2, X2-X1 == |Y2-Y1|. O(n^2 \times n^2)

% DISPLAY
#hide. #show queen/2.
```

# A First Refinement

Grounding Time  $\sim$  Space

queens\_1.lp

```
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#const n=4. square(1..n,1..n). O(n×n)

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% TEST
:- X = 1..n, not 1 #count{ queen(X,Y) } 1. O(n×n)
:- Y = 1..n, not 1 #count{ queen(X,Y) } 1. O(n×n)
:- queen(X1,Y1), queen(X2,Y2), X1 < X2, X2-X1 == |Y2-Y1|. O(n2×n2)

% DISPLAY
#hide. #show queen/2.
```

# A First Refinement

Grounding Time  $\sim$  Space

queens\_1.lp

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% DOMAIN
#const n=4. square(1..n,1..n). O(n×n)

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:- X = 1..n, not 1 #count{ queen(X,Y) } 1. O(n×n)
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:- queen(X1,Y1), queen(X2,Y2), X1 < X2, X2-X1 == |Y2-Y1|. O(n²×n²)

% DISPLAY
#hide. #show queen/2.
```

# A First Refinement

Grounding Time  $\sim$  Space

queens\_1.lp

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# A First Refinement

Grounding Time  $\sim$  Space

queens\_1.lp

```
% DOMAIN
#const n=4. square(1..n,1..n). O(n \times n)

% GENERATE
0 #count{ queen(X,Y) } 1 :- square(X,Y). O(n \times n)

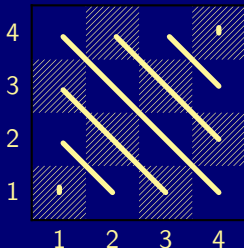
% TEST
:- X = 1..n, not 1 #count{ queen(X,Y) } 1. O(n \times n)
:- Y = 1..n, not 1 #count{ queen(X,Y) } 1. O(n \times n)
:- queen(X1,Y1), queen(X2,Y2), X1 < X2, X2-X1 == |Y2-Y1|. O(n^2 \times n^2)

% DISPLAY
#hide. #show queen/2.
```

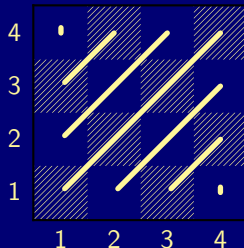
## Diagonals make trouble!

## A Nomenclature for Diagonals

$$N = 4$$



$$\begin{aligned} \#diagonal_1 = \\ (\#row + \#column) - 1 \end{aligned}$$



$$\begin{aligned} \#diagonal_2 = \\ (\#row - \#column) + N \end{aligned}$$

▣  $\#diagonal_{1/2}$  can be determined in this way for arbitrary  $N$ .

## A Nomenclature for Diagonals

$$N = 4$$

4	4	5	6	7
3	3	4	5	6
2	2	3	4	5
1	1	2	3	4
	1	2	3	4

$$\begin{aligned} \#diagonal_1 = \\ (\#row + \#column) - 1 \end{aligned}$$

4	7	6	5	4
3	6	5	4	3
2	5	4	3	2
1	4	3	2	1
	1	2	3	4

$$\begin{aligned} \#diagonal_2 = \\ (\#row - \#column) + N \end{aligned}$$

☞  $\#diagonal_{1/2}$  can be determined in this way for arbitrary  $N$ .



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1	4	3	2	1
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$$\begin{aligned} \#diagonal_2 = \\ (\#row - \#column) + N \end{aligned}$$

☞  $\#diagonal_{1/2}$  can be determined in this way for arbitrary  $N$ .

# A Second Refinement

Let's go for Diagonals!

```
queens_1.lp
```

```
% DOMAIN
```

```
#const n=4. square(1..n,1..n).
```

```
% GENERATE
```

```
0 #count{ queen(X,Y) } 1 :- square(X,Y).
```

```
% TEST
```

```
:- X = 1..n, not 1 #count{ queen(X,Y) } 1.
```

```
:- Y = 1..n, not 1 #count{ queen(X,Y) } 1.
```

```
:- queen(X1,Y1), queen(X2,Y2), X1 < X2, X2-X1 == |Y2-Y1|.
```

```
% DISPLAY
```

```
#hide. #show queen/2.
```

# A Second Refinement

Let's go for Diagonals!

```
queens_1.lp
```

```
% DOMAIN
```

```
#const n=4. square(1..n,1..n).
```

```
% GENERATE
```

```
0 #count{ queen(X,Y) } 1 :- square(X,Y).
```

```
% TEST
```

```
:- X = 1..n, not 1 #count{ queen(X,Y) } 1.
```

```
:- Y = 1..n, not 1 #count{ queen(X,Y) } 1.
```

```
:- D = 1..2*n-1, 2 #count{ queen(X,Y) : D == (X+Y)-1 }. % Diagonal 1
```

```
% DISPLAY
```

```
#hide. #show queen/2.
```

# A Second Refinement

Let's go for Diagonals!

```
queens_1.lp
```

```
% DOMAIN
```

```
#const n=4. square(1..n,1..n).
```

```
% GENERATE
```

```
0 #count{ queen(X,Y) } 1 :- square(X,Y).
```

```
% TEST
```

```
:- X = 1..n, not 1 #count{ queen(X,Y) } 1.
```

```
:- Y = 1..n, not 1 #count{ queen(X,Y) } 1.
```

```
:- D = 1..2*n-1, 2 #count{ queen(X,Y) : D == (X+Y)-1 }. % Diagonal 1
```

```
:- D = 1..2*n-1, 2 #count{ queen(X,Y) : D == (X-Y)+n }. % Diagonal 2
```

```
% DISPLAY
```

```
#hide. #show queen/2.
```

# A Second Refinement

Let's go for Diagonals!

```
queens_2.lp
```

```
% DOMAIN
```

```
#const n=4. square(1..n,1..n).
```

```
% GENERATE
```

```
0 #count{ queen(X,Y) } 1 :- square(X,Y).
```

```
% TEST
```

```
:- X = 1..n, not 1 #count{ queen(X,Y) } 1.
```

```
:- Y = 1..n, not 1 #count{ queen(X,Y) } 1.
```

```
:- D = 1..2*n-1, 2 #count{ queen(X,Y) : D == (X+Y)-1 }. % Diagonal 1
```

```
:- D = 1..2*n-1, 2 #count{ queen(X,Y) : D == (X-Y)+n }. % Diagonal 2
```

```
% DISPLAY
```

```
#hide. #show queen/2.
```

# A Second Refinement

Let's Place 122 Queens!

```
gringo -c n=122 queens_2.lp | clasp --stats
```

```
Answer: 1
```

```
queen(1,98) queen(2,54) queen(3,89) queen(4,83) queen(5,59) ...
```

```
SATISFIABLE
```

```
Models      : 1+
```

```
Time        : 2.211s (Solving: 0.13s 1st Model: 0.13s Unsat: 0.00s)
```

```
CPU Time    : 0.210s
```

```
Choices     : 11036
```

```
Conflicts   : 499
```

```
Restarts    : 3
```

```
Variables   : 16098
```

```
Constraints : 970
```

# A Second Refinement

Let's Place 122 Queens!

```
gringo -c n=122 queens_2.lp | clasp --stats
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Answer: 1

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Restarts : 3

Variables : 16098

Constraints : 970

# A Second Refinement

Let's Place **300** Queens!

```
gringo -c n=300 queens_2.lp | clasp --stats
```

```
Answer: 1
```

```
queen(1,62) queen(2,232) queen(3,176) queen(4,241) queen(5,207) ...  
SATISFIABLE
```

```
Models      : 1+
```

```
Time        : 35.450s (Solving: 6.69s 1st Model: 6.68s Unsat: 0.00s)
```

```
CPU Time    : 7.250s
```

```
Choices     : 141445
```

```
Conflicts   : 7488
```

```
Restarts    : 9
```

```
Variables   : 92994
```

```
Constraints : 2394
```

# A Second Refinement

Let's Place **300** Queens!

```
gringo -c n=300 queens_2.lp | clasp --stats
```

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Choices : 141445

Conflicts : 7488

Restarts : 9

Variables : 92994

Constraints : 2394

# A Third Refinement

## Let's Precompute Diagonals!

```
queens_2.lp
```

```
% DOMAIN
#const n=4. square(1..n,1..n).
diag1(X,Y,(X+Y)-1) :- square(X,Y). diag2(X,Y,(X-Y)+n) :- square(X,Y).

% GENERATE
0 #count{ queen(X,Y) } 1 :- square(X,Y).

% TEST
:- X = 1..n, not 1 #count{ queen(X,Y) } 1.
:- Y = 1..n, not 1 #count{ queen(X,Y) } 1.
:- D = 1..2*n-1, 2 #count{ queen(X,Y) : D == (X+Y)-1 }. % Diagonal 1
:- D = 1..2*n-1, 2 #count{ queen(X,Y) : D == (X-Y)+n }. % Diagonal 2

% DISPLAY
#hide. #show queen/2.
```

# A Third Refinement

## Let's Precompute Diagonals!

```
queens_2.lp
```

```
% DOMAIN
#const n=4. square(1..n,1..n).
diag1(X,Y,(X+Y)-1) :- square(X,Y). diag2(X,Y,(X-Y)+n) :- square(X,Y).

% GENERATE
0 #count{ queen(X,Y) } 1 :- square(X,Y).

% TEST
:- X = 1..n, not 1 #count{ queen(X,Y) } 1.
:- Y = 1..n, not 1 #count{ queen(X,Y) } 1.
:- D = 1..2*n-1, 2 #count{ queen(X,Y) : D == (X+Y)-1 }. % Diagonal 1
:- D = 1..2*n-1, 2 #count{ queen(X,Y) : D == (X-Y)+n }. % Diagonal 2

% DISPLAY
#hide. #show queen/2.
```

# A Third Refinement

## Let's Precompute Diagonals!

```
queens_2.lp
```

```
% DOMAIN
#const n=4. square(1..n,1..n).
diag1(X,Y,(X+Y)-1) :- square(X,Y). diag2(X,Y,(X-Y)+n) :- square(X,Y).

% GENERATE
0 #count{ queen(X,Y) } 1 :- square(X,Y).

% TEST
:- X = 1..n, not 1 #count{ queen(X,Y) } 1.
:- Y = 1..n, not 1 #count{ queen(X,Y) } 1.
:- D = 1..2*n-1, 2 #count{ queen(X,Y) : diag1(X,Y,D) }. % Diagonal 1
:- D = 1..2*n-1, 2 #count{ queen(X,Y) : diag2(X,Y,D) }. % Diagonal 2

% DISPLAY
#hide. #show queen/2.
```

# A Third Refinement

## Let's Precompute Diagonals!

```
queens_3.lp
```

```
% DOMAIN
#const n=4. square(1..n,1..n).
diag1(X,Y,(X+Y)-1) :- square(X,Y). diag2(X,Y,(X-Y)+n) :- square(X,Y).

% GENERATE
0 #count{ queen(X,Y) } 1 :- square(X,Y).

% TEST
:- X = 1..n, not 1 #count{ queen(X,Y) } 1.
:- Y = 1..n, not 1 #count{ queen(X,Y) } 1.
:- D = 1..2*n-1, 2 #count{ queen(X,Y) : diag1(X,Y,D) }. % Diagonal 1
:- D = 1..2*n-1, 2 #count{ queen(X,Y) : diag2(X,Y,D) }. % Diagonal 2

% DISPLAY
#hide. #show queen/2.
```



# A Third Refinement

Let's Place **300** Queens!

```
gringo -c n=300 queens_3.lp | clasp --stats
```

```
Answer: 1
```

```
queen(1,62) queen(2,232) queen(3,176) queen(4,241) queen(5,207) ...  
SATISFIABLE
```

```
Models      : 1+
```

```
Time        : 8.889s (Solving: 6.61s 1st Model: 6.60s Unsat: 0.00s)
```

```
CPU Time    : 7.320s
```

```
Choices     : 141445
```

```
Conflicts   : 7488
```

```
Restarts    : 9
```

```
Variables   : 92994
```

```
Constraints : 2394
```

# A Third Refinement

Let's Place **300** Queens!

```
gringo -c n=300 queens_3.lp | clasp --stats
```

Answer: 1

queen(1,62) queen(2,232) queen(3,176) queen(4,241) queen(5,207) ...  
SATISFIABLE

Models : 1+

Time : 8.889s (Solving: 6.61s 1st Model: 6.60s Unsat: 0.00s)

CPU Time : 7.320s

Choices : 141445

Conflicts : 7488

Restarts : 9

Variables : 92994

Constraints : 2394

# A Third Refinement

Let's Place 300 Queens!

```
gringo -c n=300 queens_3.lp | clasp --stats
```

Answer: 1

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Choices : 141445

Conflicts : 7488

Restarts : 9

Variables : 92994

Constraints : 2394

# A Third Refinement

Let's Place **600** Queens!

```
gringo -c n=600 queens_3.lp | clasp --stats
```

```
Answer: 1
```

```
queen(1,477) queen(2,365) queen(3,455) queen(4,470) queen(5,237) ...  
SATISFIABLE
```

```
Models      : 1+
```

```
Time        : 76.798s (Solving: 65.81s 1st Model: 65.75s Unsat: 0.00s)
```

```
CPU Time    : 68.620s
```

```
Choices     : 869379
```

```
Conflicts   : 25746
```

```
Restarts    : 12
```

```
Variables   : 365994
```

```
Constraints : 4794
```

# A Third Refinement

Let's Place **600** Queens!

```
gringo -c n=600 queens_3.lp | clasp --stats
```

Answer: 1

queen(1,477) queen(2,365) queen(3,455) queen(4,470) queen(5,237) ...  
SATISFIABLE

Models : 1+

Time : 76.798s (Solving: 65.81s 1st Model: 65.75s Unsat: 0.00s)

CPU Time : 68.620s

Choices : 869379

Conflicts : 25746

Restarts : 12

Variables : 365994

Constraints : 4794

# A Case for Oracles

Let's Place **600** Queens!

```
gringo -c n=600 queens_3.lp | clasp --stats  
--heuristic=vsids --trans-ext=dynamic
```

Answer: 1

queen(1,477) queen(2,365) queen(3,455) queen(4,470) queen(5,237) ...  
SATISFIABLE

Models : 1+  
Time : 76.798s (Solving: 65.81s 1st Model: 65.75s Unsat: 0.00s)  
CPU Time : 68.620s  
Choices : 869379  
Conflicts : 25746  
Restarts : 12

Variables : 365994  
Constraints : 4794

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```

Answer: 1

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SATISFIABLE

```
Models      : 1+  
Time        : 76.798s (Solving: 65.81s 1st Model: 65.75s Unsat: 0.00s)  
CPU Time    : 68.620s  
Choices     : 869379  
Conflicts   : 25746  
Restarts    : 12  
  
Variables   : 365994  
Constraints : 4794
```

# A Case for Oracles

Let's Place **600** Queens!

```
gringo -c n=600 queens_3.lp | clasp --stats  
--heuristic=vsids --trans-ext=dynamic
```

Answer: 1

queen(1,422) queen(2,458) queen(3,224) queen(4,408) queen(5,405) ...  
SATISFIABLE

Models : 1+  
Time : 37.454s (Solving: 26.38s 1st Model: 26.26s Unsat: 0.00s)  
CPU Time : 29.580s  
Choices : 961315  
Conflicts : 3222  
Restarts : 7

Variables : 365994  
Constraints : 4794



# A Case for Oracles

Let's Place **600** Queens!

```
gringo -c n=600 queens_3.lp | clasp --stats  
--heuristic=vsids --trans-ext=dynamic
```

Answer: 1

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```
Models      : 1+  
Time        : 37.454s (Solving: 26.38s 1st Model: 26.26s Unsat: 0.00s)  
CPU Time    : 29.580s  
Choices     : 961315  
Conflicts   : 3222  
Restarts    : 7  
  
Variables   : 365994  
Constraints : 4794
```

# A Case for Oracles

Let's Place 600 Queens!

```
gringo -c n=600 queens_3.lp | clasp --stats  
--heuristic=vsids --trans-ext=dynamic
```

Answer: 1

queen(1,90) queen(2,452) queen(3,494) queen(4,145) queen(5,84) ...  
SATISFIABLE

Models : 1+  
Time : 22.654s (Solving: 10.53s 1st Model: 10.47s Unsat: 0.00s)  
CPU Time : 15.750s  
Choices : 1058729  
Conflicts : 2128  
Restarts : 6

Variables : 403123  
Constraints : 49636

# Overview

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# Implementing Universal Quantification

**Goal:** identify objects such that ALL properties from a “list” hold

- ❶ check all properties explicitly ... obsolete if properties change
- ❷ use variable-sized conjunction (via ':') ... adapts to changing facts
- ❸ use negation of complement ... adapts to changing facts

**Example:** vegetables to buy

```
veg(asparagus).      veg(cucumber).  
pro(asparagus,cheap). pro(cucumber,cheap).  
pro(asparagus,fresh). pro(cucumber,fresh).  
pro(asparagus,tasty). pro(cucumber,tasty).
```

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```
buy(X) :- veg(X), pro(X,cheap), pro(X,fresh), pro(X,tasty).
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veg(asparagus).      veg(cucumber).
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pro(asparagus,tasty). pro(cucumber,tasty). pre(tasty).
pro(asparagus,clean).
```

```
buy(X) :- veg(X), pro(X,P) : pre(P).
```



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pro(asparagus,clean).                pre(clean).
  
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buy(X) :- veg(X), pro(X,P) : pre(P).
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pro(asparagus,clean).
  
```

# Implementing Universal Quantification

**Goal:** identify objects such that ALL properties from a “list” hold

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**Example:** vegetables to buy

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pro(asparagus,clean).
```

```
buy(X) :- veg(X), not bye(X).      bye(X) :- veg(X), pre(P), not pro(X,P).
```

# Implementing Universal Quantification

**Goal:** identify objects such that ALL properties from a “list” hold

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```

buy(X) :- veg(X), not bye(X).      bye(X) :- veg(X), pre(P), not pro(X,P).
  
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```

```

buy(X) :- veg(X), not bye(X).      bye(X) :- veg(X), pre(P), not pro(X,P).
  
```

# Running Example

## Latin Square

### Problem Specification

Fill an  $N \times N$  grid with numbers 1 to  $N$  such that each number occurs in every row and column.

$$N = 4$$

Grid

				1
				2
				3
				4
1	2	3	4	

Placement

1	2	3	4	1
4	1	2	3	2
3	4	1	2	3
2	3	4	1	4
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# Projecting Irrelevant Details Out

## A Latin square encoding

```
% DOMAIN
#const n=32. square(1..n,1..n).

% GENERATE
1 #count{ num(X,Y,N) : N = 1..n } 1 :- square(X,Y).

% TEST
:- square(X1,Y1), N = 1..n, not num(X1,Y2,N) : square(X1,Y2).
:- square(X1,Y1), N = 1..n, not num(X2,Y1,N) : square(X2,Y1).
```

 unreused “singleton variables”

```
gringo latin_0.lp | wc
```

```
105480 2558984 14005258
```

```
gringo latin_1.lp | wc
```

```
42056 273672 1690522
```



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#const n=32. square(1..n,1..n).
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1 #count{ num(X,Y,N) : N = 1..n } 1 :- square(X,Y).

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:- squareX(X1) , N = 1..n, not num(X1,Y2,N) : square(X1,Y2).
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# Unraveling Symmetric Inequalities

## Another Latin square encoding

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% DOMAIN
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% GENERATE
1 #count{ num(X,Y,N) : N = 1..n } 1 :- square(X,Y).

% TEST
:- num(X1,Y1,N), num(X1,Y2,N), Y1 != Y2.
:- num(X1,Y1,N), num(X2,Y1,N), X1 != X2.
```

☞ duplicate ground rules (swapping Y1/Y2 and X1/X2 gives the “same”)

```
gringo latin_2.lp | wc
```

```
2071560 12389384 40906946
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1055752 6294536 21099558
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:- num(X1,Y1,N), num(X1,Y2,N), Y1 < Y2.
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```

# Linearizing Existence Tests

## Still another Latin square encoding

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:- num(X1,Y1,N), num(X1,Y2,N), Y1 < Y2.
:- num(X1,Y1,N), num(X2,Y1,N), X1 < X2.
```

☞ uniqueness of N in a row/column checked by ENUMERATING PAIRS!

```
gringo latin_3.lp | wc
```

```
1055752 6294536 21099558
```

```
gringo latin_4.lp | wc
```

```
228360 1205256 4780744
```

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# Linearizing Existence Tests

## Still another Latin square encoding

```
% DOMAIN
#const n=32. square(1..n,1..n).

% GENERATE
1 #count{ num(X,Y,N) : N = 1..n } 1 :- square(X,Y).

% DEFINE + TEST
gtX(X-1,Y,N) :- num(X,Y,N), 1 < X.      gtY(X,Y-1,N) :- num(X,Y,N), 1 < Y.
gtX(X-1,Y,N) :- gtX(X,Y,N), 1 < X.      gtY(X,Y-1,N) :- gtY(X,Y,N), 1 < Y.
:- num(X,Y,N), gtX(X,Y,N).               :- num(X,Y,N), gtY(X,Y,N).
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☞ uniqueness of N in a row/column checked by ENUMERATING PAIRS!

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gringo latin_3.lp | wc
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% DEFINE + TEST
gtX(X-1,Y,N) :- num(X,Y,N), 1 < X.      gtY(X,Y-1,N) :- num(X,Y,N), 1 < Y.
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:- num(X,Y,N), gtX(X,Y,N).               :- num(X,Y,N), gtY(X,Y,N).
```

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:- num(X,Y,N), gtX(X,Y,N).              :- num(X,Y,N), gtY(X,Y,N).
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← uniqueness of N in a row/column checked by ENUMERATING PAIRS!

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```
228360 1205256 4780744
```

# Assigning Aggregate Values

## Yet another Latin square encoding

```
% DOMAIN
#const n=32. square(1..n,1..n).
sigma(S) :- S = #sum[ square(X,n) = X ].

% GENERATE
1 #count{ num(X,Y,N) : N = 1..n } 1 :- square(X,Y).

% DEFINE + TEST
occX(X,N,C) :- X = 1..n, N = 1..n, C = #count{ num(X,Y,N) }.
occY(Y,N,C) :- Y = 1..n, N = 1..n, C = #count{ num(X,Y,N) }.
:- occX(X,N,C), C != 1. :- occY(Y,N,C), C != 1.

% DISPLAY
#hide. #show num/3. #show sigma/1.
```

gringo latin\_5.lp | wc

gringo latin\_6.lp | wc



# Assigning Aggregate Values

## Yet another Latin square encoding

```
% DOMAIN
#const n=32. square(1..n,1..n).
sigma(S) :- S = #sum[ square(X,n) = X ].

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1 #count{ num(X,Y,N) : N = 1..n } 1 :- square(X,Y).

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occX(X,N,C) :- X = 1..n, N = 1..n, C = #count{ num(X,Y,N) }.
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:- occX(X,N,C), C != 1. :- occY(Y,N,C), C != 1.

% DISPLAY
#hide. #show num/3. #show sigma/1.
```

```
gringo latin_5.lp | wc
```

```
gringo latin_6.lp | wc
```

# Assigning Aggregate Values

## Yet another Latin square encoding

% DOMAIN

```
#const n=32. square(1..n,1..n).
```

```
sigma(S) :- S = #sum[ square(X,n) = X ].
```



% GENERATE

```
1 #count{ num(X,Y,N) : N = 1..n } 1 :- square(X,Y).
```

% DEFINE + TEST

```
occX(X,N,C) :- X = 1..n, N = 1..n, C = #count{ num(X,Y,N) }.
```

```
occY(Y,N,C) :- Y = 1..n, N = 1..n, C = #count{ num(X,Y,N) }.
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```
:- occX(X,N,C), C != 1. :- occY(Y,N,C), C != 1.
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1 #count{ num(X,Y,N) : N = 1..n } 1 :- square(X,Y).

% DEFINE + TEST
occX(X,N,C) :- X = 1..n, N = 1..n, C #count{ num(X,Y,N) } C, C = 0..n.
occY(Y,N,C) :- Y = 1..n, N = 1..n, C #count{ num(X,Y,N) } C, C = 0..n.
:- occX(X,N,C), C != 1. :- occY(Y,N,C), C != 1.

% DISPLAY
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 internal transformation by gringo

# Assigning Aggregate Values

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occX(X,N,C) :- X = 1..n, N = 1..n, C = #count{ num(X,Y,N) }.
occY(Y,N,C) :- Y = 1..n, N = 1..n, C = #count{ num(X,Y,N) }.
:- occX(X,N,C), C != 1. :- occY(Y,N,C), C != 1.

% DISPLAY
#hide. #show num/3. #show sigma/1.
```

✗

✗

gringo latin\_5.lp | wc

gringo latin\_6.lp | wc

# Assigning Aggregate Values

## Yet another Latin square encoding

```
% DOMAIN
#const n=32. square(1..n,1..n).

% GENERATE
1 #count{ num(X,Y,N) : N = 1..n } 1 :- square(X,Y).

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occX(X,N,C) :- X = 1..n, N = 1..n, C = #count{ num(X,Y,N) }.
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:- occX(X,N,C), C != 1. :- occY(Y,N,C), C != 1.

% DISPLAY
#hide. #show num/3.
```

```
gringo latin_5.lp | wc
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gringo latin_6.lp | wc
```

```
48136 373768 2185042
```

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% DISPLAY
#hide. #show num/3.
```

```
gringo latin_5.lp | wc
```

```
304136 5778440 30252505
```

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:- X = 1..n, N = 1..n, not 1 #count{ num(X,Y,N) } 1.
:- Y = 1..n, N = 1..n, not 1 #count{ num(X,Y,N) } 1.

% DISPLAY
#hide. #show num/3.
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# Breaking Symmetries

## The ultimate Latin square encoding?

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:- Y = 1..n, N = 1..n, not 1 #count{ num(X,Y,N) } 1.

% DISPLAY
#hide. #show num/3.
```

 many symmetric solutions (mirroring, rotation, value permutation)

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:- X = 1..n, N = 1..n, not 1 #count{ num(X,Y,N) } 1.
:- Y = 1..n, N = 1..n, not 1 #count{ num(X,Y,N) } 1.

% DISPLAY
#hide. #show num/3.
```

 easy and safe to fix a full row/column!

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:- X = 1..n, N = 1..n, not 1 #count{ num(X,Y,N) } 1.
:- Y = 1..n, N = 1..n, not 1 #count{ num(X,Y,N) } 1.
:- square(1,Y), not num(1,Y,Y). % Symmetry Breaking

% DISPLAY
#hide. #show num/3.
```

 easy and safe to fix a full row/column!

# Breaking Symmetries

## The ultimate Latin square encoding?

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:- square(1,Y), not num(1,Y,Y). % Symmetry Breaking

% DISPLAY
#hide. #show num/3.
```

👉 Let's compare **enumeration** speed!

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% DISPLAY
#hide. #show num/3.

gringo -c n=5 latin_6.lp | clasp -q 0
```

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```

Models : 161280      Time : 2.078s



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#const n=32. square(1..n,1..n).

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:- Y = 1..n, N = 1..n, not 1 #count{ num(X,Y,N) } 1.
:- square(1,Y), not num(1,Y,Y). % Symmetry Breaking

% DISPLAY
#hide. #show num/3.
```

```
gringo -c n=5 latin_7.lp | clasp -q 0
```

```
Models : 161280      Time : 2.078s
```

# Breaking Symmetries

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```
% DOMAIN
#const n=32. square(1..n,1..n).

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:- X = 1..n, N = 1..n, not 1 #count{ num(X,Y,N) } 1.
:- Y = 1..n, N = 1..n, not 1 #count{ num(X,Y,N) } 1.
:- square(1,Y), not num(1,Y,Y). % Symmetry Breaking

% DISPLAY
#hide. #show num/3.
```

```
gringo -c n=5 latin_7.lp | clasp -q 0
```

Models : 1344      Time : 0.024s

# Encode With Care!

## 1 Create a **working** encoding

- Q1: Do you need to modify the encoding if the facts change?
- Q2: Are all variables significant (or statically functionally dependent)?
- Q3: Can there be (many) identic ground rules?
- Q4: Do you enumerate pairs of values (to test uniqueness)?
- Q5: Do you assign dynamic aggregate values (to check a fixed bound)?
- Q6: Do you admit (obvious) symmetric solutions?
- Q7: Do you have additional domain knowledge simplifying the problem?
- Q8: Are you aware of anything else that, if encoded, would reduce grounding and/or solving efforts?

## 2 Revise until no “Yes” answer!

- ⚠ If the format of facts makes encoding painful (for instance, abusing grounding for “scientific calculations”), revise the fact format as well.

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# Some Hints on (Preventing) Debugging

## Kinds of errors

- syntactic ... follow error messages by the grounder
- semantic ... (most likely) encoding/intention mismatch

## Ways to identify semantic errors (early)

develop and test incrementally

prepare toy instances with “interesting features”

build the encoding bottom-up and verify additions (eg. new predicates)

compare the encoded to the intended meaning

check whether the grounding fits (use `gringo -t`)

if answer sets are unintended, investigate conditions that fail to hold

if answer sets are missing, examine integrity constraints (add heads)

ask tools (eg. <http://www.kr.tuwien.ac.at/research/projects/mmdasp/>)

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# Overcoming Performance Bottlenecks

## Grounding

- monitor **time** spent by and output **size** of gringo
  - 1 system tools (eg. `time(gringo [...] | wc)`)
  - 2 profiling info (eg. `gringo --gstats --verbose=3 [...] > /dev/null`)
- ☞ once identified, reformulate “critical” logic program parts

## Solving

- check solving statistics (use `clasp --stats`)
  - if great search efforts (Conflicts/Choices/Restarts), then
    - try auto-configuration (offered by `claspfolio`)
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# Overview

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68 Hitori Puzzle

69 Ramsey Numbers

## Hitori

## A Japanese Grid Puzzle (Beyond Sudoku)

## The Puzzle

**Given:** an  $N \times N$  board of numbered squares

**Wanted:** a set of black squares such that

- 1 no black squares are horizontally or vertically adjacent
- 2 numbers of white squares are unique for each row and column
- 3 every pair of white squares is connected via a path (not passing black squares)

4	8	1	6	3	2	5	7
3	6	7	2	1	6	5	4
2	3	4	8	2	8	6	1
4	1	6	5	7	7	3	5
7	2	3	1	8	5	1	2
3	5	6	7	3	1	8	4
6	4	2	3	5	4	7	8
8	7	1	4	2	3	5	6
	8		6	3	2		7
3	6	7	2	1		5	4
	3	4		2	8	6	1
4	1		5	7		3	
7		3		8	5	1	2
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6	4	2	3	5	4	7	8
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8	7	1	4	2	3	5	6
	8		6	3	2		7
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# Fact and Solution Format

Facts provide instances of `state(X,Y,N)` to express that the square in column `X` and row `Y` contains number `N`.

## Example Instance

```
state(1,1,4). state(2,1,8). ... state(8,1,7).
state(1,2,3). state(2,2,6). ... state(8,2,4).
state(1,3,2). state(2,3,3). ... state(8,3,1).
state(1,4,4). state(2,4,1). ... state(8,4,5).
state(1,5,7). state(2,5,2). ... state(8,5,2).
state(1,6,3). state(2,6,5). ... state(8,6,4).
state(1,7,6). state(2,7,4). ... state(8,7,8).
state(1,8,8). state(2,8,7). ... state(8,8,6).
```

4	8	1	6	3	2	5	7
3	6	7	2	1	6	5	4
2	3	4	8	2	8	6	1
4	1	6	5	7	7	3	5
7	2	3	1	8	5	1	2
3	5	6	7	3	1	8	4
6	4	2	3	5	4	7	8
8	7	1	4	2	3	5	6

## Example Solution

Black squares given by instances of `blackOut(X,Y)`:

```
blackOut(1,1)   blackOut(2,5)   ...
blackOut(1,3)   ...   blackOut(8,4)
blackOut(1,6)   ...   blackOut(8,6)
```

# Fact and Solution Format

Facts provide instances of  $\text{state}(X,Y,N)$  to express that the square in column  $X$  and row  $Y$  contains number  $N$ .

## Example Instance

```
state(1,1,4). state(2,1,8). ... state(8,1,7).
state(1,2,3). state(2,2,6). ... state(8,2,4).
state(1,3,2). state(2,3,3). ... state(8,3,1).
state(1,4,4). state(2,4,1). ... state(8,4,5).
state(1,5,7). state(2,5,2). ... state(8,5,2).
state(1,6,3). state(2,6,5). ... state(8,6,4).
state(1,7,6). state(2,7,4). ... state(8,7,8).
state(1,8,8). state(2,8,7). ... state(8,8,6).
```

4	8	1	6	3	2	5	7
3	6	7	2	1	6	5	4
2	3	4	8	2	8	6	1
4	1	6	5	7	7	3	5
7	2	3	1	8	5	1	2
3	5	6	7	3	1	8	4
6	4	2	3	5	4	7	8
	8		6	3	2	7	6
3	6	7	2	1		5	4
	3	4		2	8	6	1
4	1		5	7		3	
7		3		8	5	1	2
	5	6	7		1	8	
6		2	3	5	4	7	8
8	7	1	4		3		6

## Example Solution

Black squares given by instances of  $\text{blackOut}(X,Y)$ :

```
blackOut(1,1)   blackOut(2,5)   ...
blackOut(1,3)   ...   blackOut(8,4)
blackOut(1,6)   ...   blackOut(8,6)
```

# A Working Encoding I

Found on the WWW (and Adapted to gringo Syntax)

hitori\_0.lp

(under GNU GPL: COPYING)

```

%%
%% (A) Adjacent grid locations %
%%
% Domain predicate (evaluated upon grounding)
adjacent(X,Y,X+1,Y) :- state(X,Y,_), state(X+1,Y,_).
adjacent(X,Y,X,Y+1) :- state(X,Y,_), state(X,Y+1,_).
adjacent(X2,Y2,X1,Y1) :- adjacent(X1,Y1,X2,Y2).

%%
%% (B) Generate solution candidate %
%%
% Every square is blacked out or normal
1 { blackOut(X,Y), -blackOut(X,Y) } 1 :- state(X,Y,_).

```

# A Working Encoding I

Found on the WWW (and Adapted to gringo Syntax)

hitori\_0.lp

(under GNU GPL: **COPYING**)

```

%%
%% (A) Adjacent grid locations %
%%
% Domain predicate (evaluated upon grounding)
adjacent(X,Y,X+1,Y) :- state(X,Y,_), state(X+1,Y,_).
adjacent(X,Y,X,Y+1) :- state(X,Y,_), state(X,Y+1,_).
adjacent(X2,Y2,X1,Y1) :- adjacent(X1,Y1,X2,Y2).

%%
%% (B) Generate solution candidate %
%%
% Every square is blacked out or normal
1 { blackOut(X,Y), -blackOut(X,Y) } 1 :- state(X,Y,_).

```

# A Working Encoding I

Found on the WWW (and Adapted to gringo Syntax)

hitori\_0.lp

(under GNU GPL: **COPYING**)

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
```

```
% (A) Adjacent grid locations %
```

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
```

```
% Domain predicate (evaluated upon grounding)
```

```
adjacent(X,Y,X+1,Y) :- state(X,Y,_), state(X+1,Y,_).
```

```
adjacent(X,Y,X,Y+1) :- state(X,Y,_), state(X,Y+1,_).
```

```
adjacent(X2,Y2,X1,Y1) :- adjacent(X1,Y1,X2,Y2).
```

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
```

```
% (B) Generate solution candidate %
```

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
```

```
% Every square is blacked out or normal
```

```
1 { blackOut(X,Y), -blackOut(X,Y) } 1 :- state(X,Y,_).
```

# A Working Encoding I

Found on the WWW (and Adapted to gringo Syntax)

hitori\_0.lp

(under GNU GPL: **COPYING**)

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
% (A) Adjacent grid locations %
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
```

```
% Domain predicate (evaluated upon grounding)
adjacent(X,Y,X+1,Y) :- state(X,Y,_), state(X+1,Y,_).
adjacent(X,Y,X,Y+1) :- state(X,Y,_), state(X,Y+1,_).
adjacent(X2,Y2,X1,Y1) :- adjacent(X1,Y1,X2,Y2).
```

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
% (B) Generate solution candidate %
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
```

```
% Every square is blacked out or normal
1 { blackOut(X,Y), -blackOut(X,Y) } 1 :- state(X,Y,_).
```

# A Working Encoding II

Found on the WWW (and Adapted to gringo Syntax)

```
hitori_0.lp
```

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
% (C.1) Test eliminating adjacent blanks %
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
```

```
% Can't have adjacent black squares
:- adjacent(X1,Y1,X2,Y2), blackOut(X1,Y1), blackOut(X2,Y2).
```

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
% (C.2) Tests eliminating number recurrences %
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
```

```
% Can't have the same number twice in the same row
:- state(X1,Y,N), state(X2,Y,N), -blackOut(X1,Y), -blackOut(X2,Y), X1 != X2.
```

```
% Can't have the same number twice in the same column
:- state(X,Y1,N), state(X,Y2,N), -blackOut(X,Y1), -blackOut(X,Y2), Y1 != Y2.
```



# A Working Encoding II

Found on the WWW (and Adapted to gringo Syntax)

## hitori\_0.lp

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
% (C.1) Test eliminating adjacent blanks %
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
```

```
% Can't have adjacent black squares
:- adjacent(X1,Y1,X2,Y2), blackOut(X1,Y1), blackOut(X2,Y2).
```

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
% (C.2) Tests eliminating number recurrences %
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
```

```
% Can't have the same number twice in the same row
:- state(X1,Y,N), state(X2,Y,N), -blackOut(X1,Y), -blackOut(X2,Y), X1 != X2.
```

```
% Can't have the same number twice in the same column
:- state(X,Y1,N), state(X,Y2,N), -blackOut(X,Y1), -blackOut(X,Y2), Y1 != Y2.
```

# A Working Encoding II

Found on the WWW (and Adapted to gringo Syntax)

```
hitori_0.lp
```

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
% (C.1) Test eliminating adjacent blanks %
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%

% Can't have adjacent black squares
:- adjacent(X1,Y1,X2,Y2), blackOut(X1,Y1), blackOut(X2,Y2).

%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
% (C.2) Tests eliminating number recurrences %
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%

% Can't have the same number twice in the same row
:- state(X1,Y,N), state(X2,Y,N), -blackOut(X1,Y), -blackOut(X2,Y), X1 != X2.

% Can't have the same number twice in the same column
:- state(X,Y1,N), state(X,Y2,N), -blackOut(X,Y1), -blackOut(X,Y2), Y1 != Y2.
```

*Already spot something?*

# A Working Encoding III

Found on the WWW (and Adapted to gringo Syntax)

hitori\_0.lp

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
% (C.3) Test eliminating disconnected numbers %
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%

% Define mutual reachability
reachable(X1,Y1,X2,Y2) :- adjacent(X1,Y1,X2,Y2), -blackOut(X1,Y1),
    -blackOut(X2,Y2).
reachable(X1,Y1,X3,Y3) :- reachable(X1,Y1,X2,Y2), reachable(X2,Y2,X3,Y3).

% Can't have mutually unreachable non-black squares
:- -blackOut(X1,Y1), -blackOut(X2,Y2), not reachable(X1,Y1,X2,Y2),
    (X1,Y1) != (X2,Y2).
```

☞ Answer sets (of `hitori_0.lp` plus instance) match Hitori solutions. ✓

# A Working Encoding III

Found on the WWW (and Adapted to gringo Syntax)

hitori\_0.lp

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
% (C.3) Test eliminating disconnected numbers %
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%

% Define mutual reachability
reachable(X1,Y1,X2,Y2) :- adjacent(X1,Y1,X2,Y2), -blackOut(X1,Y1),
    -blackOut(X2,Y2).
reachable(X1,Y1,X3,Y3) :- reachable(X1,Y1,X2,Y2), reachable(X2,Y2,X3,Y3).

% Can't have mutually unreachable non-black squares
:- -blackOut(X1,Y1), -blackOut(X2,Y2), not reachable(X1,Y1,X2,Y2),
    (X1,Y1) != (X2,Y2).
```

👉 Answer sets (of `hitori_0.lp` plus instance) match Hitori solutions. ✓

# A Working Encoding

Let's **Run** it!

```
gringo hitori_0.lp instance.lp | clasp --stats
```

```
Answer: 1
```

```
blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)
```

```
blackOut(2,7) ... blackOut(8,4) blackOut(8,6)
```

```
SATISFIABLE
```

```
Models : 1+
```

```
Time : 13.485s (Solving: 11.77s 1st Model: 11.77s Unsat: 0.00s)
```

```
CPU Time : 13.290s
```

```
Choices : 458
```

```
Conflicts : 323
```

```
Restarts : 2
```

```
Variables : 260625
```

```
Constraints : 1018953
```

4	8	1	6	3	2	5	7
3	6	7	2	1	6	5	4
2	3	4	8	2	8	6	1
4	1	6	5	7	7	3	5
7	2	3	1	8	5	1	2
3	5	6	7	3	1	8	4
6	4	2	3	5	4	7	8
8	7	1	4	2	3	5	6

# A Working Encoding

Let's **Run** it!

```
gringo hitori_0.lp instance.lp | clasp --stats
```

Answer: 1

blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)

blackOut(2,7) ... blackOut(8,4) blackOut(8,6)

SATISFIABLE

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	8		6	3	2		7
3	6	7	2	1		5	4
	3	4		2	8	6	1
4	1		5	7		3	
7		3		8	5	1	2
	5	6	7		1	8	
6		2	3	5	4	7	8
8	7	1	4		3		6

# A Working Encoding

Let's **Run** it!

```
gringo hitori_0.lp instance.lp | clasp --stats
```

Answer: 1

blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)

blackOut(2,7) ... blackOut(8,4) blackOut(8,6)

SATISFIABLE

Models : 1+

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Variables : 260625

Constraints : 1018953

	8		6	3	2		7
3	6	7	2	1		5	4
	3	4		2	8	6	1
4	1		5	7		3	
7		3		8	5	1	2
	5	6	7		1	8	
6		2	3	5	4	7	8
8	7	1	4		3		6

# Why Classical Negation?

```
hitori_0.lp
```

```
% Every square is blacked out or normal
1 { blackOut(X,Y), -blackOut(X,Y) } 1 :- state(X,Y,_).

:- blackOut(X,Y), -blackOut(X,Y).
```

❗ no internal transformation by gringo

```
gringo hitori_0.lp instance.lp | wc
```

```
267534 1608172 5535208
```

```
gringo hitori_1.lp instance.lp | wc
```

```
267470 1607788 5534184
```

❗ no noticeable effect on grounding/solving performance



# Why Classical Negation?

```
hitori_0.lp
```

```
% Every square is blacked out or normal
1 { blackOut(X,Y), -blackOut(X,Y) } 1 :- state(X,Y,_).

:- blackOut(X,Y), -blackOut(X,Y).
```

☞ internal transformation by gringo

```
gringo hitori_0.lp instance.lp | wc
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```
267534 1608172 5535208
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```
gringo hitori_1.lp instance.lp | wc
```

```
267470 1607788 5534184
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# Why Classical Negation?

```
hitori_0.lp
```

```
% Every square is blacked out or normal  
1 { blackOut(X,Y), -blackOut(X,Y) } 1 :- state(X,Y,_).  
  
:- blackOut(X,Y), -blackOut(X,Y).
```

👉 `blackOut(X,Y)` and `-blackOut(X,Y)` exclusive in view of upper bound!

```
gringo hitori_0.lp instance.lp | wc
```

```
267534 1608172 5535208
```

```
gringo hitori_1.lp instance.lp | wc
```

```
267470 1607788 5534184
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# Why Classical Negation?

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hitori_0.lp
```

```
% Every square is blacked out or normal  
1 { blackOut(X,Y), -blackOut(X,Y) } 1 :- state(X,Y,_).  
  
:- blackOut(X,Y), -blackOut(X,Y).
```

☞ `blackOut(X,Y)` and `-blackOut(X,Y)` exclusive in view of upper bound!

```
gringo hitori_0.lp instance.lp | wc
```

```
267534 1608172 5535208
```

```
gringo hitori_1.lp instance.lp | wc
```

```
267470 1607788 5534184
```

☞ no noticeable effect on grounding/solving performance

# Why Classical Negation?

```
hitori_1.lp
```

```
% Every square is blacked out or normal  
1 { blackOut(X,Y), negBlackOut(X,Y) } 1 :- state(X,Y,_).  
  
:- blackOut(X,Y), -blackOut(X,Y).
```

👉 no internal transformation by gringo

```
gringo hitori_0.lp instance.lp | wc
```

```
267534 1608172 5535208
```

```
gringo hitori_1.lp instance.lp | wc
```

```
267470 1607788 5534184
```

👉 no noticeable effect on grounding/solving performance

# Why Classical Negation?

```
hitori_1.lp
```

```
% Every square is blacked out or normal  
1 { blackOut(X,Y), negBlackOut(X,Y) } 1 :- state(X,Y,_).  
  
:- blackOut(X,Y), -blackOut(X,Y).
```

👉 no internal transformation by gringo

```
gringo hitori_0.lp instance.lp | wc
```

```
267534 1608172 5535208
```

```
gringo hitori_1.lp instance.lp | wc
```

```
267470 1607788 5534184
```

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# Why Classical Negation?

```
hitori_1.lp
```

```
% Every square is blacked out or normal  
1 { blackOut(X,Y), negBlackOut(X,Y) } 1 :- state(X,Y,_).  
  
:- blackOut(X,Y), -blackOut(X,Y).
```

👉 no internal transformation by gringo

```
gringo hitori_0.lp instance.lp | wc
```

```
267534 1608172 5535208
```

```
gringo hitori_1.lp instance.lp | wc
```

```
267470 1607788 5534184
```

👉 no noticeable effect on grounding/solving performance

# Why Not Default Negation?

```
hitori_1.lp
```

```
% Every square is blacked out or normal
1 { blackOut(X,Y), negBlackOut(X,Y) } 1 :- state(X,Y,_).

% Can't have the same number twice in the same row
:- state(X1,Y,N), state(X2,Y,N), negBlackOut(X1,Y), negBlackOut(X2,Y), X1 != X2.
...
```

☞ `blackOut(X,Y)` and `negBlackOut(X,Y)` are two sides of the same coin

# Why Not Default Negation?

```
hitori_1.lp
```

```
% Every square is blacked out or normal  
1 { blackOut(X,Y), negBlackOut(X,Y) } 1 :- state(X,Y,_).  
  
% Can't have the same number twice in the same row  
:- state(X1,Y,N), state(X2,Y,N), negBlackOut(X1,Y), negBlackOut(X2,Y), X1 != X2.  
...
```

👉 `blackOut(X,Y)` and `negBlackOut(X,Y)` are two sides of the same coin



# Why Not Default Negation?

```
hitori_2.lp
```

```
% Every square is blacked out or normal  
{ blackOut(X,Y) } :- state(X,Y,_).
```

```
% Can't have the same number twice in the same row  
:- state(X1,Y,N), state(X2,Y,N), not blackOut(X1,Y), not blackOut(X2,Y), X1 != X2.  
...
```

👉 replace `negBlackOut(X,Y)` by “`not blackOut(X,Y)`”

# A First Improvement

```
gringo hitori_1.lp instance.lp | clasp --stats
```

Answer: 1

blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)

blackOut(2,7) ... blackOut(8,4) blackOut(8,6)

SATISFIABLE

Models : 1+

Time : 13.485s (Solving: 11.77s 1st Model: 11.77s Unsat: 0.00s)

CPU Time : 13.290s

Choices : 458

Conflicts : 323

Restarts : 2

Variables : 260625

Constraints : 1018953

# A First Improvement

```
gringo hitori_2.lp instance.lp | clasp --stats
```

```
Answer: 1
```

```
blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)
```

```
blackOut(2,7) ... blackOut(8,4) blackOut(8,6)
```

```
SATISFIABLE
```

```
Models      : 1+
```

```
Time        : 13.485s (Solving: 11.77s 1st Model: 11.77s Unsat: 0.00s)
```

```
CPU Time    : 13.290s
```

```
Choices     : 458
```

```
Conflicts   : 323
```

```
Restarts    : 2
```

```
Variables   : 260625
```

```
Constraints : 1018953
```

# A First Improvement

```
gringo hitori_2.lp instance.lp | clasp --stats
```

Answer: 1

blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)

blackOut(2,7) ... blackOut(8,4) blackOut(8,6)

SATISFIABLE

Models : 1+

Time : 10.177s (Solving: 8.42s 1st Model: 8.41s Unsat: 0.00s)

CPU Time : 9.990s

Choices : 344

Conflicts : 264

Restarts : 2

Variables : 260433

Constraints : 1018825

# Remember Symmetric Inequalities

hitori\_2.lp

```
% Can't have the same number twice in the same row
:- state(X1,Y,N), state(X2,Y,N), not blackOut(X1,Y), not blackOut(X2,Y), X1 != X2.

% Can't have the same number twice in the same column
:- state(X,Y1,N), state(X,Y2,N), not blackOut(X,Y1), not blackOut(X,Y2), Y1 != Y2.
```

☞ no noticeable effect on grounding/solving performance

# Remember Symmetric Inequalities

```
hitori_3.lp
```

```
% Can't have the same number twice in the same row  
:- state(X1,Y,N), state(X2,Y,N), not blackOut(X1,Y), not blackOut(X2,Y), X1 < X2.  
  
% Can't have the same number twice in the same column  
:- state(X,Y1,N), state(X,Y2,N), not blackOut(X,Y1), not blackOut(X,Y2), Y1 < Y2.
```

☞ no noticeable effect on grounding/solving performance

# Remember Symmetric Inequalities

hitori\_3.lp

```
% Can't have the same number twice in the same row
:- state(X1,Y,N), state(X2,Y,N), not blackOut(X1,Y), not blackOut(X2,Y), X1 < X2.

% Can't have the same number twice in the same column
:- state(X,Y1,N), state(X,Y2,N), not blackOut(X,Y1), not blackOut(X,Y2), Y1 < Y2.
```

👉 no noticeable effect on grounding/solving performance

# Let's Use Counting

```
hitori_3.lp
```

```
% Can't have the same number twice in the same row  
:- state(X1,Y,N), state(X2,Y,N), not blackOut(X1,Y), not blackOut(X2,Y), X1 < X2.  
  
% Can't have the same number twice in the same column  
:- state(X,Y1,N), state(X,Y2,N), not blackOut(X,Y1), not blackOut(X,Y2), Y1 < Y2.
```



# Let's Use Counting

```
hitori_4.lp
```

```
% Can't have the same number twice in the same row or column  
:- state(X1,Y1,N), 2 { not blackOut(X1,Y2) : state(X1,Y2,N) }.  
:- state(X1,Y1,N), 2 { not blackOut(X2,Y1) : state(X2,Y1,N) }.
```

## A Second Improvement?

```
gringo hitori_3.lp instance.lp | clasp --stats
```

Answer: 1

blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)

blackOut(2,7) ... blackOut(8,4) blackOut(8,6)

SATISFIABLE

Models : 1+

Time : 10.182s (Solving: 8.47s 1st Model: 8.47s Unsat: 0.00s)

CPU Time : 10.010s

Choices : 344

Conflicts : 264

Restarts : 2

Variables : 260433

Constraints : 1018825

## A Second Improvement?

```
gringo hitori_4.lp instance.lp | clasp --stats
```

```
Answer: 1
```

```
blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)
```

```
blackOut(2,7) ... blackOut(8,4) blackOut(8,6)
```

```
SATISFIABLE
```

```
Models      : 1+
```

```
Time        : 10.182s (Solving: 8.47s 1st Model: 8.47s Unsat: 0.00s)
```

```
CPU Time    : 10.010s
```

```
Choices     : 344
```

```
Conflicts   : 264
```

```
Restarts    : 2
```

```
Variables   : 260433
```

```
Constraints : 1018825
```

## A Second Improvement?

```
gringo hitori_4.lp instance.lp | clasp --stats
```

Answer: 1

blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)

blackOut(2,7) ... blackOut(8,4) blackOut(8,6)

SATISFIABLE

Models : 1+

Time : 9.781s (Solving: 7.99s 1st Model: 7.99s Unsat: 0.00s)

CPU Time : 9.610s

Choices : 278

Conflicts : 227

Restarts : 1

Variables : 260432

Constraints : 1018828

## Why Double-Check Reachability?

hitori\_4.lp

```
% Define mutual reachability
reachable(X1,Y1,X2,Y2) :- adjacent(X1,Y1,X2,Y2),
                             not blackOut(X1,Y1), not blackOut(X2,Y2).
reachable(X1,Y1,X3,Y3) :- reachable(X1,Y1,X2,Y2), reachable(X2,Y2,X3,Y3).
```

```
% Can't have mutually unreachable non-black squares
:- not blackOut(X1,Y1), not blackOut(X2,Y2), not reachable(X1,Y1,X2,Y2),
   (X1,Y1) != (X2,Y2), state(X1,Y1,_), state(X2,Y2,_).
```

☞ `reachable(X1,Y1,X2,Y2)` and `reachable(X2,Y2,X1,Y1)` hold jointly

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☞ `reachable(X1,Y1,X2,Y2)` and `reachable(X2,Y2,X1,Y1)` hold jointly

# Why Double-Check Reachability?

hitori\_4.lp

```
% Define mutual reachability
reachable(X1,Y1,X2,Y2) :- adjacent(X1,Y1,X2,Y2), (X1,Y1) < (X2,Y2),
                           not blackOut(X1,Y1), not blackOut(X2,Y2).

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reachable(X1,Y1,X3,Y3) :- reachable(X1,Y1,X2,Y2), reachable(X3,Y3,X2,Y2),
                           (X1,Y1) < (X3,Y3).

reachable(X2,Y2,X3,Y3) :- reachable(X1,Y1,X2,Y2), reachable(X1,Y1,X3,Y3),
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```

☞ enforce  $(X1,Y1) < (X2,Y2)$  for instances of `reachable(X1,Y1,X2,Y2)`

## Why Double-Check Reachability?

hitori\_4.lp

```
% Define mutual reachability
reachable(X1,Y1,X2,Y2) :- adjacent(X1,Y1,X2,Y2), (X1,Y1) < (X2,Y2),
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   (X1,Y1) != (X2,Y2), state(X1,Y1,_), state(X2,Y2,_).
```

☞ enforce  $(X1,Y1) < (X2,Y2)$  for instances of `reachable(X1,Y1,X2,Y2)`



# Why Double-Check Reachability?

hitori\_5.lp

```
% Define mutual reachability
reachable(X1,Y1,X2,Y2) :- adjacent(X1,Y1,X2,Y2), (X1,Y1) < (X2,Y2),
                           not blackOut(X1,Y1), not blackOut(X2,Y2).

reachable(X1,Y1,X3,Y3) :- reachable(X1,Y1,X2,Y2), reachable(X2,Y2,X3,Y3).
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                           (X2,Y2) < (X3,Y3).

% Can't have mutually unreachable non-black squares
:- not blackOut(X1,Y1), not blackOut(X2,Y2), not reachable(X1,Y1,X2,Y2),
   (X1,Y1) < (X2,Y2), state(X1,Y1,_), state(X2,Y2,_).
```

☞ enforce  $(X1,Y1) < (X2,Y2)$  for instances of `reachable(X1,Y1,X2,Y2)`

# A Real Breakthrough?

```
gringo hitori_4.lp instance.lp | clasp --stats
```

Answer: 1

blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)

blackOut(2,7) ... blackOut(8,4) blackOut(8,6)

SATISFIABLE

Models : 1+

Time : 9.781s (Solving: 7.99s 1st Model: 7.99s Unsat: 0.00s)

CPU Time : 9.610s

Choices : 278

Conflicts : 227

Restarts : 1

Variables : 260432

Constraints : 1018828

# A Real Breakthrough?

```
gringo hitori_5.lp instance.lp | clasp --stats
```

```
Answer: 1
```

```
blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)
```

```
blackOut(2,7) ... blackOut(8,4) blackOut(8,6)
```

```
SATISFIABLE
```

```
Models      : 1+
```

```
Time        : 9.781s (Solving: 7.99s 1st Model: 7.99s Unsat: 0.00s)
```

```
CPU Time    : 9.610s
```

```
Choices     : 278
```

```
Conflicts   : 227
```

```
Restarts    : 1
```

```
Variables   : 260432
```

```
Constraints : 1018828
```

# A Real Breakthrough?

```
gringo hitori_5.lp instance.lp | clasp --stats
```

Answer: 1

blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)

blackOut(2,7) ... blackOut(8,4) blackOut(8,6)

SATISFIABLE

Models : 1+

Time : 4.054s (Solving: 3.07s 1st Model: 3.07s Unsat: 0.00s)

CPU Time : 3.810s

Choices : 438

Conflicts : 318

Restarts : 2

Variables : 129328

Constraints : 504573

# Two Orders of Magnitude!

hitori\_5.lp

```
% Define mutual reachability
reachable(X1,Y1,X2,Y2) :- adjacent(X1,Y1,X2,Y2), (X1,Y1) < (X2,Y2),
                           not blackOut(X1,Y1), not blackOut(X2,Y2).

reachable(X1,Y1,X3,Y3) :- reachable(X1,Y1,X2,Y2), reachable(X2,Y2,X3,Y3).
reachable(X1,Y1,X3,Y3) :- reachable(X1,Y1,X2,Y2), reachable(X3,Y3,X2,Y2),
                           (X1,Y1) < (X3,Y3).

reachable(X2,Y2,X3,Y3) :- reachable(X1,Y1,X2,Y2), reachable(X1,Y1,X3,Y3),
                           (X2,Y2) < (X3,Y3).
```

grounding size:  $O(8^6)$

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hitori\_5.lp

```
% Define mutual reachability
reachable(X1,Y1,X2,Y2) :- adjacent(X1,Y1,X2,Y2), (X1,Y1) < (X2,Y2),
                           not blackOut(X1,Y1), not blackOut(X2,Y2).

reachable(X1,Y1,X3,Y3) :- reachable(X1,Y1,X2,Y2), reachable(X2,Y2,X3,Y3).
reachable(X1,Y1,X3,Y3) :- reachable(X1,Y1,X2,Y2), reachable(X3,Y3,X2,Y2),
                           (X1,Y1) < (X3,Y3).

reachable(X2,Y2,X3,Y3) :- reachable(X1,Y1,X2,Y2), reachable(X1,Y1,X3,Y3),
                           (X2,Y2) < (X3,Y3).
```

☞ grounding size:  $O(8^6)$

# Two Orders of Magnitude!

hitori\_6.lp

```
% Define mutual reachability
reachable(X1,Y1,X2,Y2) :- adjacent(X1,Y1,X2,Y2), (X1,Y1) < (X2,Y2),
                           not blackOut(X1,Y1), not blackOut(X2,Y2).

reachable(X1,Y1,X3,Y3) :- reachable(X1,Y1,X2,Y2), adjacent(X2,Y2,X3,Y3),
                           (X1,Y1) < (X3,Y3), not blackOut(X3,Y3).
reachable(X2,Y2,X3,Y3) :- reachable(X1,Y1,X2,Y2), adjacent(X1,Y1,X3,Y3),
                           (X2,Y2) < (X3,Y3), not blackOut(X3,Y3).
```

☞ grounding size:  $O(8^6)$

# Two Orders of Magnitude!

hitori\_6.lp

```
% Define mutual reachability
reachable(X1,Y1,X2,Y2) :- adjacent(X1,Y1,X2,Y2), (X1,Y1) < (X2,Y2),
                           not blackOut(X1,Y1), not blackOut(X2,Y2).

reachable(X1,Y1,X3,Y3) :- reachable(X1,Y1,X2,Y2), adjacent(X2,Y2,X3,Y3),
                           (X1,Y1) < (X3,Y3), not blackOut(X3,Y3).
reachable(X2,Y2,X3,Y3) :- reachable(X1,Y1,X2,Y2), adjacent(X1,Y1,X3,Y3),
                           (X2,Y2) < (X3,Y3), not blackOut(X3,Y3).
```

👉 grounding size:  $O(8^4)$



# A First Breakthrough

```
gringo hitori_5.lp instance.lp | clasp --stats
```

Answer: 1

blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)

blackOut(2,7) ... blackOut(8,4) blackOut(8,6)

SATISFIABLE

Models : 1+

Time : 4.054s (Solving: 3.07s 1st Model: 3.07s Unsat: 0.00s)

CPU Time : 3.810s

Choices : 438

Conflicts : 318

Restarts : 2

Variables : 129328

Constraints : 504573

# A First Breakthrough

```
gringo hitori_6.lp instance.lp | clasp --stats
```

```
Answer: 1
```

```
blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)
```

```
blackOut(2,7) ... blackOut(8,4) blackOut(8,6)
```

```
SATISFIABLE
```

```
Models      : 1+
```

```
Time        : 4.054s (Solving: 3.07s 1st Model: 3.07s Unsat: 0.00s)
```

```
CPU Time    : 3.810s
```

```
Choices     : 438
```

```
Conflicts   : 318
```

```
Restarts    : 2
```

```
Variables   : 129328
```

```
Constraints : 504573
```

# A First Breakthrough

```
gringo hitori_6.lp instance.lp | clasp --stats
```

Answer: 1

blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)

blackOut(2,7) ... blackOut(8,4) blackOut(8,6)

SATISFIABLE

Models : 1+

Time : 0.093s (Solving: 0.01s 1st Model: 0.01s Unsat: 0.00s)

CPU Time : 0.040s

Choices : 64

Conflicts : 23

Restarts : 0

Variables : 11231

Constraints : 32234

# Let's Think a Bit More

## hitori\_6.lp

```

reachable(X1,Y1,X2,Y2) :- adjacent(X1,Y1,X2,Y2), (X1,Y1) < (X2,Y2),
                           not blackOut(X1,Y1), not blackOut(X2,Y2).
reachable(X1,Y1,X3,Y3) :- reachable(X1,Y1,X2,Y2), adjacent(X2,Y2,X3,Y3),
                           (X1,Y1) < (X3,Y3), not blackOut(X3,Y3).
reachable(X2,Y2,X3,Y3) :- reachable(X1,Y1,X2,Y2), adjacent(X1,Y1,X3,Y3),
                           (X2,Y2) < (X3,Y3), not blackOut(X3,Y3).

% Can't have unreachable non-black square
:- not blackOut(X1,Y1), not blackOut(X2,Y2), not reachable(X1,Y1,X2,Y2),
   (X1,Y1) < (X2,Y2), state(X1,Y1,_), state(X2,Y2,_).

```

**Q:** How many squares adjacent to (1,1)  
can possibly be black?

**A:**

	8		6	3	2		7
3	6	7	2	1		5	4
	3	4		2	8	6	1
4	1		5	7		3	
7		3		8	5	1	2
	5	6	7		1	8	
6		2	3	5	4	7	8
8	7	1	4		3		6

# Let's Think a Bit More

## hitori\_6.lp

```

reachable(X1,Y1,X2,Y2) :- adjacent(X1,Y1,X2,Y2), (X1,Y1) < (X2,Y2),
                           not blackOut(X1,Y1), not blackOut(X2,Y2).
reachable(X1,Y1,X3,Y3) :- reachable(X1,Y1,X2,Y2), adjacent(X2,Y2,X3,Y3),
                           (X1,Y1) < (X3,Y3), not blackOut(X3,Y3).
reachable(X2,Y2,X3,Y3) :- reachable(X1,Y1,X2,Y2), adjacent(X1,Y1,X3,Y3),
                           (X2,Y2) < (X3,Y3), not blackOut(X3,Y3).

% Can't have unreachable non-black square
:- not blackOut(X1,Y1), not blackOut(X2,Y2), not reachable(X1,Y1,X2,Y2),
   (X1,Y1) < (X2,Y2), state(X1,Y1,_), state(X2,Y2,_).

```

Q: How many squares adjacent to (1,1)  
can possibly be black?

A: **At most one!**

	8		6	3	2		7
3	6	7	2	1		5	4
	3	4		2	8	6	1
4	1		5	7		3	
7		3		8	5	1	2
	5	6	7		1	8	
6		2	3	5	4	7	8
8	7	1	4		3		6

# Let's Think a Bit More

```
hitori_6.lp
```

```
reachable(1,1).
reachable(X2,Y2) :- reachable(X1,Y1), adjacent(X1,Y1,X2,Y2), not blackOut(X2,Y2).
```

```
% Can't have unreachable non-black square
:- not blackOut(X1,Y1), not blackOut(X2,Y2), not reachable(X1,Y1,X2,Y2),
   (X1,Y1) < (X2,Y2), state(X1,Y1,_), state(X2,Y2,_).
```

Q: How many squares adjacent to (1,1)  
can possibly be black?

A: At most one!

	8		6	3	2		7
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7		3		8	5	1	2
	5	6	7		1	8	
6		2	3	5	4	7	8
8	7	1	4		3		6

# Let's Think a Bit More

hitori\_7.lp

```
reachable(1,1).
reachable(X2,Y2) :- reachable(X1,Y1), adjacent(X1,Y1,X2,Y2), not blackOut(X2,Y2).
```

```
% Can't have unreachable non-black square
:- state(X,Y,_), not blackOut(X,Y), not reachable(X,Y).
```

Q: How many squares adjacent to (1,1)  
can possibly be black?

A: At most one!

	8		6	3	2		7
3	6	7	2	1		5	4
	3	4		2	8	6	1
4	1		5	7		3	
7		3		8	5	1	2
	5	6	7		1	8	
6		2	3	5	4	7	8
8	7	1	4		3		6

# Not That Much Left to Save

```
gringo hitori_6.lp instance.lp | clasp --stats
```

Answer: 1

blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)

blackOut(2,7) ... blackOut(8,4) blackOut(8,6)

SATISFIABLE

Models : 1+

Time : 0.093s (Solving: 0.01s 1st Model: 0.01s Unsat: 0.00s)

CPU Time : 0.040s

Choices : 64

Conflicts : 23

Restarts : 0

Variables : 11231

Constraints : 32234



# Not That Much Left to Save

```
gringo hitori_7.lp instance.lp | clasp --stats
```

```
Answer: 1
```

```
blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)
```

```
blackOut(2,7) ... blackOut(8,4) blackOut(8,6)
```

```
SATISFIABLE
```

```
Models      : 1+
```

```
Time        : 0.093s (Solving: 0.01s 1st Model: 0.01s Unsat: 0.00s)
```

```
CPU Time    : 0.040s
```

```
Choices     : 64
```

```
Conflicts   : 23
```

```
Restarts    : 0
```

```
Variables   : 11231
```

```
Constraints : 32234
```

# Not That Much Left to Save

```
gringo hitori_7.lp instance.lp | clasp --stats
```

Answer: 1

blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)

blackOut(2,7) ... blackOut(8,4) blackOut(8,6)

SATISFIABLE

Models : 1+

Time : 0.009s (Solving: 0.00s 1st Model: 0.00s Unsat: 0.00s)

CPU Time : 0.000s

Choices : 77

Conflicts : 25

Restarts : 0

Variables : 539

Constraints : 1137

# Let's Reach All Squares (Anyway)

```
hitori_7.lp
```

```
% Define reachability
reachable(1,1).
reachable(X2,Y2) :- reachable(X1,Y1), adjacent(X1,Y1,X2,Y2), not blackOut(X2,Y2).

% Can't have unreachable non-black square
:- state(X,Y,_), not blackOut(X,Y), not reachable(X,Y).
```

👉 require all white squares to be reached

# Let's Reach All Squares (Anyway)

hitori\_7.lp

```
% Define reachability
reachable(1,1). reachable(1,2).
reachable(X2,Y2) :- reachable(X1,Y1), adjacent(X1,Y1,X2,Y2), not blackOut(X1,Y1).

% Can't have unreachable non-black square
:- state(X,Y,_), not blackOut(X,Y), not reachable(X,Y).
```

👉 require all white squares to be reached

# Let's Reach All Squares (Anyway)

hitori\_8.lp

```
% Define reachability
reachable(1,1). reachable(1,2).
reachable(X2,Y2) :- reachable(X1,Y1), adjacent(X1,Y1,X2,Y2), not blackOut(X1,Y1).

% Can't have unreachable square
:- state(X,Y,_), not reachable(X,Y).
```

👉 require all white squares to be reached

# The Final Result

```
gringo hitori_7.lp instance.lp | clasp --stats
```

Answer: 1

blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)

blackOut(2,7) ... blackOut(8,4) blackOut(8,6)

SATISFIABLE

Models : 1+

Time : 0.009s (Solving: 0.00s 1st Model: 0.00s Unsat: 0.00s)

CPU Time : 0.000s

Choices : 77

Conflicts : 25

Restarts : 0

Variables : 539

Constraints : 1137

# The Final Result

```
gringo hitori_8.lp instance.lp | clasp --stats
```

```
Answer: 1
```

```
blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)
```

```
blackOut(2,7) ... blackOut(8,4) blackOut(8,6)
```

```
SATISFIABLE
```

```
Models : 1+
```

```
Time : 0.009s (Solving: 0.00s 1st Model: 0.00s Unsat: 0.00s)
```

```
CPU Time : 0.000s
```

```
Choices : 77
```

```
Conflicts : 25
```

```
Restarts : 0
```

```
Variables : 539
```

```
Constraints : 1137
```

# The Final Result

```
gringo hitori_8.lp instance.lp | clasp --stats
```

Answer: 1

blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)

blackOut(2,7) ... blackOut(8,4) blackOut(8,6)

SATISFIABLE

Models : 1+

Time : 0.006s (Solving: 0.00s 1st Model: 0.00s Unsat: 0.00s)

CPU Time : 0.000s

Choices : 16

Conflicts : 5

Restarts : 0

Variables : 317

Constraints : 315



# The Final Encoding (Pretty-Printed) I

```
hitori_9.lp
```

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
```

```
% (A) Adjacent grid locations %
```

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
```

```
% Domain predicate (evaluated upon grounding)
```

```
adjacent(X,Y,X+1,Y) :- state(X,Y,_,X+1,Y,_).
```

```
adjacent(X,Y,X,Y+1) :- state(X,Y,_,X,Y+1,_).
```

```
adjacent(X2,Y2,X1,Y1) :- adjacent(X1,Y1,X2,Y2).
```

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
```

```
% (B) Generate solution candidate %
```

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
```

```
% Every square is blacked out or normal
```

```
{ blackOut(X,Y) } :- state(X,Y,_).
```

# The Final Encoding (Pretty-Printed) II

```
hitori_9.lp
```

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
```

```
% (C.1) Test eliminating adjacent blanks %
```

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
```

```
% Can't have adjacent black squares
```

```
:- adjacent(X1,Y1,X2,Y2), blackOut(X1,Y1;;X2,Y2).
```

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
```

```
% (C.2) Tests eliminating number recurrences %
```

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
```

```
% Can't have the same number twice in the same row or column
```

```
:- state(X1,Y1,N), 2 { not blackOut(X1,Y2) : state(X1,Y2,N) }.
```

```
:- state(X1,Y1,N), 2 { not blackOut(X2,Y1) : state(X2,Y1,N) }.
```

# The Final Encoding (Pretty-Printed) III

```
hitori_9.lp
```

```
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
% (C.3) Test eliminating disconnected numbers %
%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%%
```

```
% Define reachability
reachable(1,1).
reachable(1,2).
reachable(X2,Y2) :- reachable(X1,Y1), adjacent(X1,Y1,X2,Y2),
                    not blackOut(X1,Y1).
```

```
% Can't have unreachable square
:- state(X,Y,_), not reachable(X,Y).
```

# Recall Where We Started

```
gringo hitori_0.lp instance.lp | clasp --stats
```

Answer: 1

blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)

blackOut(2,7) ... blackOut(8,4) blackOut(8,6)

SATISFIABLE

Models : 1+

Time : 13.485s (Solving: 11.77s 1st Model: 11.77s Unsat: 0.00s)

CPU Time : 13.290s

Choices : 458

Conflicts : 323

Restarts : 2

Variables : 260625

Constraints : 1018953

# And Where We Came

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gringo hitori_9.lp instance.lp | clasp --stats
```

Answer: 1

blackOut(1,1) blackOut(1,3) blackOut(1,6) blackOut(2,5)

blackOut(2,7) ... blackOut(8,4) blackOut(8,6)

SATISFIABLE

Models : 1+

Time : 0.006s (Solving: 0.00s 1st Model: 0.00s Unsat: 0.00s)

CPU Time : 0.000s

Choices : 16

Conflicts : 5

Restarts : 0

Variables : 317

Constraints : 315

## And Where We Came

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gringo hitori_9.lp instance.lp | clasp --stats
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# The encoding matters!

# Overview

65 Introduction

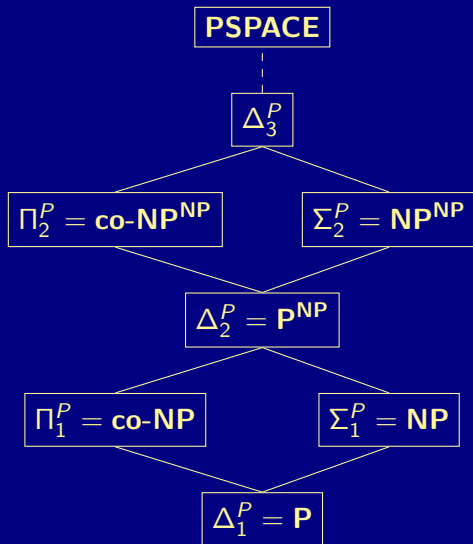
66 Tweaking  $N$ -Queens

67 Do's and Dont's

68 Hitori Puzzle

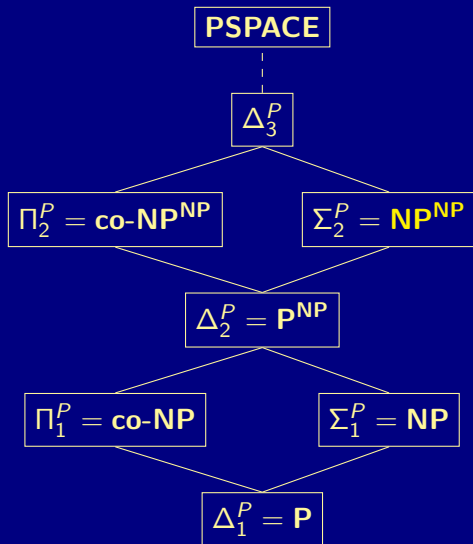
69 Ramsey Numbers

# The Polynomial Time Hierarchy





# The Polynomial Time Hierarchy



# The $\mathbf{NP}^{\mathbf{NP}}$ Class

## ■ What is an $\mathbf{NP}^{\mathbf{NP}}$ problem?

☞ A problem decidable in non-deterministic polynomial time using a (second)  $\mathbf{NP}$  oracle

## ■ How does this relate to disjunctive logic programs?

- 1 Guess an answer set candidate for a given disjunctive program
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# The Ramsey Problem

## Theorem

*For two numbers  $r$  and  $b$ , there exists a least number  $R(r, b) = n$  s.t. every complete graph with  $n$  vertices and edges colored either red or blue contains a complete subgraph (clique) on  $r$  vertices whose edges are all red, or a complete subgraph on  $b$  vertices whose edges are all blue.*

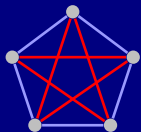


- Contains neither a red nor a blue clique of size 3
- Shows that  $R(3, 3) > 5$
- We will model the problem accordingly
  - 1 Guess a total edge labeling (ASP as usual)
  - 2 Verify that the labeling does not admit a clique of size 3 (disjunctive **co-NP** tests)
- Satisfiability if  $n < R(r, b)$  is not yet a Ramsey number

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  - Guess subgraphs supposed to form (mono-colored) cliques
  - For each color, derive a special atom if the subgraph is not a clique
  - Derive everything if such a special atom holds
  - Since any answer set is a minimal model of its reduct, some subgraph that is a clique will be chosen whenever possible
    - A special atom will only be derived if there is no clique
  - We may not use default negation/anti-monotone aggregates in the disjunctive part
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## ■ Helper predicates

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col(red,3).  col(blue,3).
col(C) :- col(C,N).
```

## ■ Choose a total edge labeling (usual ASP)

```
1 { col(U,V,C) : col(C) } 1 :- U = 1..n, V = (U+1)..n.
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## ■ Disjunctively guess a clique per color

```
in(U,C) | out(U,C) :- U = 1..n, col(C).
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## ■ Derive a special bot atom if the guess is invalid or not a clique

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- Disjunctive programs can be used to solve problems beyond **NP**
  - We use **claspD** for some biological application problems
- Disjunctive program parts are suitable for modeling an additional **co-NP** test per answer set candidate
- It requires some practice to write such programs
  - No default negation/anti-monotone aggregates may be used in the disjunctive part
  - ⚠ Instead provide “direct derivations” for conditions that do not hold
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# Equivalence of Logic Programs: Overview

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## Questions

- How to optimize logic programs?
- How to remove redundancies in automatically generated logic programs?

Difficulty Given that ASP is nonmonotonic,  
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# Notions of Equivalence

Two logic programs  $\Pi_1$  and  $\Pi_2$  are

- **equivalent** ( $\Pi_1 \equiv \Pi_2$ ) if  $AS(\Pi_1) = AS(\Pi_2)$ .
- strongly equivalent ( $\Pi_1 \equiv_s \Pi_2$ ) if  $AS(\Pi_1 \cup \Pi') = AS(\Pi_2 \cup \Pi')$  for any logic program  $\Pi'$ .
- uniformly equivalent ( $\Pi_1 \equiv_u \Pi_2$ ) if  $AS(\Pi_1 \cup F) = AS(\Pi_2 \cup F)$  for any set  $F$  of facts.

Example  $\Pi_1 = \{a \vee b \leftarrow\}$  and  $\Pi_2 = \{a \leftarrow \text{not } b, b \leftarrow \text{not } a\}$

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- strong equivalence implies uniform equivalence and
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## Implications

- strong equivalence implies uniform equivalence and
- uniform equivalence implies (ordinary) equivalence.

# Notions of Equivalence

Two logic programs  $\Pi_1$  and  $\Pi_2$  are

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- Two logic programs  $\Pi_1$  and  $\Pi_2$  are strongly equivalent if

$$(\Pi_1 \cup \Pi') \equiv (\Pi_2 \cup \Pi') \text{ for any logic programs } \Pi.$$

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## SE-models

## Model-theoretic characterization of Strong Equivalence.

Let  $\Pi$  be a logic program over alphabet  $\mathcal{A}$ .

- An SE-interpretation over  $\mathcal{A}$  is a pair  $(X, Y)$  such that  $X \subseteq Y \subseteq \mathcal{A}$
- An SE-interpretation  $(X, Y)$  is an SE-model of  $\Pi$  if
  - 1  $Y \models \Pi$
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- $SE(\Pi)$  denotes the set of all SE-models of  $\Pi$

Theorem  $\Pi_1 \equiv_s \Pi_2$  iff  $SE(\Pi_1) = SE(\Pi_2)$

Observation If  $(X, X)$  is the unique SE-model of  $\Pi$  whose second component is  $X$ , then  $X$  is an answer set of  $\Pi$ .

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For rules  $r_1$  and  $r_2$ , we have  $\{r_1\} \equiv_s \{r_1, r_2\}$   
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# Strong Equivalence

## Normal versus Disjunctive logic programs

Reduct-Intersection Let  $\Pi$  be a normal logic program.

If  $(U, Y) \in SE(\Pi)$  and  $(V, Y) \in SE(\Pi)$ , then  
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( Since for any  $X$ ,  $\Pi^X$  is a Horn program.)

- Reduct-Intersection is not satisfied by disjunctive logic programs.
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## Example

- Recall program  $\Pi_1 = \{a \vee b \leftarrow\}$  along with

$$SE(\Pi_1) = \{(\{a\}, \{a\}), (\{b\}, \{b\}), \\ (\{a\}, \{a, b\}), (\{b\}, \{a, b\}), (\{a, b\}, \{a, b\})\}$$

- $SE(\Pi_1)$  is not closed under reduct-intersection, since  $(\{a\}, \{a, b\})$  and  $(\{b\}, \{a, b\})$  call for  $(\emptyset, \{a, b\})$ .  
 ➡ No normal logic program is strongly equivalent to  $\{a \vee b \leftarrow\}$ .

# From SE-models to counterexamples

Let  $\Pi_1, \Pi_2$  be (disjunctive) logic programs and  $(X, Y) \in SE(\Pi_1) \setminus SE(\Pi_2)$ .

1 If  $(Y, Y) \in SE(\Pi_2)$ ,

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# UE-models

## Model-theoretic characterization of Uniform Equivalence.

Let  $\Pi$  be a logic program over alphabet  $\mathcal{A}$ .

- An SE-interpretation  $(X, Y)$  is a UE-model of  $\Pi$  if
  - 1  $(X, Y) \in SE(\Pi)$  and
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- $UE(\Pi)$  denotes the set of all UE-models of  $\Pi$ .

Theorem  $\Pi_1 \equiv_u \Pi_2$  iff  $UE(\Pi_1) = UE(\Pi_2)$

Observation UE-models of a program  $\Pi$  are

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## Example: UE-models

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As with SE-models, we get  $Y \in AS(\Pi_1 \cup \Pi') \setminus AS(\Pi_2 \cup \Pi')$ .

## From UE-models to counterexamples

Let  $\Pi_1, \Pi_2$  be (disjunctive) logic programs and  $(X, Y) \in UE(\Pi_1) \setminus UE(\Pi_2)$ .

- 1 If  $(Y, Y) \in UE(\Pi_2)$  and  $(X', Y) \in UE(\Pi_2)$  such that  $X \subset X' \subset Y$ ,  
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- $Y \models (\Pi_1 \cup \Pi')^Y$  but  $Z \not\models (\Pi_1 \cup \Pi')^Y$  for any  $Z \subset Y$ ,
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# Overview

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# Program Transformations (ctd)

WGPPE  $r_1 \in \Pi$ ,  $a \in \text{body}^+(r_1)$ ,  
 $G_a = \{r_2 \in \Pi \mid \text{head}(r_2) = a\}$ ,  $G_a \neq \emptyset$ ,  
 then  $\Pi \equiv_s \Pi \cup G'_a$  and  $\Pi \equiv_u \Pi \cup G'_a$  where  $G'_a = \{\text{head}(r_1) \leftarrow (\text{body}^+(r_1) \setminus \{a\}) \cup \text{not } \text{body}^-(r_1) \cup \text{body}(r_2) \mid r_2 \in G_a\}$   
 e.g.  $\{a \leftarrow b, c, \text{not } d, c \leftarrow e, \text{not } f\} \equiv_s \{a \leftarrow b, c, \text{not } d, c \leftarrow e, \text{not } f, a \leftarrow b, e, \text{not } f, \text{not } d\}$

S-IMP  $r_1, r_2 \in \Pi$  such that there exists an  $A \subseteq \text{body}^-(r_1)$  such that  
 $\text{head}(r_2) \subseteq \text{head}(r_1) \cup A$ ,  $\text{body}^-(r_2) \subseteq \text{body}^-(r_1) \setminus A$  and  
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