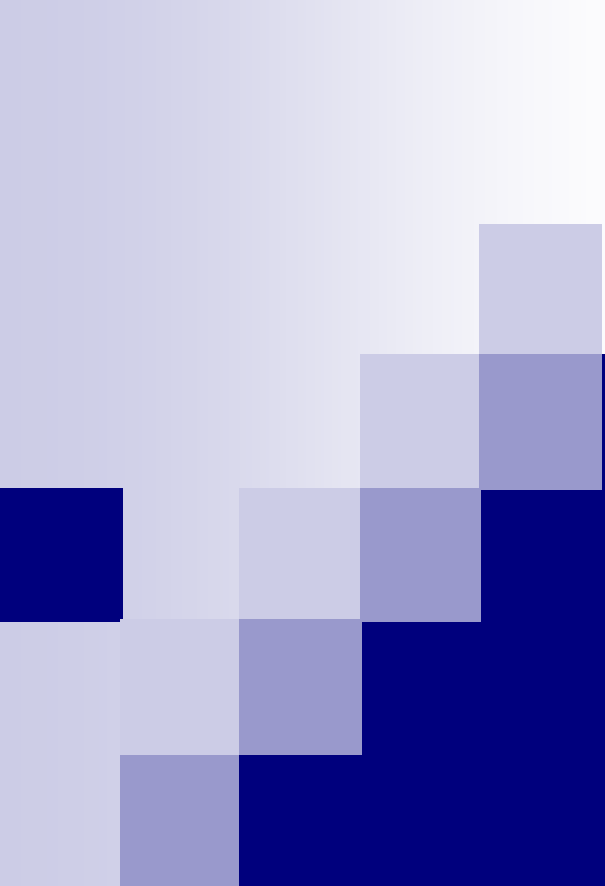




Compiler

Parsing: Bottom-Up

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An Introductory Example

- Bottom-up parsers neither fail on left-recursion nor need left-factored grammars
- Hence we can revert to the “natural” grammar for our example:

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * \text{int} \mid \text{int} \mid (E)$$

- Consider the string: $\text{int} * \text{int} + \text{int}$

The Idea

Bottom-up parsing *reduces* a string to the start symbol by inverting productions:

int * int + int

$T * \text{int} + \text{int}$

$T + \text{int}$

$E + \text{int}$

$E + T$

E

$T \rightarrow \text{int}$

$T \rightarrow T * \text{int}$

$E \rightarrow T$

$T \rightarrow \text{int}$

$E \rightarrow E + T$

Observation

- Read the productions in this bottom-up parse in reverse (i.e., from bottom to top)
- This is a rightmost derivation!

int * int + int

$T \rightarrow \text{int}$

$T * \text{int} + \text{int}$

$T \rightarrow T * \text{int}$

$T + \text{int}$

$E \rightarrow T$

$E + \text{int}$

$T \rightarrow \text{int}$

$E + T$

$E \rightarrow E + T$

E

A Bottom-up Parse

int * int + int

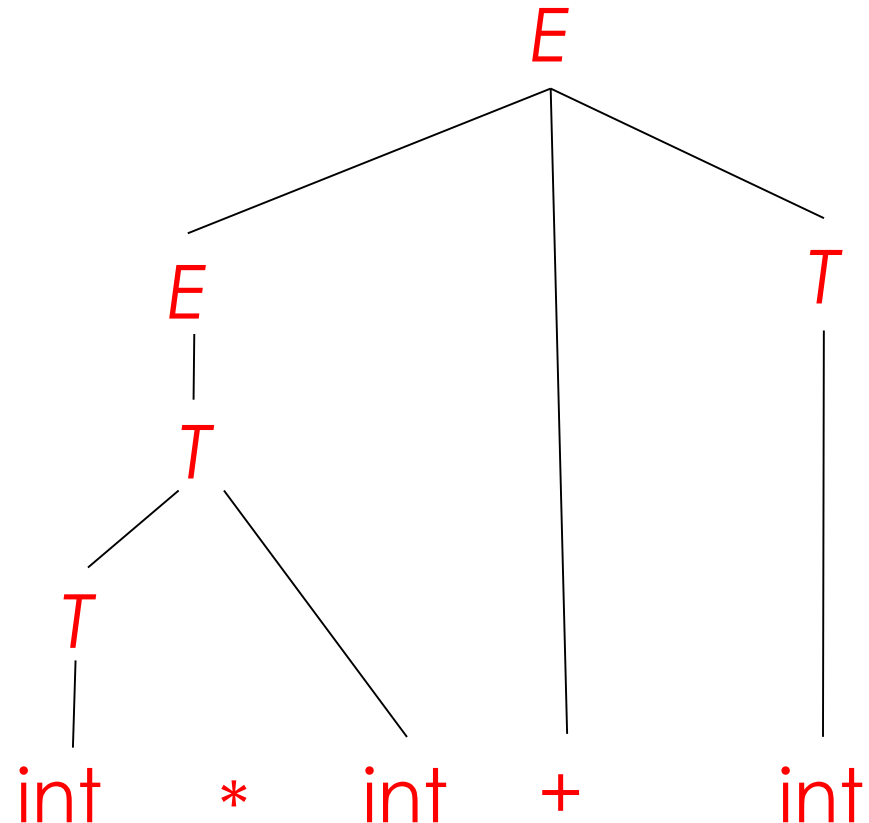
$T * \text{int} + \text{int}$

$T + \text{int}$

$E + \text{int}$

$E + T$

E



A bottom-up parser traces a rightmost derivation in reverse

A Bottom-up Parse in Detail (1)

int * int + int

int * int + int

A Bottom-up Parse in Detail (2)

int * int + int

T * int + int

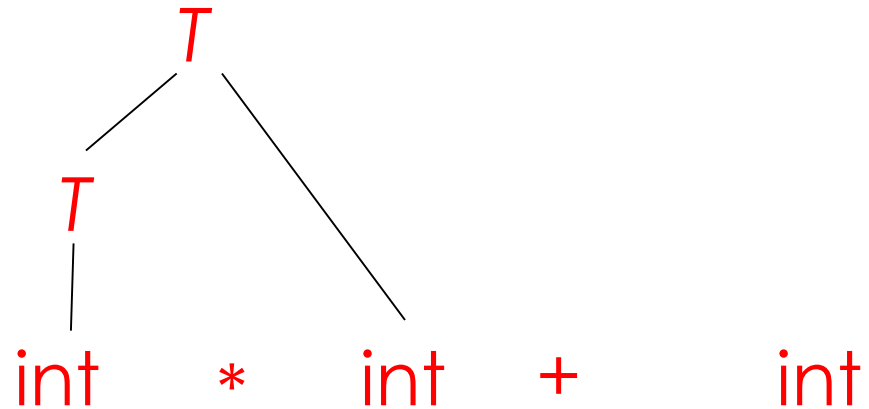
T
|
int * int + int

A Bottom-up Parse in Detail (3)

int * int + int

T * int + int

T + int



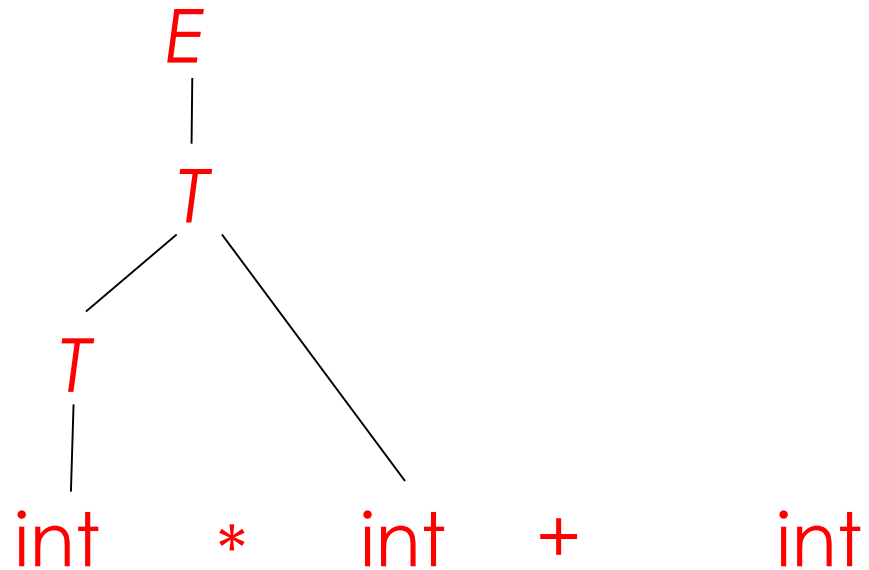
A Bottom-up Parse in Detail (4)

int * int + int

T * int + int

T + int

E + int



A Bottom-up Parse in Detail (5)

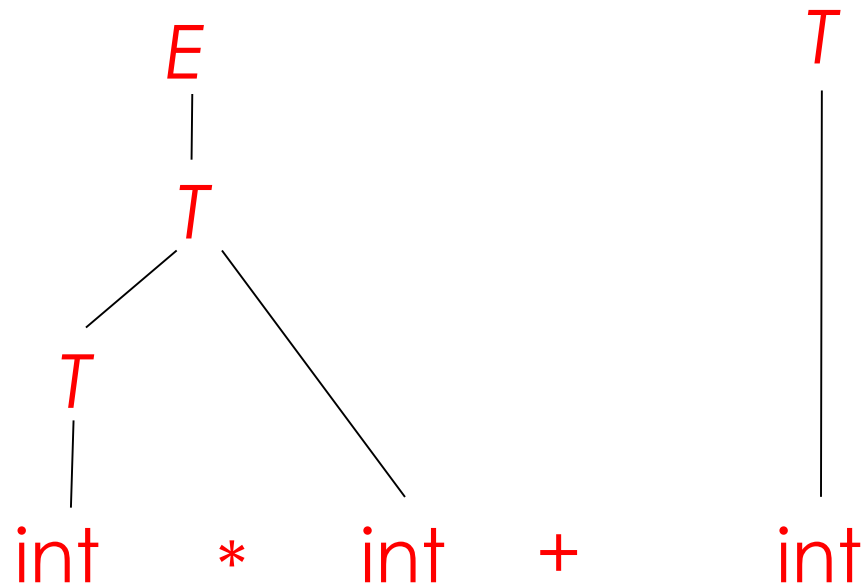
int * int + int

$T * \text{int} + \text{int}$

$T + \text{int}$

$E + \text{int}$

$E + T$



A Bottom-up Parse in Detail (6)

int * int + int

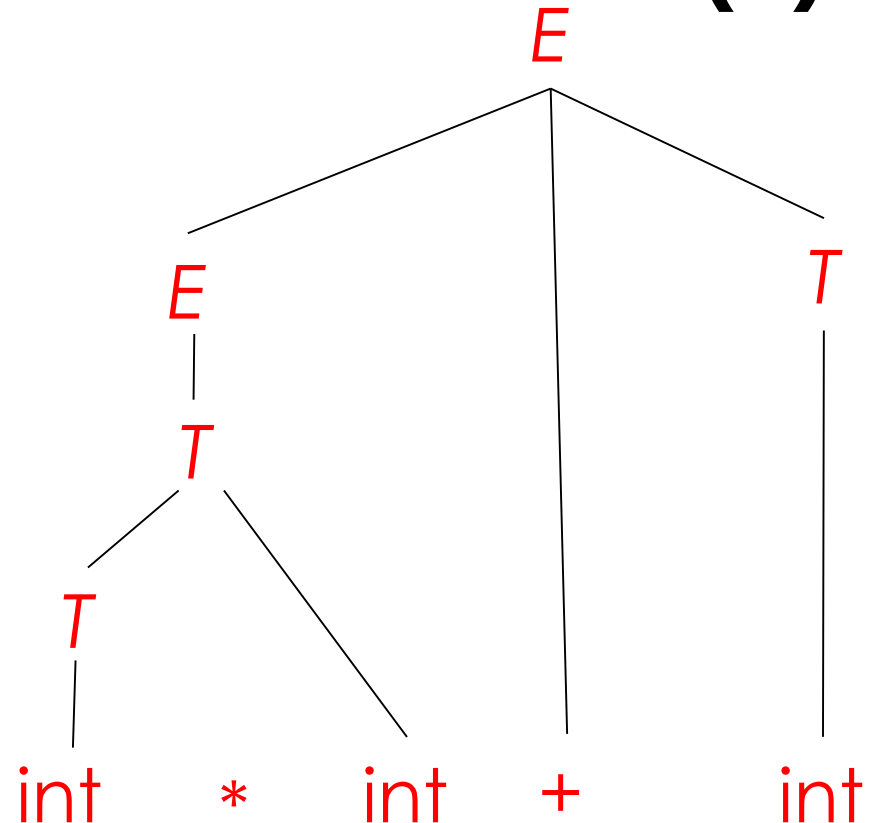
$T * \text{int} + \text{int}$

$T + \text{int}$

$E + \text{int}$

$E + T$

E



A Trivial Bottom-Up Parsing Algorithm

Let $\mathcal{I}$ = input string

repeat

 pick a non-empty substring β of $\mathcal{I}$

 where $X \rightarrow \beta$ is a production

 if no such β , backtrack

 replace one β by X in $\mathcal{I}$

until $\mathcal{I} = S$ (the start symbol) or all

possibilities are exhausted



For You To Do

Do you see any problems with this algorithm?

Think about performance and completeness!

Where Do Reductions Happen

Let $\alpha\beta\omega$ be a step of a bottom-up parse

- Assume the next reduction is by $X \rightarrow \beta$
- Then ω is a string of terminals, i.e. $\omega \in T^*$

Why?

Because $\alpha X \omega \Rightarrow \alpha\beta\omega$ is a step in a right-most derivation

Idea

- Split string into two substrings
 - Right substring : as yet unexamined by parsing (a string of terminals)
 - Left substring : has terminals and non-terminals
- The dividing point is marked by a |
 - The | is not part of the string
- Initially, all input is unexamined | $x_1 x_2 \dots x_n$



Shift-Reduce Parsing

Bottom-up parsing uses only two kinds of actions:

Shift

Reduce

Shift

- Move | one place to the right
 - Shifts a terminal to the left string

ABC|xyz $\Rightarrow$ ABCx|yz

Reduce

- Apply an *inverse production* at the right end of the left string
 - If $A \rightarrow xy$ is a production, then

$$Cbxy|ijk \Rightarrow CbA|ijk$$

- xy is called a *handle*

The Example with Shift-Reduce Parsing

|int * int + int

The Example with Shift-Reduce Parsing

|int * int + int shift
int | * int + int

The Example with Shift-Reduce Parsing

|int * int + int

shift

int | * int + int

reduce $T \rightarrow \text{int}$

T | * int + int

The Example with Shift-Reduce Parsing

|int * int + int

int | * int + int

T | * int + int

T * | int + int

shift

reduce $T \rightarrow \text{int}$

shift

The Example with Shift-Reduce Parsing

|int * int + int

shift

int | * int + int

reduce $T \rightarrow \text{int}$

T | * int + int

shift

T * | int + int

shift

T * int | + int

The Example with Shift-Reduce Parsing

|int * int + int

int | * int + int

T | * int + int

T * | int + int

T * int | + int

T | + int

shift

reduce $T \rightarrow \text{int}$

shift

shift

reduce $T \rightarrow T * \text{int}$

The Example with Shift-Reduce Parsing

|int * int + int

int | * int + int

T | * int + int

T * | int + int

T * int | + int

T | + int

E | + int

shift

reduce $T \rightarrow \text{int}$

shift

shift

reduce $T \rightarrow T * \text{int}$

reduce $E \rightarrow T$

The Example with Shift-Reduce Parsing

|int * int + int

int | * int + int

T | * int + int

T * | int + int

T * int | + int

T | + int

E | + int

E + int |

shift

reduce $T \rightarrow \text{int}$

shift

shift

reduce $T \rightarrow T * \text{int}$

reduce $E \rightarrow T$

shift, shift

The Example with Shift-Reduce Parsing

|int * int + int

int | * int + int

T | * int + int

T * | int + int

T * int | + int

T | + int

E | + int

E + int |

E + T |

shift

reduce $T \rightarrow \text{int}$

shift

shift

reduce $T \rightarrow T * \text{int}$

reduce $E \rightarrow T$

shift, shift

reduce $T \rightarrow \text{int}$

The Example with Shift-Reduce Parsing

|int * int + int

int | * int + int

T | * int + int

T * | int + int

T * int | + int

T | + int

E | + int

E + int |

E + T |

E |

shift

reduce $T \rightarrow \text{int}$

shift

shift

reduce $T \rightarrow T * \text{int}$

reduce $E \rightarrow T$

shift, shift

reduce $T \rightarrow \text{int}$

reduce $E \rightarrow E + T$

The Stack

- Left string can be implemented by a stack
 - Top of the stack is the |
- Shift pushes a terminal on the stack
- Reduce pops 0 or more symbols off of the stack (production rhs) and pushes a non-terminal on the stack (production lhs)
- This is how a Pushdown Automaton works.

Key Issue

- How do we decide when to shift or reduce?
 - Consider step $T * \text{int} \mid + \text{int}$
 - We could reduce by $T \rightarrow \text{int}$ giving $T * T \mid + \text{int}$
 - A fatal mistake: No way to reduce to the start symbol E
- This is resolved by various bottom-up parsing algorithms

Conflicts

- But what if there is a choice?
 - If it is legal to shift or reduce, there is a *shift-reduce conflict*
 - If it is legal to reduce by two different productions, there is a *reduce-reduce conflict*
- Generic shift-reduce strategy:
 - If there is a handle on top of the stack, reduce
 - Otherwise, shift



Source of Conflicts

- Ambiguous grammars always cause conflicts
- But beware, so do many non-ambiguous grammars

Conflict Example

Consider our favorite ambiguous grammar:

$$\begin{array}{lcl} E & \rightarrow & E + E \\ & & | \quad E * E \\ & & | \quad (E) \\ & & | \quad \text{int} \end{array}$$

One Shift-Reduce Parse

|int * int + int

shift

...

...

$E * E$ | + int

reduce $E \rightarrow E * E$

E | + int

shift

$E +$ | int

shift

$E + \text{int}$ |

reduce $E \rightarrow \text{int}$

$E + E$ |

reduce $E \rightarrow E + E$

E |

Another Shift-Reduce Parse

|int * int + int

shift

...

...

$E * E$ | + int

shift

$E * E +$ | int

shift

$E * E + \text{int}$ |

reduce $E \rightarrow \text{int}$

$E * E + E$ |

reduce $E \rightarrow E + E$

$E * E$ |

reduce $E \rightarrow E * E$

E |

Example Notes

- In the second step $E * E \mid + \text{int}$ we can either shift or reduce by $E \rightarrow E * E$
- Choice determines priority of $+$ and $*$
- As noted previously, grammar can be rewritten to enforce precedence
- Precedence declarations are an alternative

Precedence Declarations Revisited

- Precedence declarations cause shift-reduce parsers to resolve conflicts in certain ways
- Declaring “ $*$ has greater precedence than $+$ ” causes parser to reduce at $E * E \mid + \text{int}$
- More precisely, precedence declaration is used to resolve conflict between reducing a $*$ and shifting a $+$ (and vice versa)

Precedence Declarations Revisited

- The term “precedence declaration” is a bit misleading
- These declarations do not define precedence; they define conflict resolutions
 - Not quite the same thing!

Using Lookahead Strings

- Use lookahead for resolving conflicts
- Problem: *reduction history* remains disregarded
- Example: Consider the **unambiguous** grammar
$$\begin{aligned}S &\rightarrow aA \mid Ba \\A &\rightarrow Ba \\B &\rightarrow b\end{aligned}$$
 - For input *ba* we need to reduce *Ba* by $S \rightarrow Ba$.
 - For input *aba* we need to reduce *Ba* by $A \rightarrow Ba$.
 - But the lookahead string is the same (ε) in both cases.
- Solution: Encode the *reduction history* in the stack.

LR(1) Parsing

- Use lookahead for resolving conflicts
- DFA for memorizing *reduction history*
- Introduce new start symbol S' and unique production $S' \rightarrow S$.
(Reason: S' does not occur in any other production)

LR(1) Parsing

■ NFA

□ States are LR(1)-items $(A \rightarrow \alpha \bullet \beta, u)$

where $A \rightarrow \alpha\beta \in P$, α is parsed prefix of handle

$u \in T \cup \{\varepsilon\}$ is lookahead expected behind $\alpha\beta$

□ Transitions:

■ $(A \rightarrow \alpha \bullet X \beta, u) \xrightarrow{X} (A \rightarrow \alpha X \bullet \beta, u) \quad X \in T \cup N$

■ $(A \rightarrow \alpha \bullet B \beta, u) \xrightarrow{\varepsilon} (B \rightarrow \bullet \gamma, v) \quad \begin{array}{l} B \in N \\ B \rightarrow \gamma \in P \\ v \in \text{First}(\beta u) \end{array}$

LR(1) Parsing

■ NFA

- Start state ($S' \rightarrow \bullet S, \varepsilon$)
- Accepting state ($S' \rightarrow S \bullet, \varepsilon$)

■ DFA

- NFA $\rightarrow$ DFA conversion (states = sets of LR(1)-items)
- Actions associated with states and lookahead
 - $\text{Act}(q, t) = \text{shift}$ iff $(A \rightarrow \alpha \bullet t \beta, u) \in q, t \in T$
 - $\text{Act}(q, u) = (A \rightarrow \beta)$ iff $(A \rightarrow \beta \bullet, u) \in q, u \in T \cup \{\varepsilon\}$

LR(1) Parsing

■ Parsing algorithm

- Stack symbols: States (Sets of LR(1)-items)
- Initial stack is $q_0 \mid \omega_c$ where ω_c is the complete input
- **Parse steps**
 - $\rho q \mid t \omega \xrightarrow{\quad} \rho q \delta(q,t) \mid \omega$ iff $\text{Act}(q,t) = \text{shift}$
 - $\rho q \rho' q' \mid \omega \xrightarrow{\quad} \rho q \delta(q,A) \mid \omega$ iff $\text{Act}(q',u) = (A \rightarrow \beta)$
 $|\beta| = |\rho' q'|$
 $u = \text{First}(\omega)$
- Accept with stack $q_0 q_f \mid \varepsilon$ where q_f is accepting state

LR(1) Parsing

- Example $E \rightarrow E + T \mid T$
 $T \rightarrow T * \text{int} \mid \text{int}$

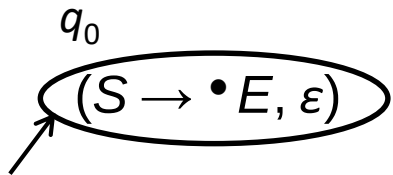
- With new start symbol

$$S \rightarrow E$$

$$E \rightarrow E + T \mid T$$

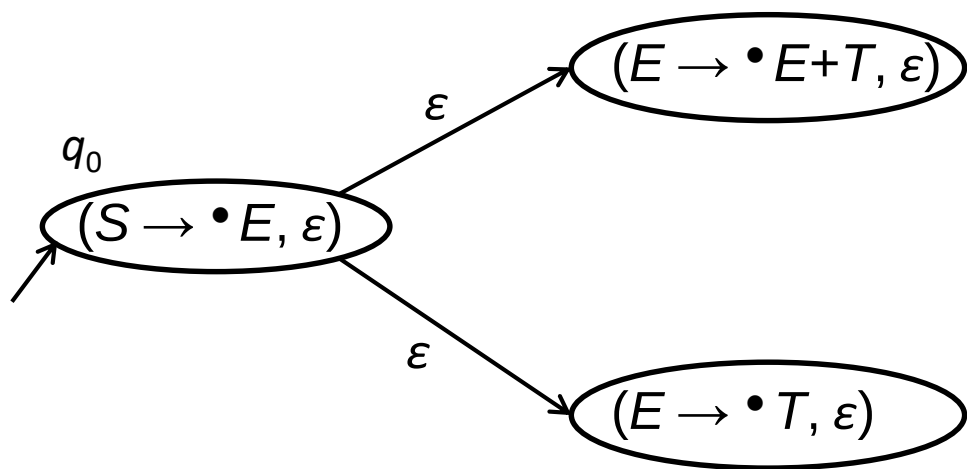
$$T \rightarrow T * \text{int} \mid \text{int}$$

Example: LR(1) NFA Construction



- $(A \rightarrow \alpha \bullet X \beta, u) \xrightarrow{X} (A \rightarrow \alpha X \bullet \beta, u) \quad X \in T \cup N$
- $(A \rightarrow \alpha \bullet B \beta, u) \xrightarrow{\varepsilon} (B \rightarrow \bullet \gamma, v) \quad B \in N, B \rightarrow \gamma \in P, v \in \text{First}(\beta u)$

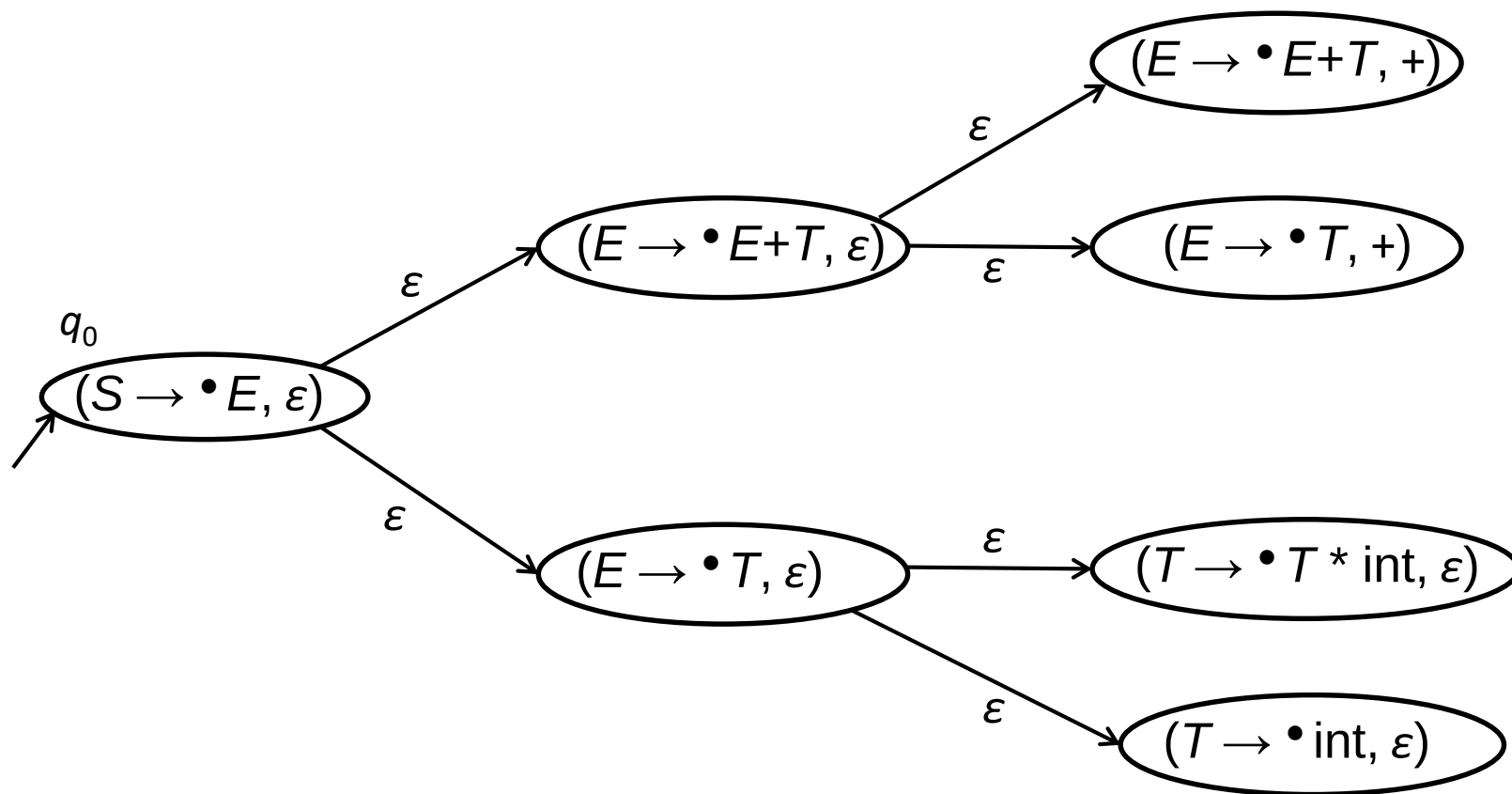
Example: LR(1) NFA Construction



- $(A \rightarrow \alpha \bullet X \beta, u) \xrightarrow{X} (A \rightarrow \alpha X \bullet \beta, u) \quad X \in T \cup N$

- $(A \rightarrow \alpha \bullet B \beta, u) \xrightarrow{\varepsilon} (B \rightarrow \bullet \gamma, v) \quad B \in N, B \rightarrow \gamma \in P, v \in \text{First}(\beta u)$

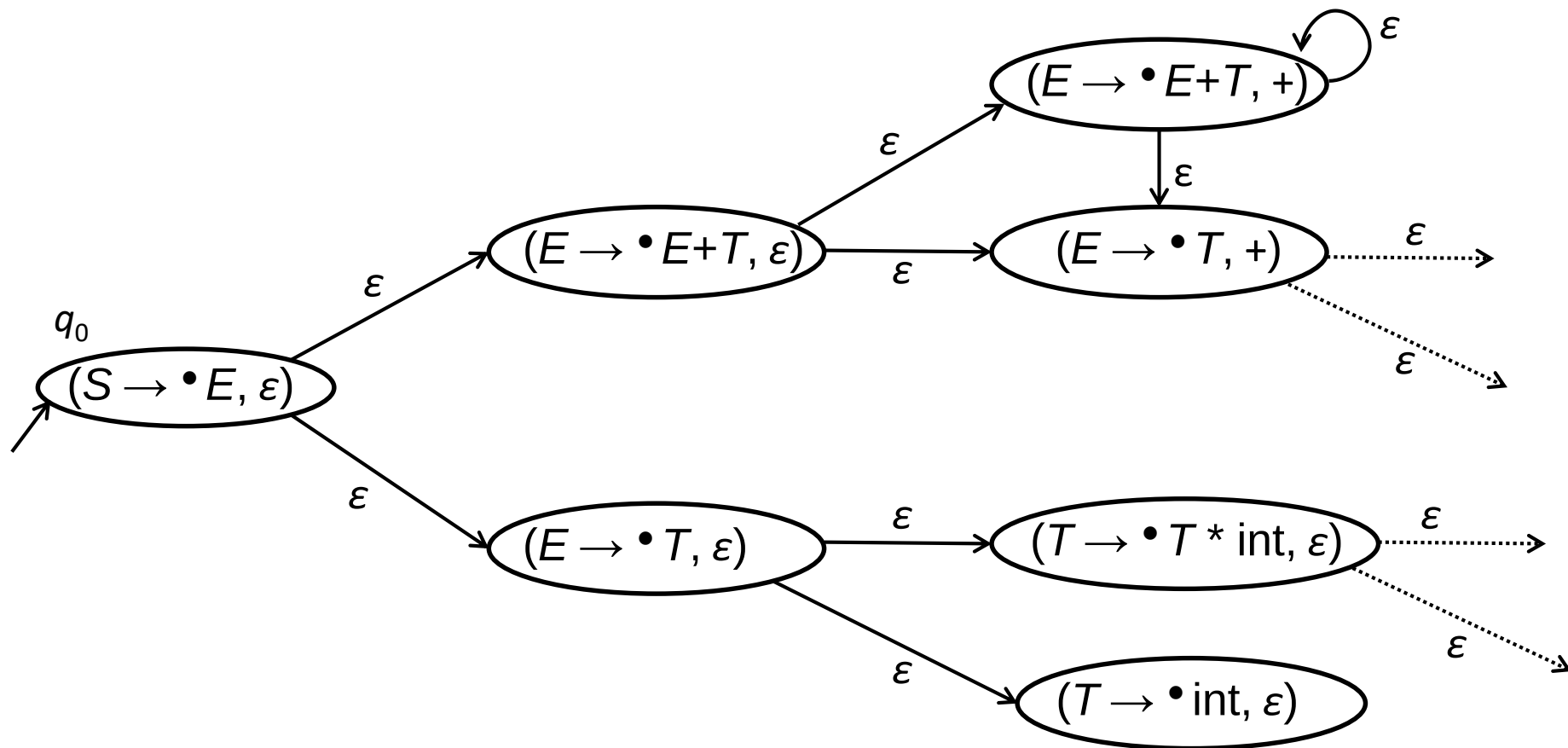
Example: LR(1) NFA Construction



$$\blacksquare (A \rightarrow \alpha \bullet X \beta, u) \xrightarrow{X} (A \rightarrow \alpha X \bullet \beta, u) \quad X \in T \cup N$$

$$\blacksquare (A \rightarrow \alpha \bullet B \beta, u) \xrightarrow{\epsilon} (B \rightarrow \bullet \gamma, v) \quad B \in N, B \rightarrow \gamma \in P, v \in \text{First}(\beta u)$$

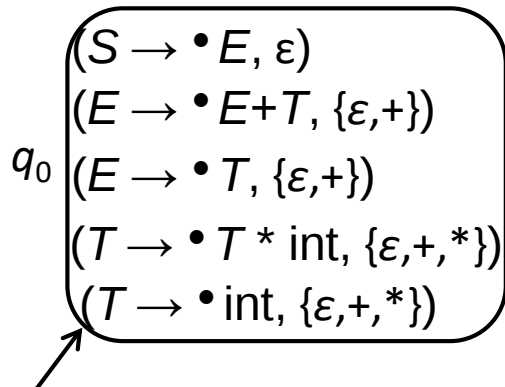
Example: LR(1) NFA Construction



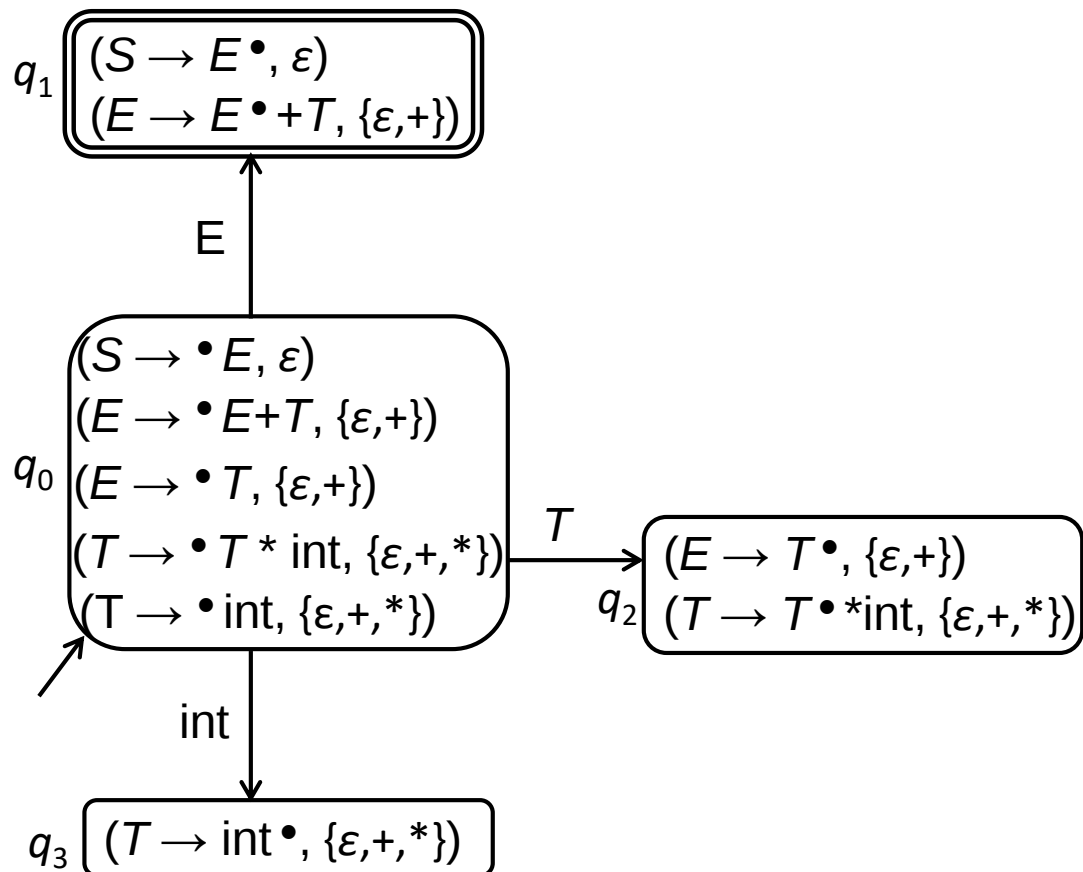
$$\blacksquare (A \rightarrow \alpha \bullet X \beta, u) \xrightarrow{X} (A \rightarrow \alpha X \bullet \beta, u) \quad X \in T \cup N$$

$$\blacksquare (A \rightarrow \alpha \bullet B \beta, u) \xrightarrow{\epsilon} (B \rightarrow \bullet \gamma, v) \quad B \in N, B \rightarrow \gamma \in P, v \in \text{First}(\beta u)$$

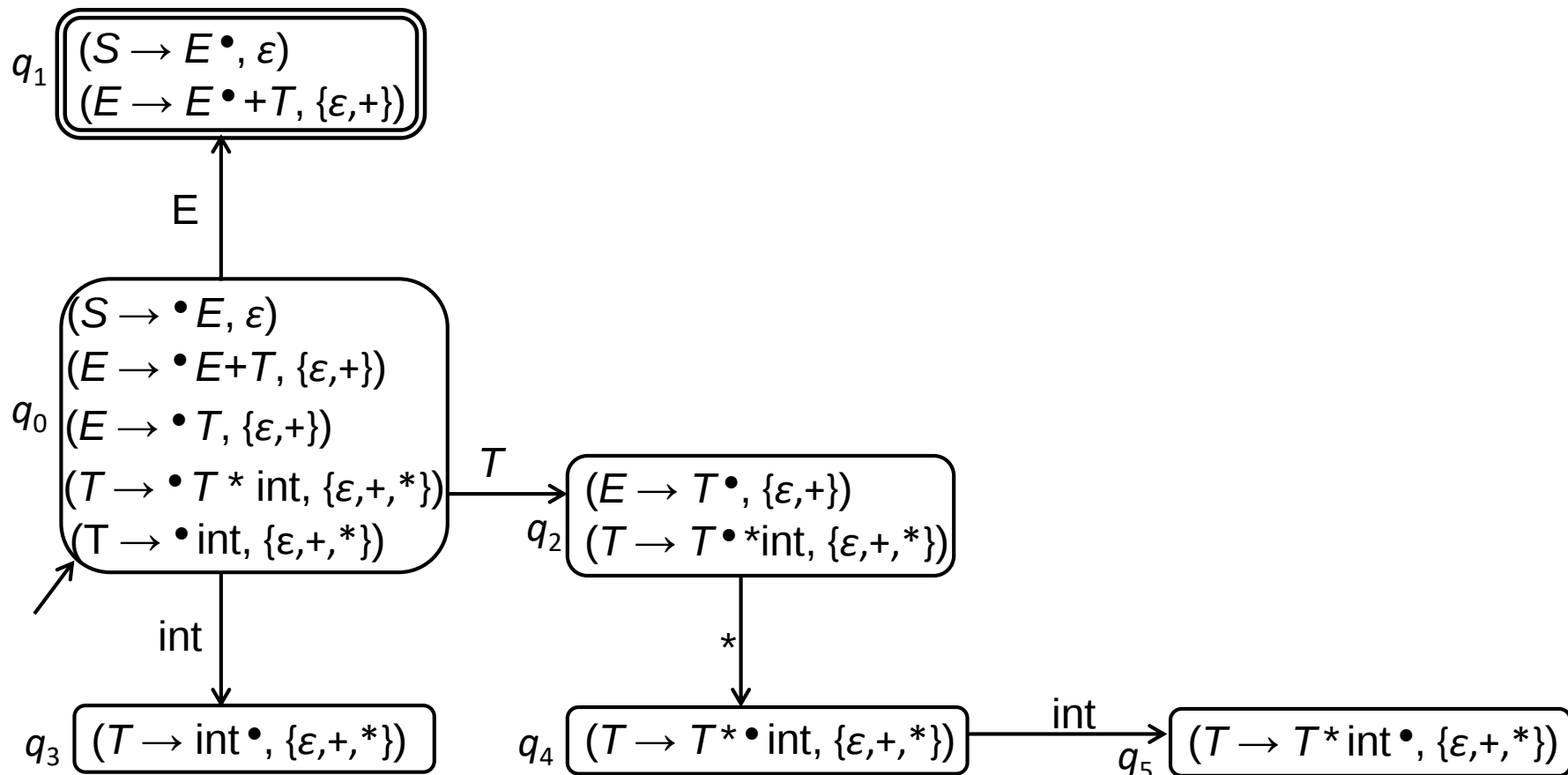
Example: LR(1) DFA Construction on the fly



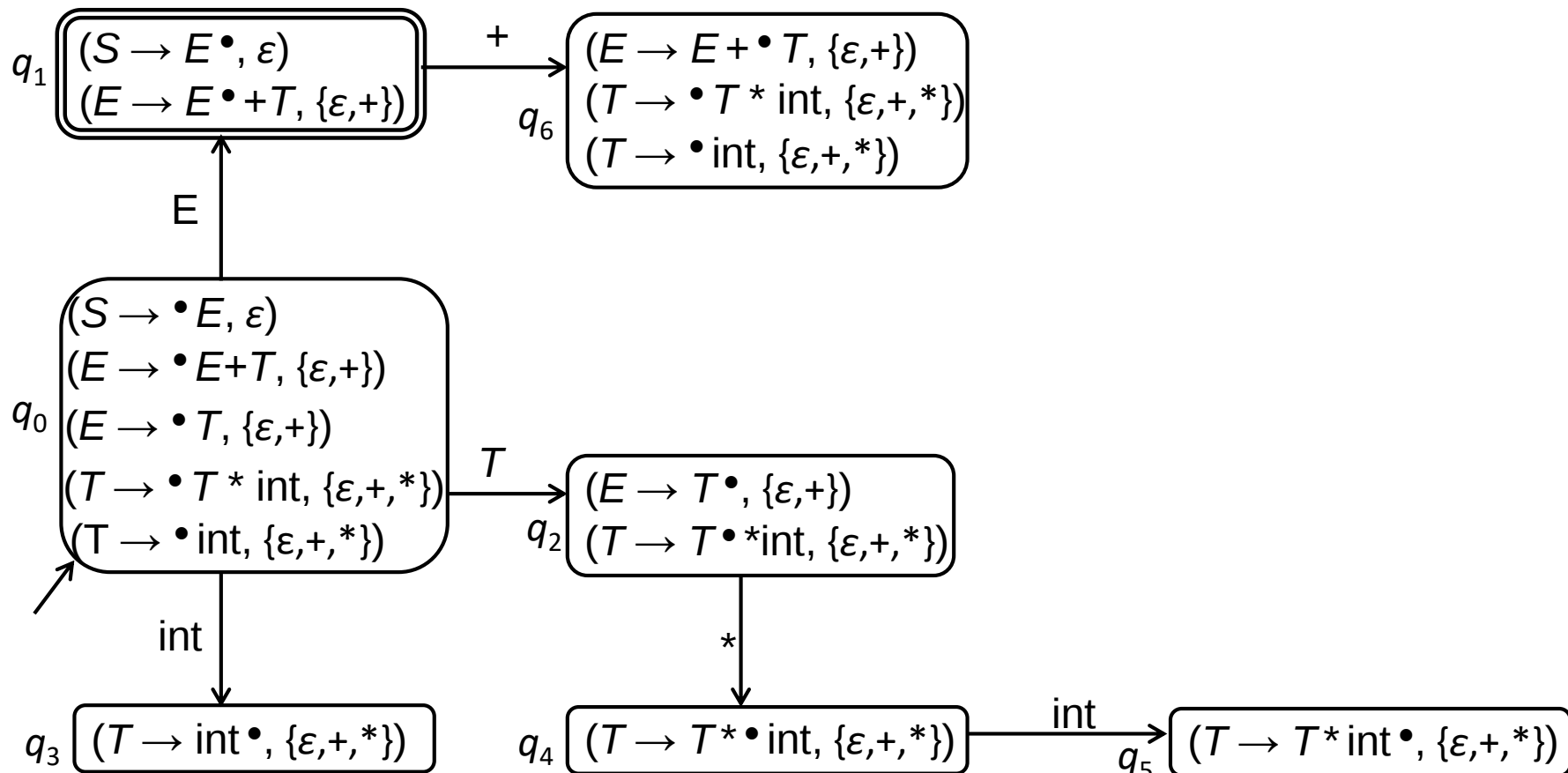
Example: LR(1) DFA Construction



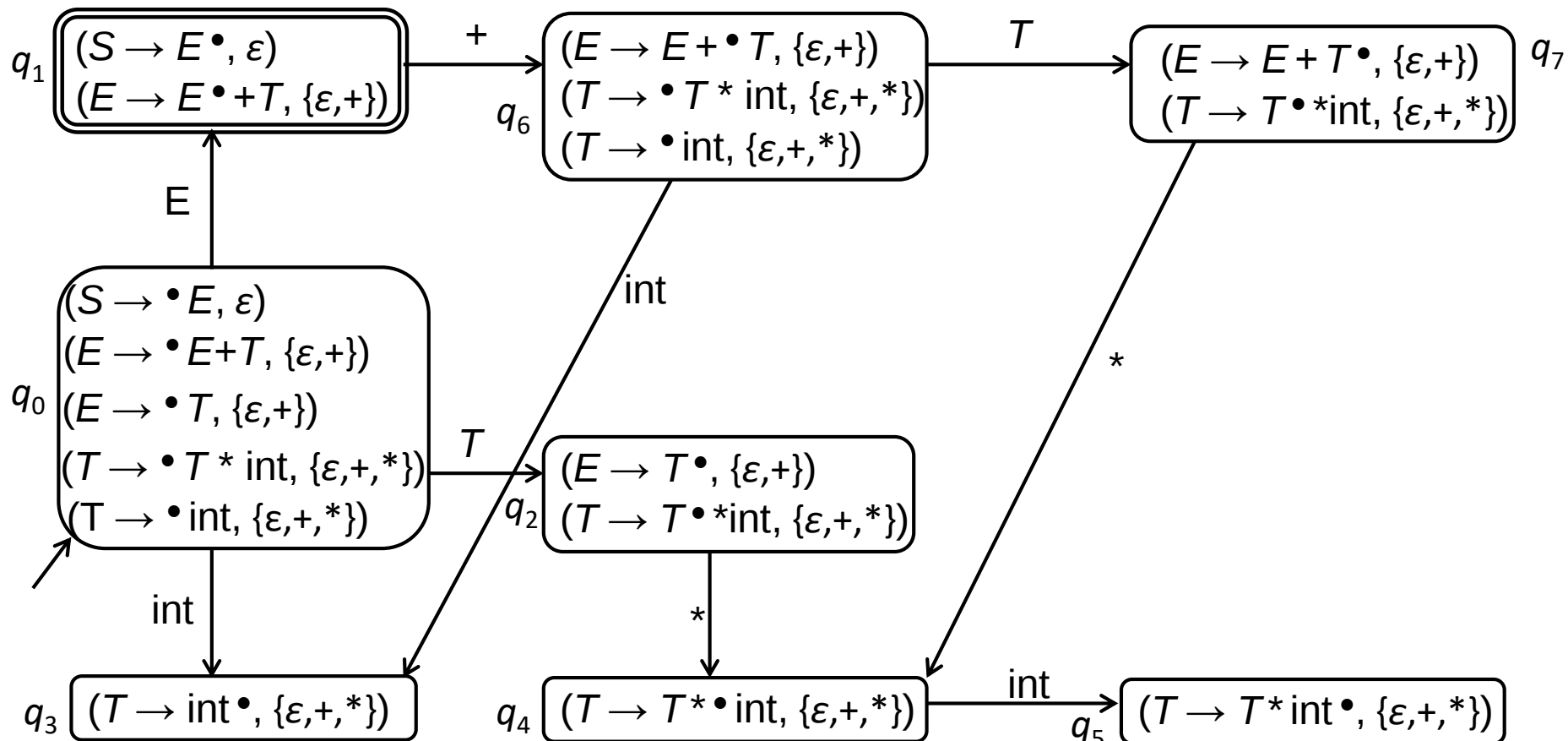
Example: LR(1) DFA Construction



Example: LR(1) DFA Construction



Example: LR(1) DFA Construction



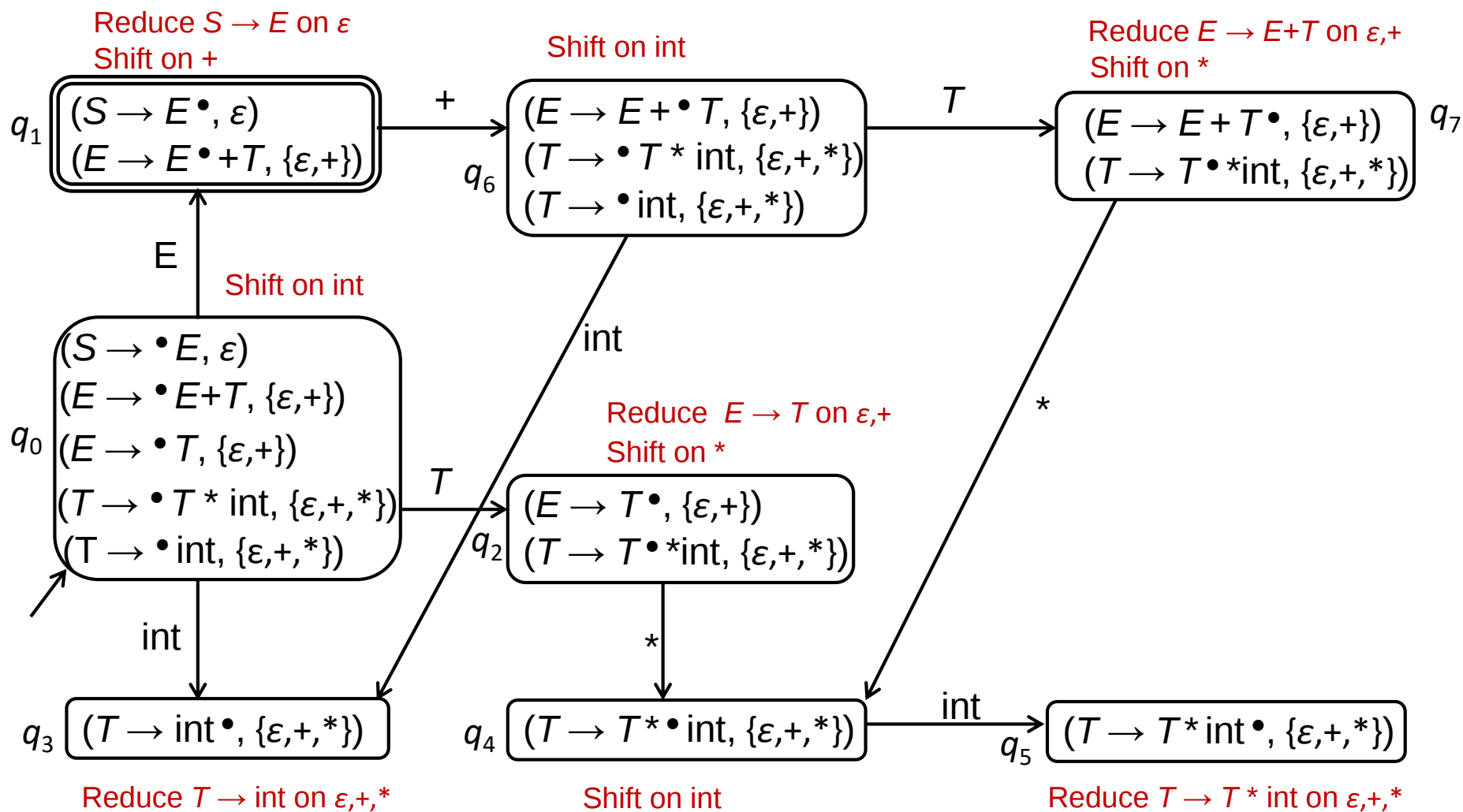
LR(1) Parsing

■ NFA

- Start state ($S' \rightarrow \bullet S, \varepsilon$)
- Accepting state ($S' \rightarrow S \bullet, \varepsilon$)

■ DFA

- NFA $\rightarrow$ DFA conversion (states = sets of $LR(1)$ -items)
- Actions associated with states and lookahead
 - $Act(q, t) = \text{shift}$ iff $(A \rightarrow \alpha \bullet t \beta, u) \in q, t \in T$
 - $Act(q, u) = (A \rightarrow \beta)$ iff $(A \rightarrow \beta \bullet, u) \in q, u \in T \cup \{\varepsilon\}$



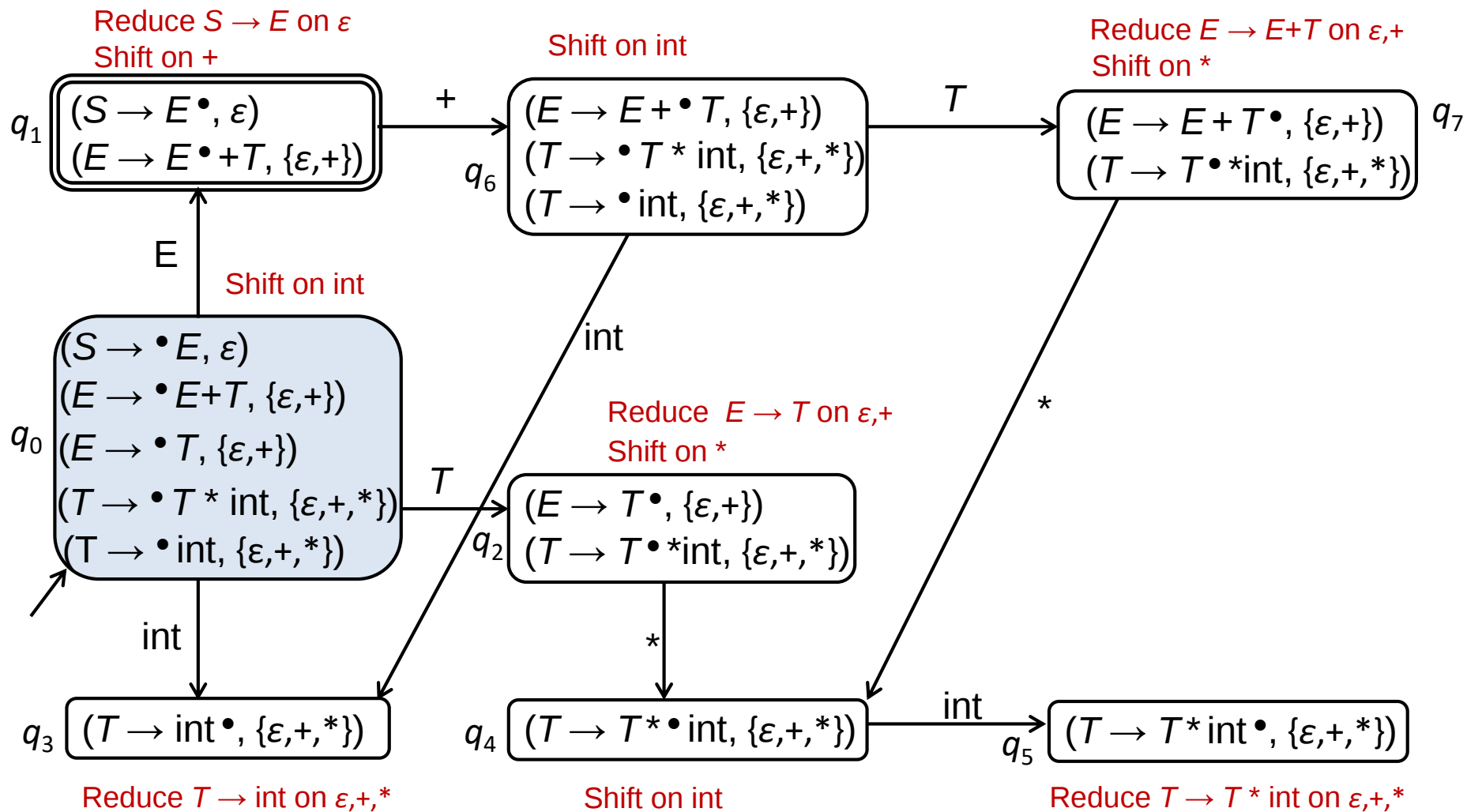
LR(1) Parsing

■ Parsing algorithm

- Stack symbols: States (Sets of LR(1)-items)
- Initial stack is $q_0 \mid \omega_c$ where ω_c is the complete input
- **Parse steps**
 - $\rho q \mid t \omega \xrightarrow{\text{green}} \rho q \delta(q,t) \mid \omega$ iff $\text{Act}(q,t) = \text{shift}$
 - $\rho q \rho' q' \mid \omega \xrightarrow{\text{green}} \rho q \delta(q,A) \mid \omega$ iff $\text{Act}(q',u) = (A \rightarrow \beta)$
 $|\beta| = |\rho' q'|$
 $u = \text{First}(\omega)$
- Accept with stack $q_0 q_f \mid \varepsilon$ where q_f is accepting state

Example LR(1)-Parse

q_0 | int * int + int

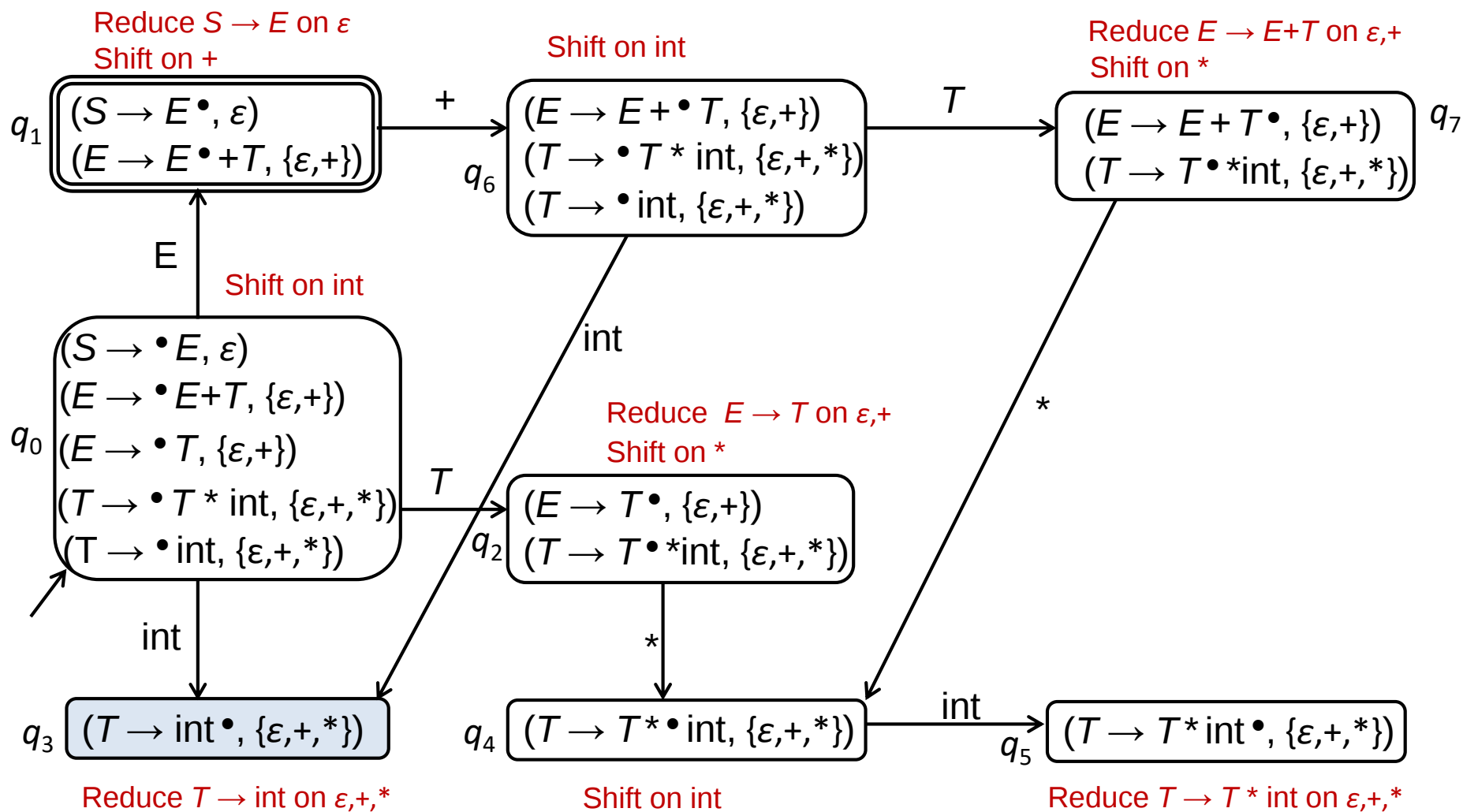


Example LR(1)-Parse

q_0 | int * int + int

$q_0 q_3$ | * int + int

Shift



Example LR(1)-Parse

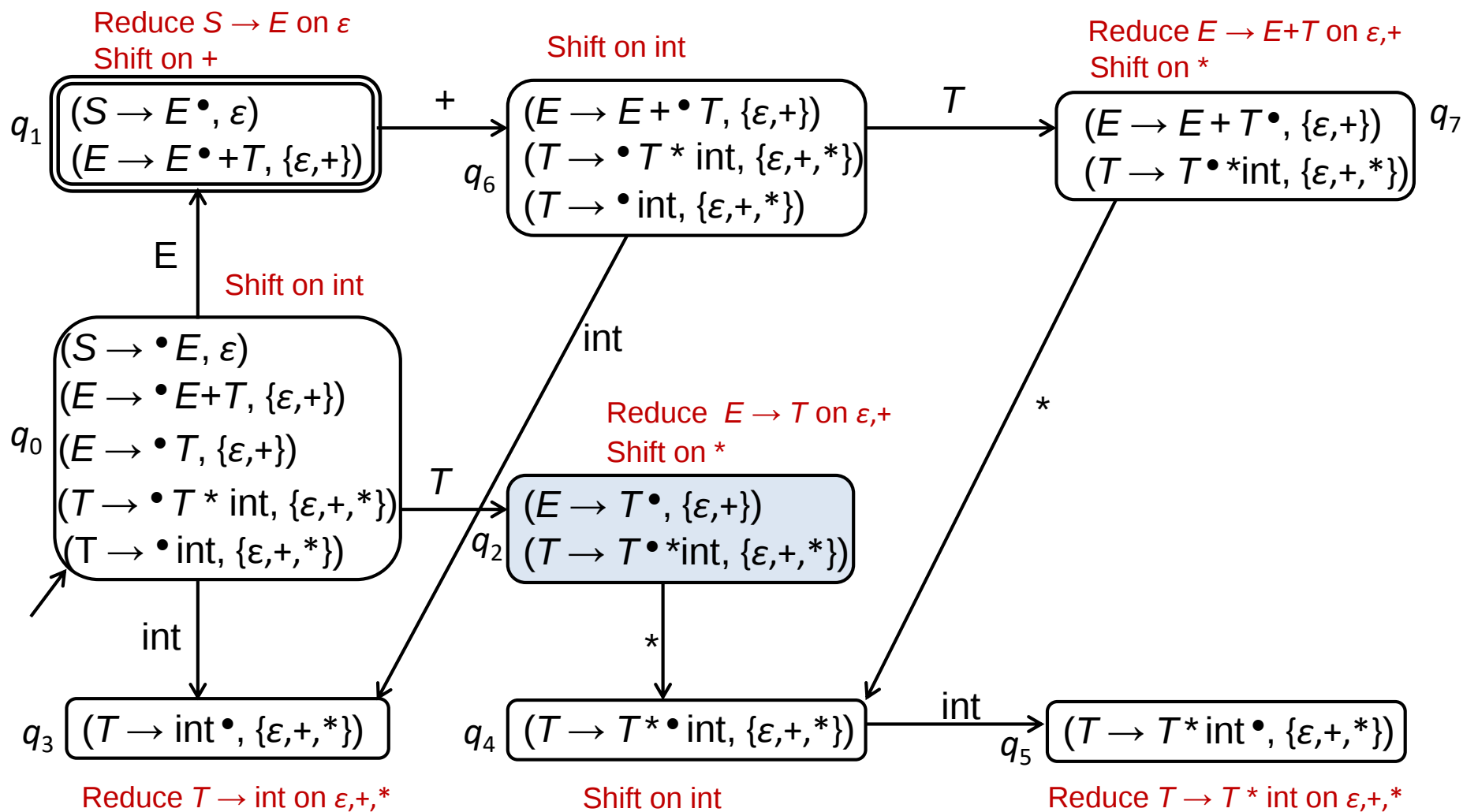
q_0 | int * int + int

$q_0 q_3$ | * int + int

$q_0 q_2$ | * int + int

Shift

Reduce $T \rightarrow \text{int}$



Example LR(1)-Parse

q_0 | int * int + int

$q_0 q_3$ | * int + int

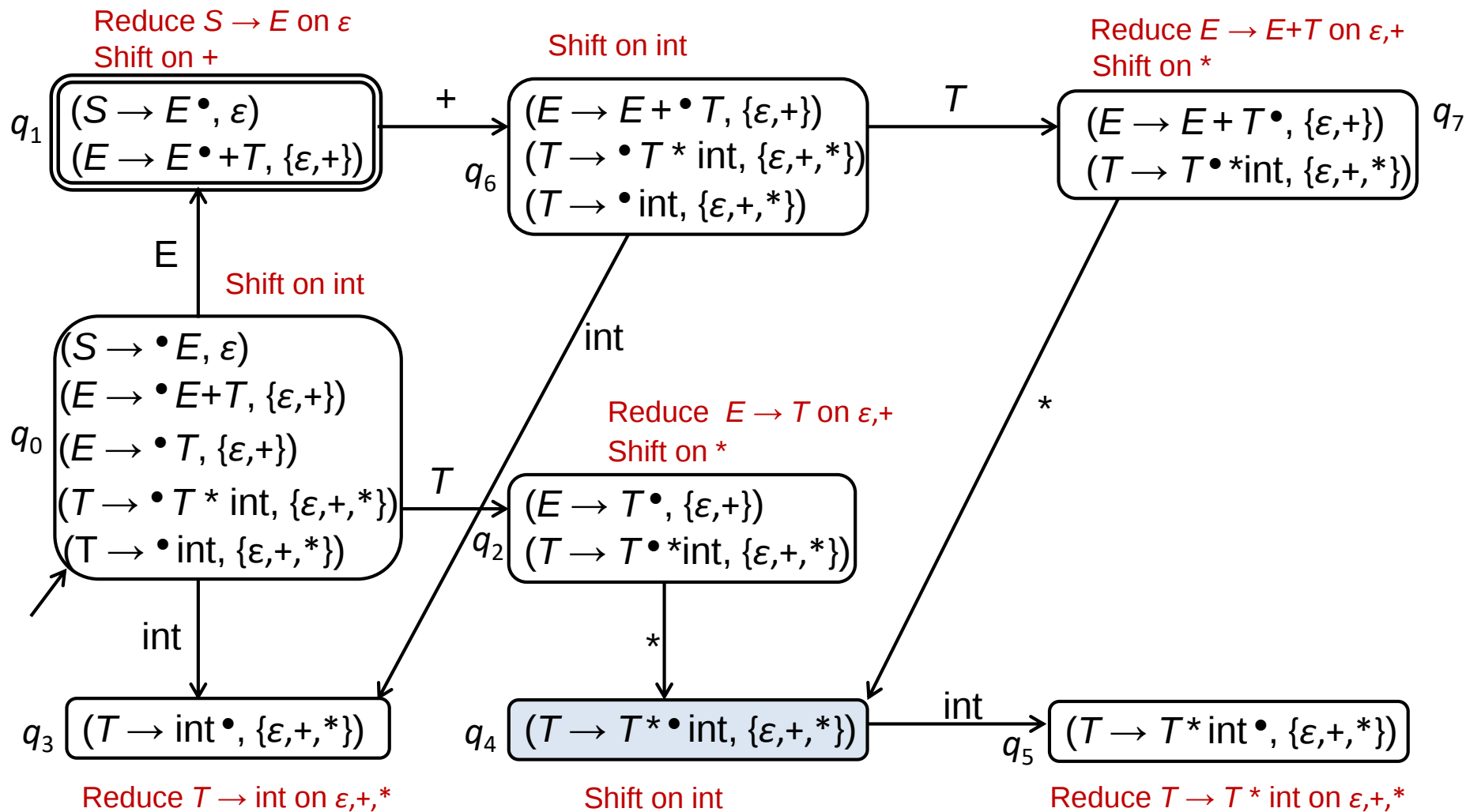
$q_0 q_2$ | * int + int

$q_0 q_2 q_4$ | int + int

Shift

Reduce $T \rightarrow \text{int}$

Shift



Example LR(1)-Parse

q_0 | int * int + int

$q_0 q_3$ | * int + int

$q_0 q_2$ | * int + int

$q_0 q_2 q_4$ | int + int

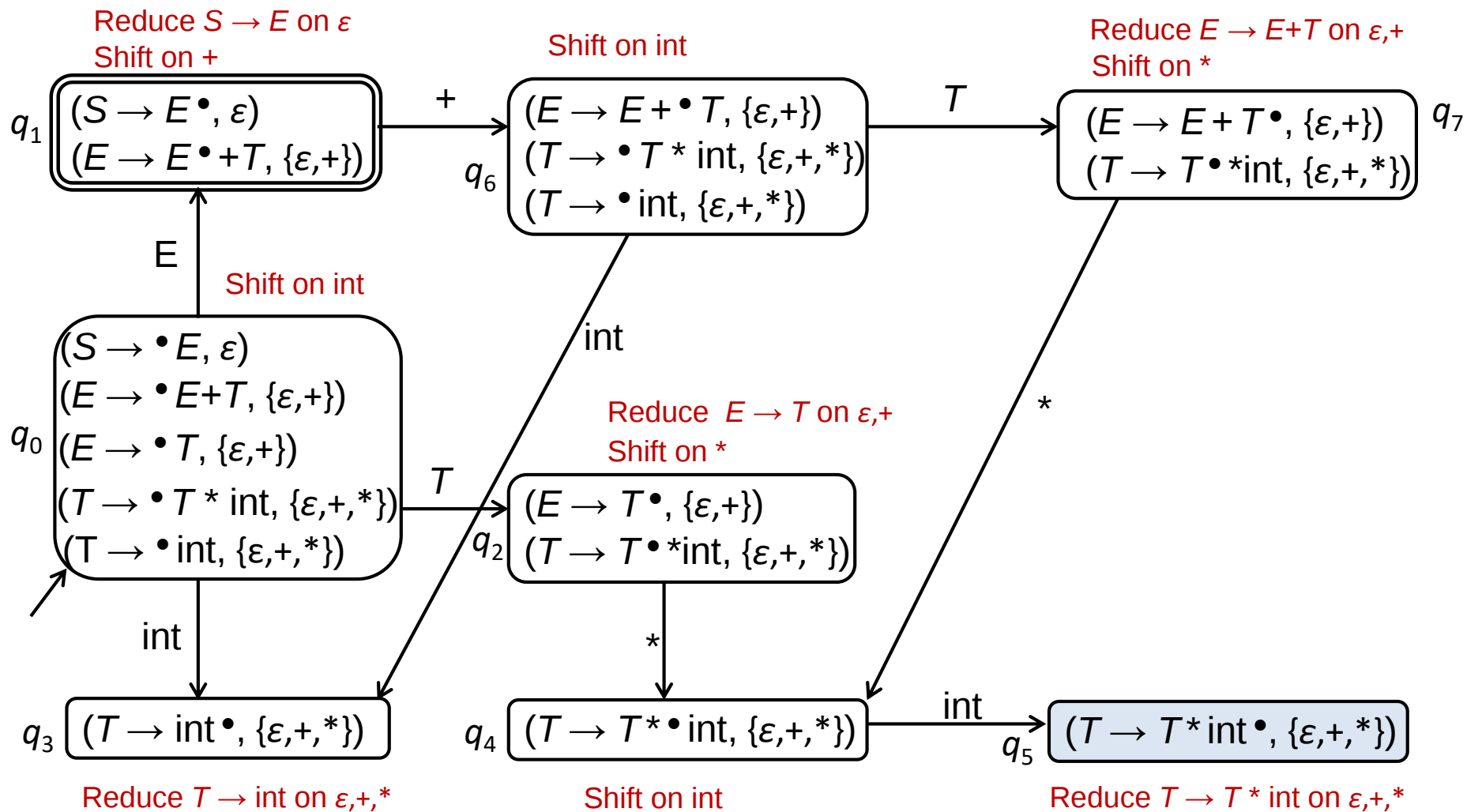
$q_0 q_2 q_4 q_5$ | + int

Shift

Reduce $T \rightarrow \text{int}$

Shift

Shift



Example LR(1)-Parse

q_0 | int * int + int

$q_0 q_3$ | * int + int

$q_0 q_2$ | * int + int

$q_0 q_2 q_4$ | int + int

$q_0 q_2 q_4 q_5$ | + int

$q_0 q_2$ | + int

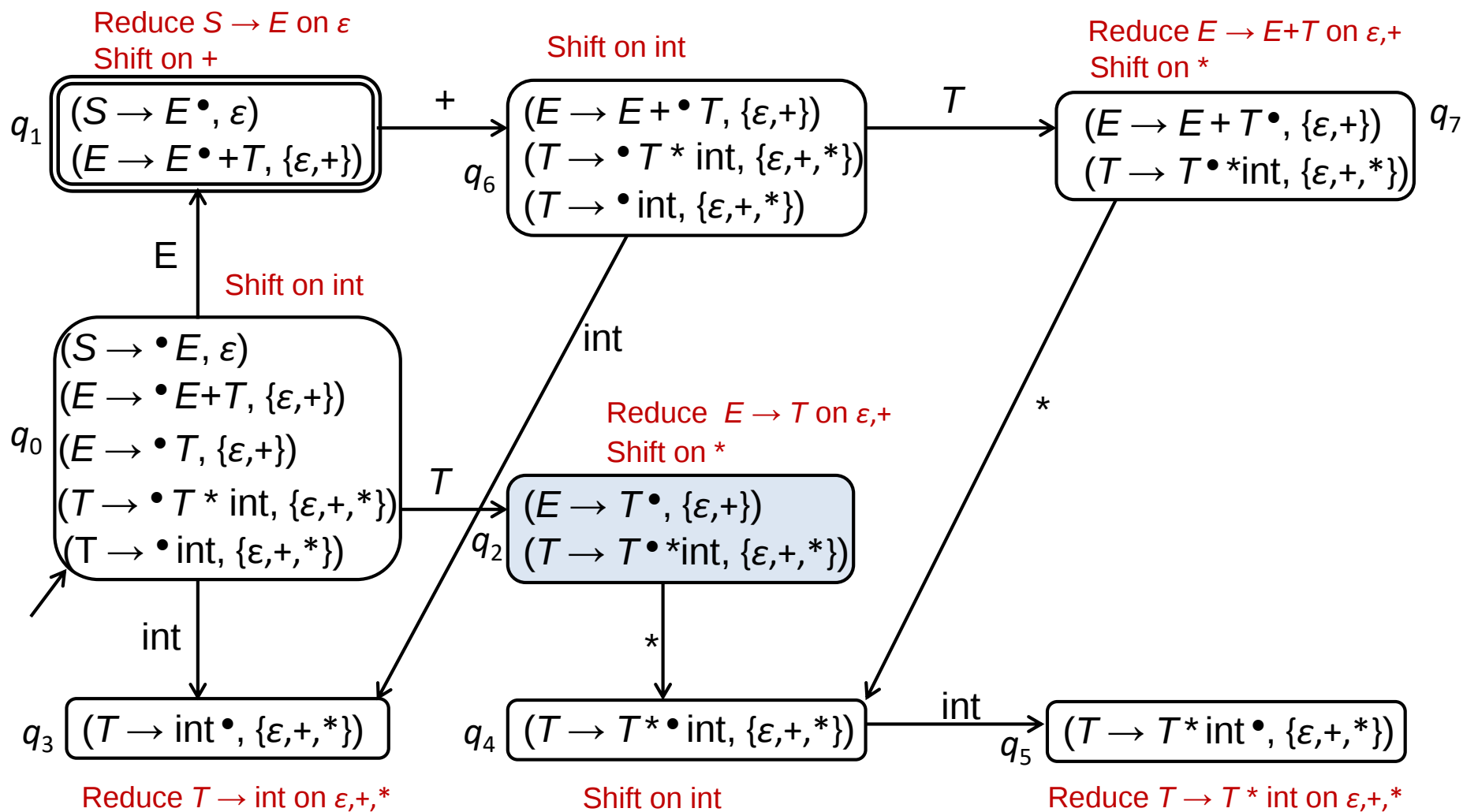
Shift

Reduce $T \rightarrow \text{int}$

Shift

Shift

Reduce $T \rightarrow T * \text{int}$



Example LR(1)-Parse

q_0 | int * int + int

$q_0 q_3$ | * int + int

$q_0 q_2$ | * int + int

$q_0 q_2 q_4$ | int + int

$q_0 q_2 q_4 q_5$ | + int

$q_0 q_2$ | + int

$q_0 q_1$ | + int

Shift

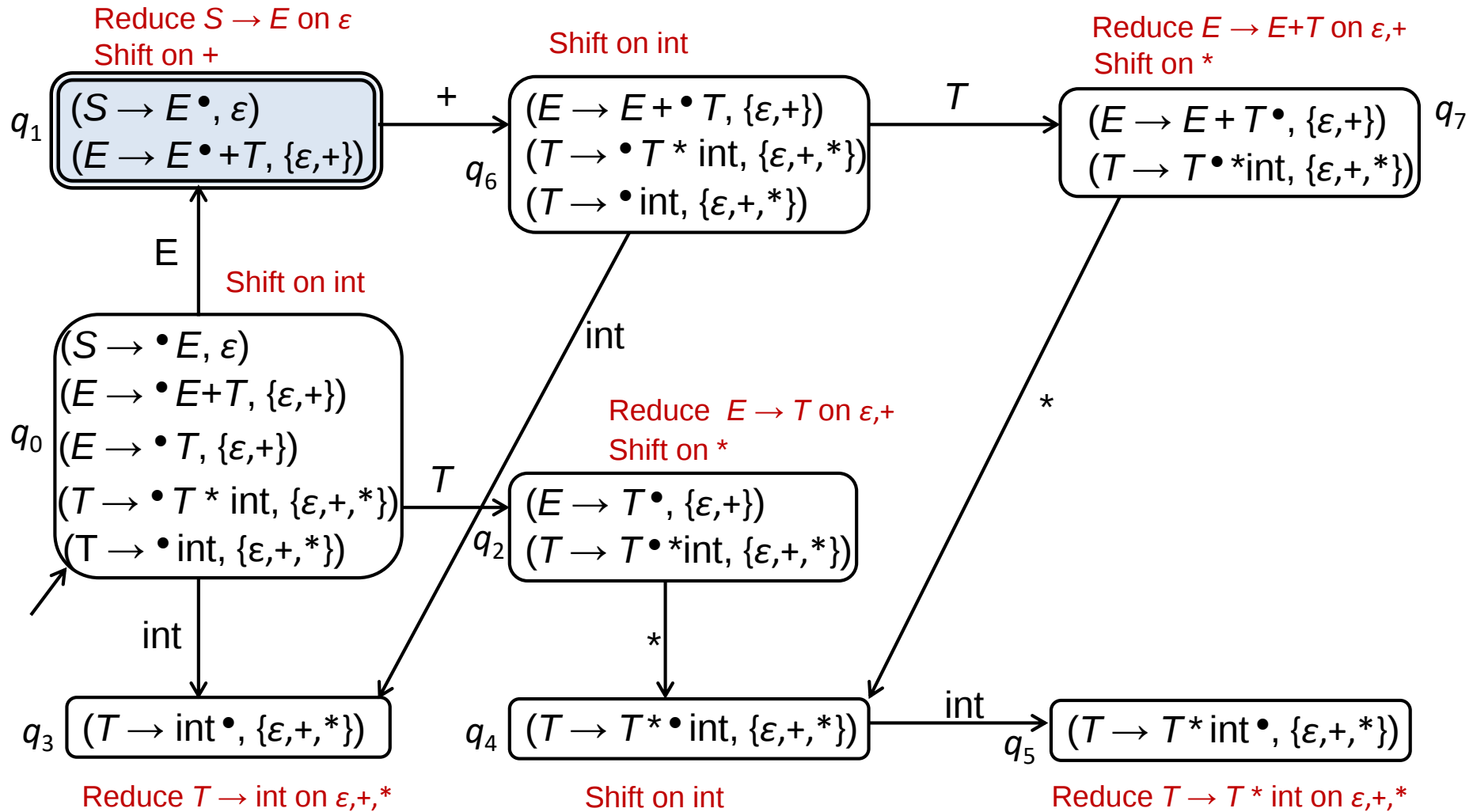
Reduce $T \rightarrow \text{int}$

Shift

Shift

Reduce $T \rightarrow T * \text{int}$

Reduce $E \rightarrow T$



Example LR(1)-Parse

q_0 | int * int + int

$q_0 q_3$ | * int + int

$q_0 q_2$ | * int + int

$q_0 q_2 q_4$ | int + int

$q_0 q_2 q_4 q_5$ | + int

$q_0 q_2$ | + int

$q_0 q_1$ | + int

$q_0 q_1 q_6$ | int

Shift

Reduce $T \rightarrow \text{int}$

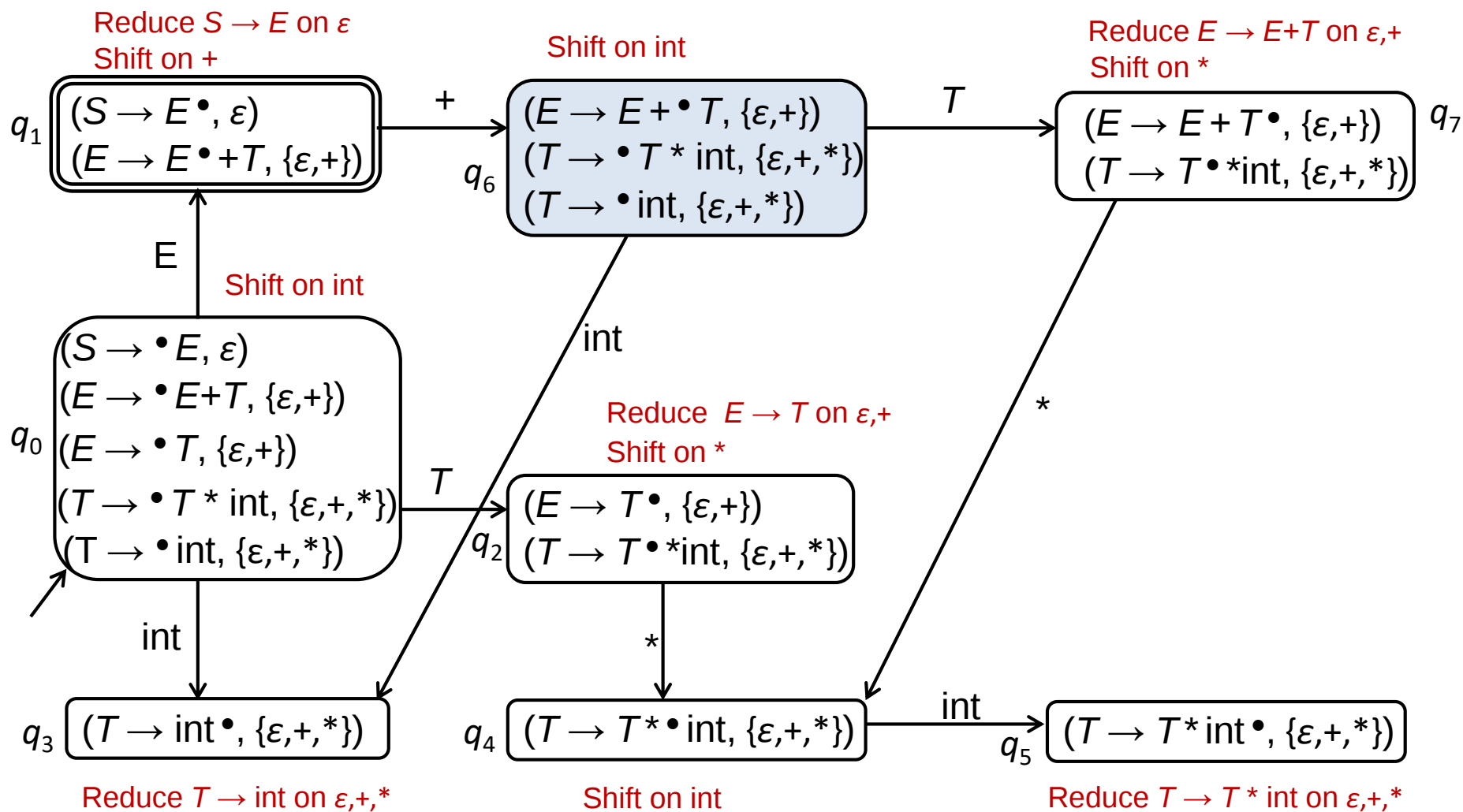
Shift

Shift

Reduce $T \rightarrow T * \text{int}$

Reduce $E \rightarrow T$

Shift



Example LR(1)-Parse

q_0 | int * int + int

$q_0 q_3$ | * int + int

$q_0 q_2$ | * int + int

$q_0 q_2 q_4$ | int + int

$q_0 q_2 q_4 q_5$ | + int

$q_0 q_2$ | + int

$q_0 q_1$ | + int

$q_0 q_1 q_6$ | int

$q_0 q_1 q_6 q_3$ |

Shift

Reduce $T \rightarrow \text{int}$

Shift

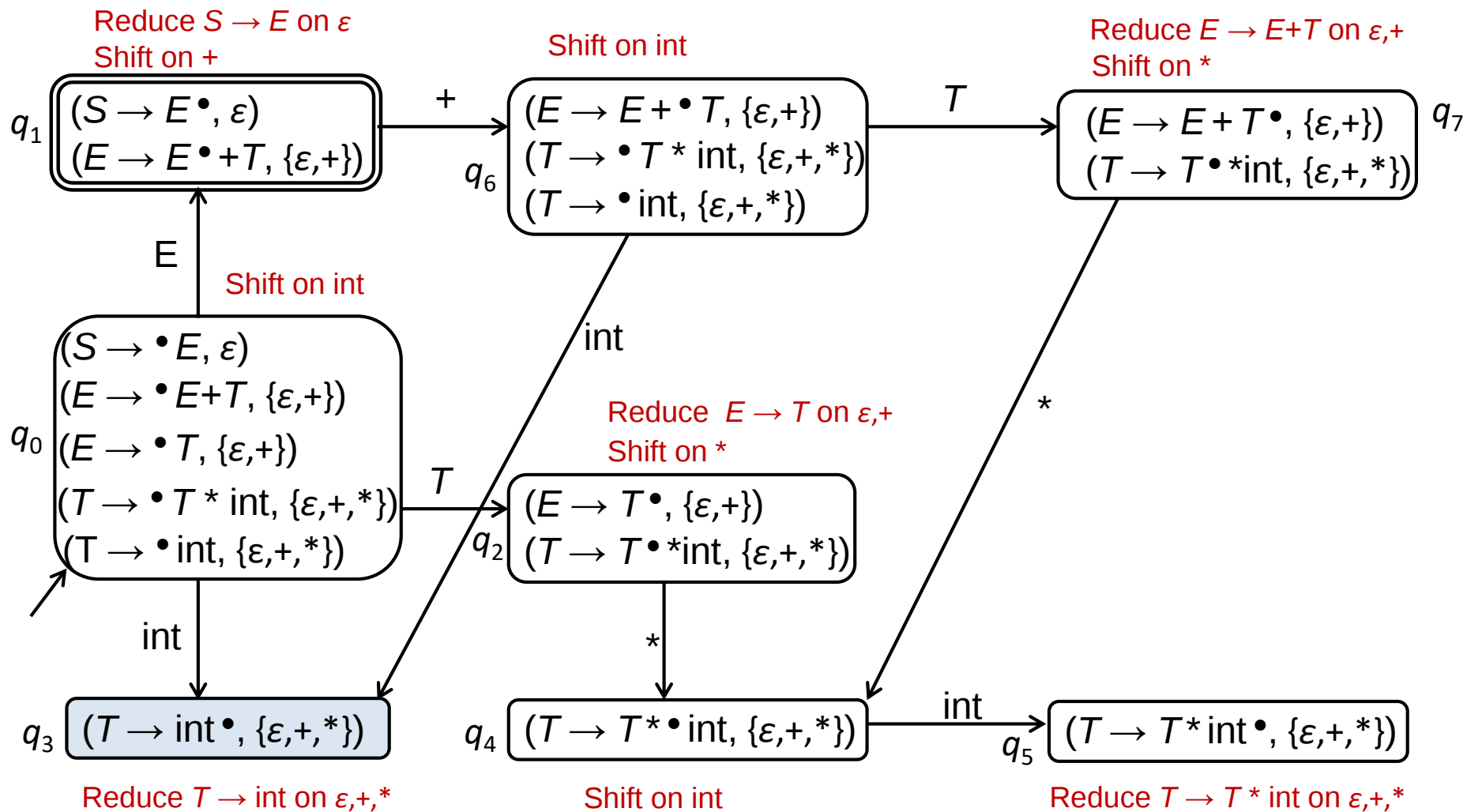
Shift

Reduce $T \rightarrow T * \text{int}$

Reduce $E \rightarrow T$

Shift

Shift



Example LR(1)-Parse

q_0 | int * int + int

$q_0 q_3$ | * int + int

$q_0 q_2$ | * int + int

$q_0 q_2 q_4$ | int + int

$q_0 q_2 q_4 q_5$ | + int

$q_0 q_2$ | + int

$q_0 q_1$ | + int

$q_0 q_1 q_6$ | int

$q_0 q_1 q_6 q_3$ |

$q_0 q_1 q_6 q_7$ |

Shift

Reduce $T \rightarrow \text{int}$

Shift

Shift

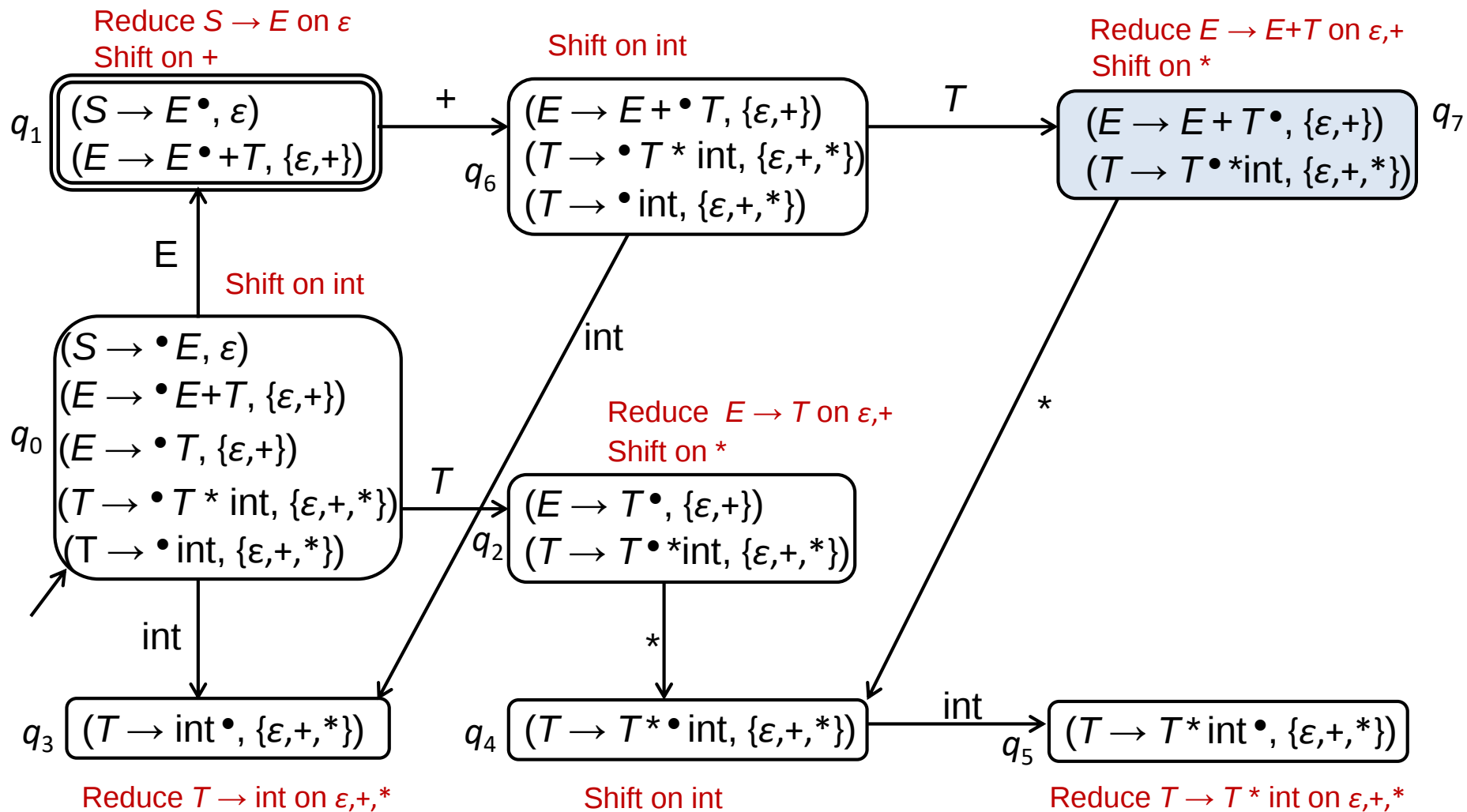
Reduce $T \rightarrow T * \text{int}$

Reduce $E \rightarrow T$

Shift

Shift

Reduce $T \rightarrow \text{int}$



Example LR(1)-Parse

q_0 | int * int + int

$q_0 q_3$ | * int + int

$q_0 q_2$ | * int + int

$q_0 q_2 q_4$ | int + int

$q_0 q_2 q_4 q_5$ | + int

$q_0 q_2$ | + int

$q_0 q_1$ | + int

$q_0 q_1 q_6$ | int

$q_0 q_1 q_6 q_3$ |

$q_0 q_1 q_6 q_7$ |

$q_0 q_1$ |

Shift

Reduce $T \rightarrow \text{int}$

Shift

Shift

Reduce $T \rightarrow T * \text{int}$

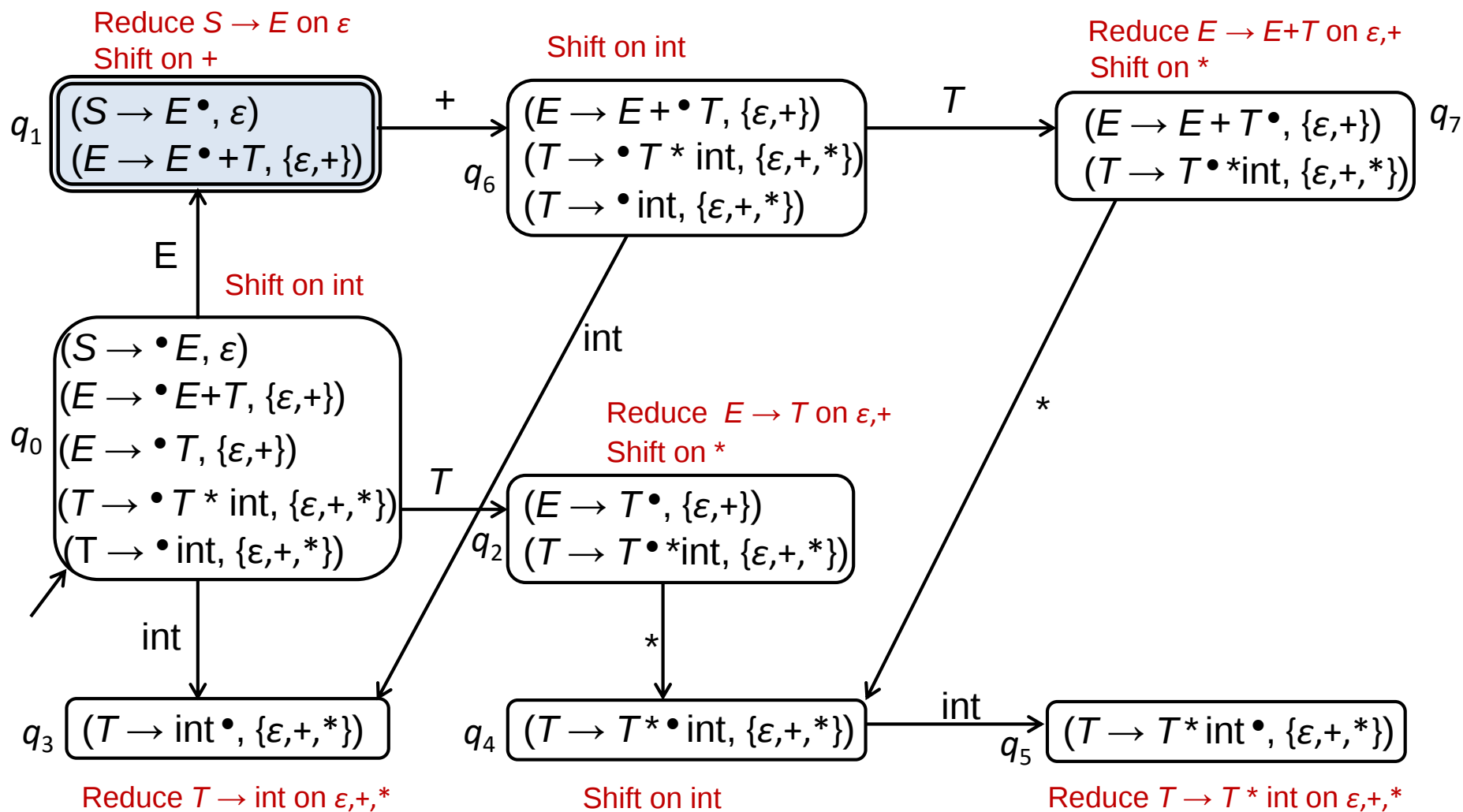
Reduce $E \rightarrow T$

Shift

Shift

Reduce $T \rightarrow \text{int}$

Reduce $E \rightarrow E + T$



Example LR(1)-Parse

q_0 | int * int + int

$q_0 q_3$ | * int + int

$q_0 q_2$ | * int + int

$q_0 q_2 q_4$ | int + int

$q_0 q_2 q_4 q_5$ | + int

$q_0 q_2$ | + int

$q_0 q_1$ | + int

$q_0 q_1 q_6$ | int

$q_0 q_1 q_6 q_3$ |

$q_0 q_1 q_6 q_7$ |

$q_0 q_1$ |

Shift

Reduce $T \rightarrow \text{int}$

Shift

Shift

Reduce $T \rightarrow T * \text{int}$

Reduce $E \rightarrow T$

Shift

Shift

Reduce $T \rightarrow \text{int}$

Reduce $E \rightarrow E + T$

Accept

LR Parsing

- Can be extended by increasing lookahead
 - $k > 1$ is not relevant in practice
 - $LR(k) = LR(1) = \text{Det. CFL}$ (for languages!)
- Can be simplified by disregarding the Follow-Sets
 - LR-items have the form $(A \rightarrow \alpha \bullet \beta)$
 - Actions must not depend on lookahead
 - $LR(0) = \text{Prefix-Free Det. CFL}$ (for languages!)

LR Parsing (2)

- Can be simplified by reducing state space
 - LALR(1): Collapse LR(1) states that only differ in lookahead components (*unify those Follow-sets*)
 - SLR(1): Compute LR(0) states and add lookahead information by using Follow-construction (*ignoring the context from former steps*).
 - $LR(0) \subset SLR(1) \subset LALR(1) \subset LR(1)$
- Lots of Tools: E.g. Yacc (Bison), CUP, LPG, ...