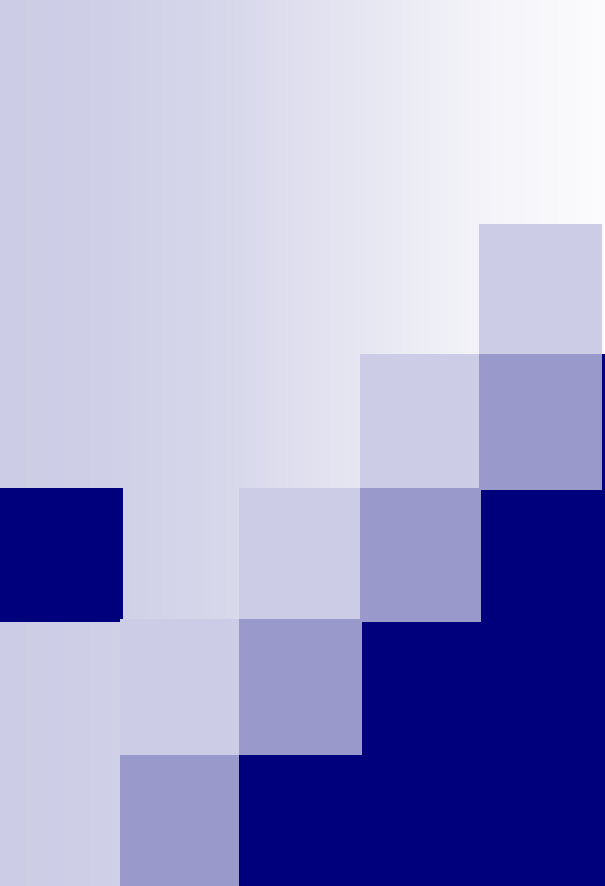


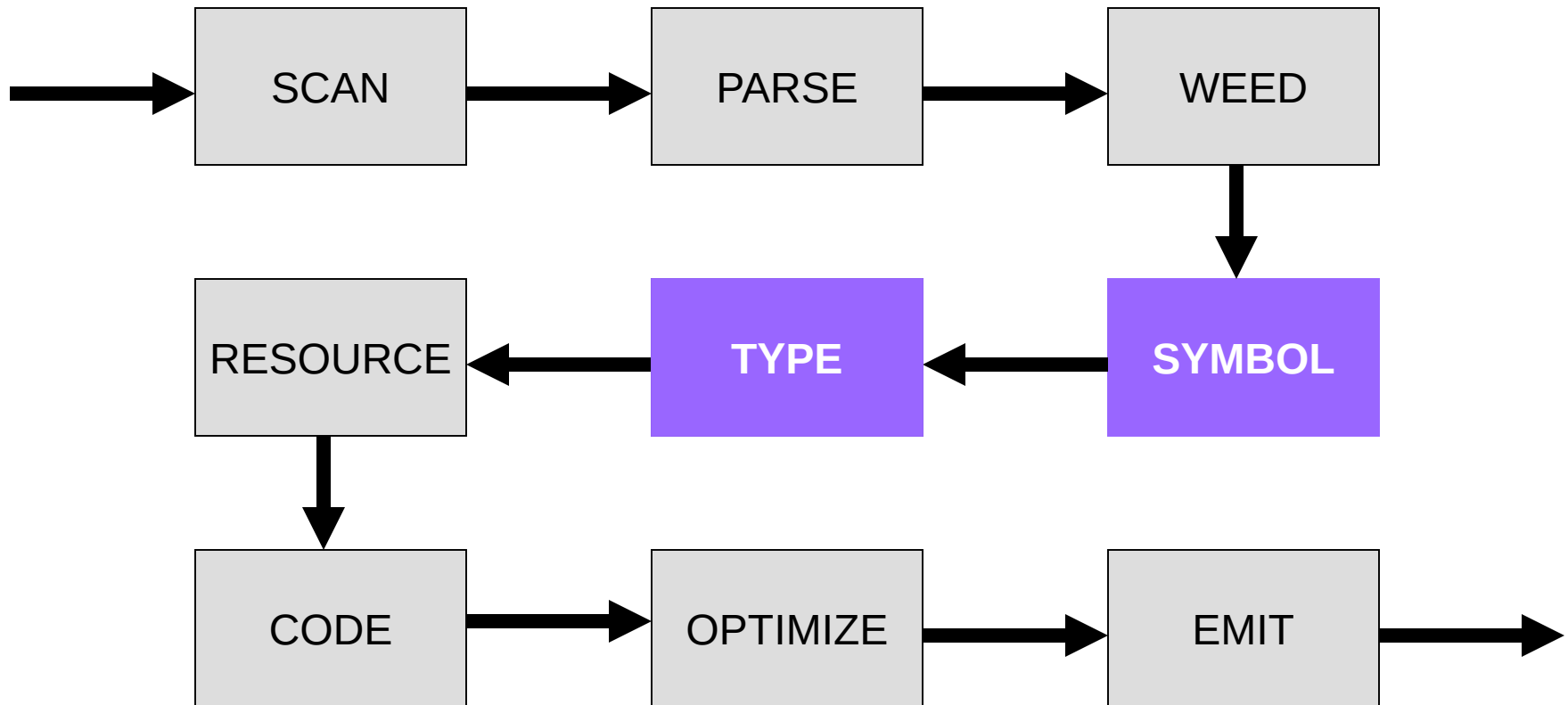


Compiler

Static Semantics: Symbol & Scope

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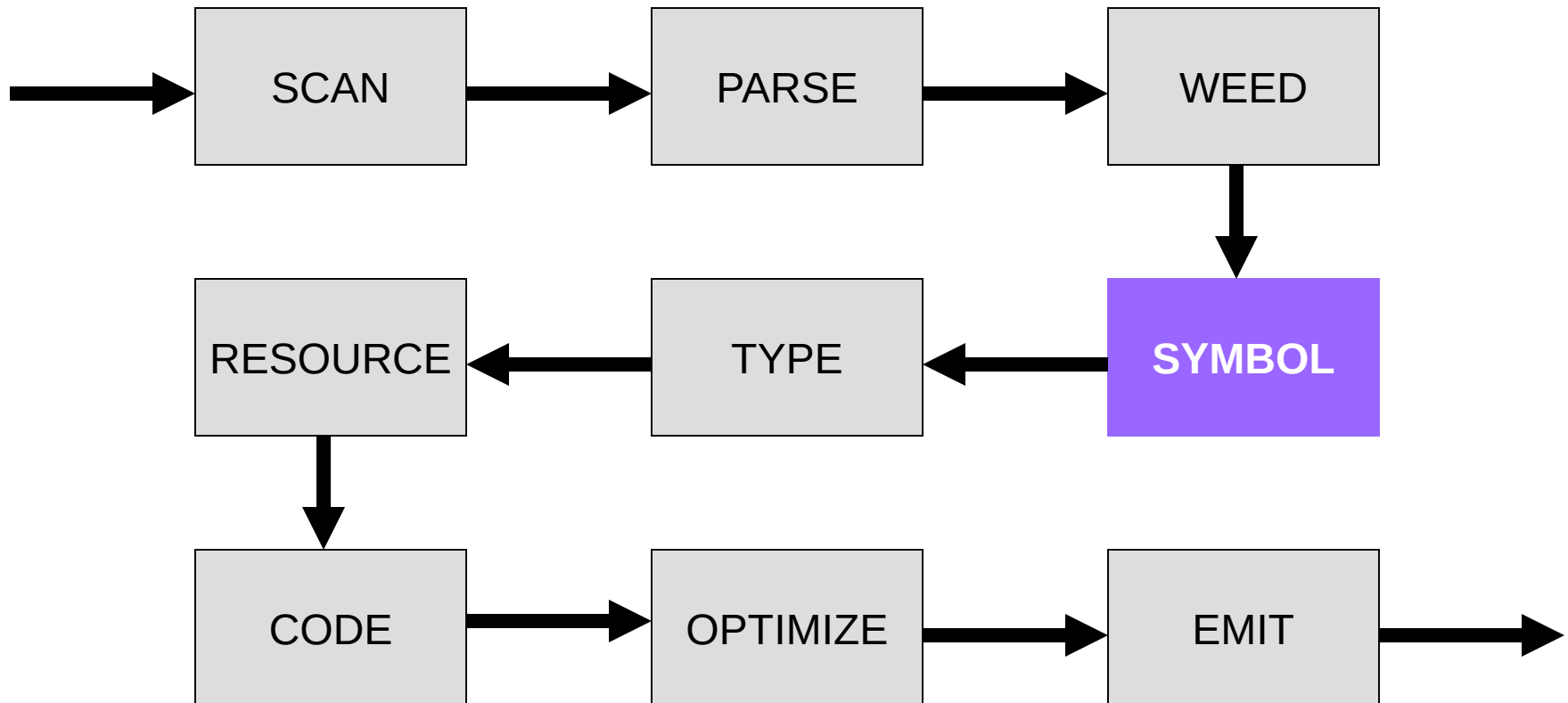
Static Semantics



Static Semantics

- Requirements on the *syntax* of programs
- Programs violating requirements are *not executable* (→ checked by compiler)
- Comprises
 - Symbol processing (scopes)
 - Type checking
 - Static checks (e.g. Java: initialization of local variables, presence of return-instructions, absence of dead instructions)

Symbol Processing



Analyzing Identifiers

- Lexical analysis defines *form* of identifiers
- Syntactic analysis defines *where* identifiers can appear
- Symbol analysis defines *correlation* of definition and uses of identifiers
- Context-free grammars *too weak* for this:

$$\{w\alpha w \mid w \in \Sigma^*\}$$

is not context-free

Analyzing Identifiers

- Consider the language of all **executable** Java-Programs L_{Java}
- Suppose that L_{Java} is context-free
- Further consider the following regular language L_{reg}

Analyzing Identifiers

```
class A{  
  int x(0|1)*;  
  
  public static void main(String[] args) {  
    System.out.println(x(0|1)*);  
  }  
}
```

Analyzing Identifiers

- Theory (TI): $L_{\text{Java}} \cap L_{\text{reg}}$ is context-free

- Consider (context-free) substitution

$$s(0) = \{0\}$$

$$s(1) = \{1\}$$

$$s(\cdot) = \{\varepsilon\} \quad \text{otherwise}$$

- Theory: $s(L_{\text{Java}} \cap L_{\text{reg}})$ is context-free

- However: $s(L_{\text{Java}} \cap L_{\text{reg}}) = \{ ww \mid w \in \{0,1\}^* \}$

is not context-free ⚡

Beyond CFGs

① Context-sensitive (type 1-) or arbitrary (type 0-) grammars.

- **Pros:** theoretical well-founded
- **Cons:** not intuitive, not practical
 - many undecidable properties
 - decidable properties mainly intractable

Beyond CFGs

- ② Grammars with **controlled derivations**
 - context-free (core) productions
 - constraints on the use of productions
 - Examples: matrix grammars,
 random context grammars,
 (partially) parallel rewriting grammars
 - **Pros:** in many cases intuitive, maintain many desirable properties of context-free grammars
 - **Cons:** no parser generators, still many intractable problems

Controlled Derivations

Examples: Grammars for $\{a^n b^n a^n \mid n \geq 1\}$.

Context-free matrix grammar:

$(S \rightarrow AB), (A \rightarrow aAb, B \rightarrow Ba), (A \rightarrow ab, B \rightarrow a)$

$$\begin{array}{ccccccc} S \Rightarrow AB \Rightarrow aAbBa \Rightarrow aaAbbBaa \Rightarrow \dots \Rightarrow a^n Ab^n Ba^n \Rightarrow \dots \\ \Downarrow \quad \quad \Downarrow \quad \quad \Downarrow \quad \quad \quad \Downarrow \\ aba \quad \quad aabbbaa \quad \quad a^3b^3a^3 \quad \quad a^{n+1}b^{n+1}a^{n+1} \end{array}$$

Beyond CFGs

③ Multi-Level grammars / Grammar systems

- Several (context-free) grammars cooperatively rewrite (*sequentially* - in turns - or in *parallel* with information exchange)
- **Pros:** intuitive in terms of distributed computations
- **Cons:** no generated parsers

Grammar Systems

Examples: Grammars for $\{a^n b^n a^n \mid n \geq 1\}$.

Component 1:

$S \rightarrow S', S' \rightarrow AB,$
 $A \rightarrow aA'b, B \rightarrow B'a$

sequentially working, e.g., in the

- =2-mode (a component, once started, performs 2 consecutive steps)

separate in another component

Component 2:

$A' \rightarrow A, B' \rightarrow B$
 $A \rightarrow ab, B \rightarrow a$

sequentially working, e.g., in the

- =2-mode (a component, once started, performs 2 consecutive steps)
- t-mode (a component, once started, acts as long as it can)

Beyond CFGs

③ Multi-Level grammars / Grammar systems

- Several (context-free) grammars cooperatively rewrite (*sequentially* - in turns - or in *parallel* with information exchange)
- **Pros:** intuitive in terms of distributed computations
- **Cons:** no generated parsers

Special variant: Two-level grammars

- Context-free meta-rules used within other context-free productions. Equivalent to type-0 grammars.
- *E.g.:* Definition of ALGOL 68 (van Wijngaarden grammars)
- very large grammars, no generated parsers

Two level Grammars

Example: Two level grammar for $\{a^n b^n a^n \mid n \geq 1\}$.

Level 1: CFG

$N ::= 1 \mid N1$

$X ::= a \mid b$

Level 2: CFG-Scheme

$\text{Start} ::= \langle a^N \rangle \langle b^N \rangle \langle a^N \rangle$

$\langle X^{N1} \rangle ::= \langle X^N \rangle X$

$\langle X^1 \rangle ::= X$

Beyond CFGs

④ Attribute grammars (D. Knuth, 1968).

- Context-free grammar
- Evaluating attributes (inherited or synthetic) on the derivation tree. Equivalent to type-0 grammars.
- **Pros:** keeps grammar simple, easy to implement
- **Cons:** attribute evaluation outside of grammar formalism, dependencies between attribute evaluations

Common practice: natural language description.

- **Pros:** easily accessible
- **Cons:** ambiguous, no generated parsers

Attribute Grammars

An *attribute grammar* is a context-free grammar $G = (N, T, P, S)$ together with

- a set of attributes being associated with symbols in $(T \cup N)$.

The attributes are partitioned into

- *synthesized* attributes (used for bottom-up attribute flow)
- *inherited* attributes (used for top-down attribute flow)
- If $X \in N \cup T$ and α is an attribute name,
then $X.\alpha$ refers to the α -attribute associated with X

Attribute Grammars

- A set of *semantic rules* of the form $b := f(c_1, \dots, c_k)$ for each production $X \rightarrow \omega \in P$ where either:
 - i) b is a **synthesized attribute** of X and $c_1, \dots, c_k$ are attributes belonging to symbols in ω or
 - ii) b is an **inherited attribute** of some symbol in ω and $c_1, \dots, c_k$ are attributes belonging to symbols in $\omega \cup \{X\}$.

Attribute Grammars

Example: Evaluation of arithmetic expressions with priorities

Synthesized attribute **val**

Production

$E \rightarrow E_1 + T$

$E \rightarrow T$

$T \rightarrow T_1 * F$

$T \rightarrow F$

$F \rightarrow \text{number}$

$F \rightarrow (E)$

Semantic Rule

$E.\text{val} := E_1.\text{val} + T.\text{val}$

$E.\text{val} := T.\text{val}$

$T.\text{val} := T_1.\text{val} * F.\text{val}$

$T.\text{val} := F.\text{val}$

$F.\text{val} := \text{number}.\text{lexval}$

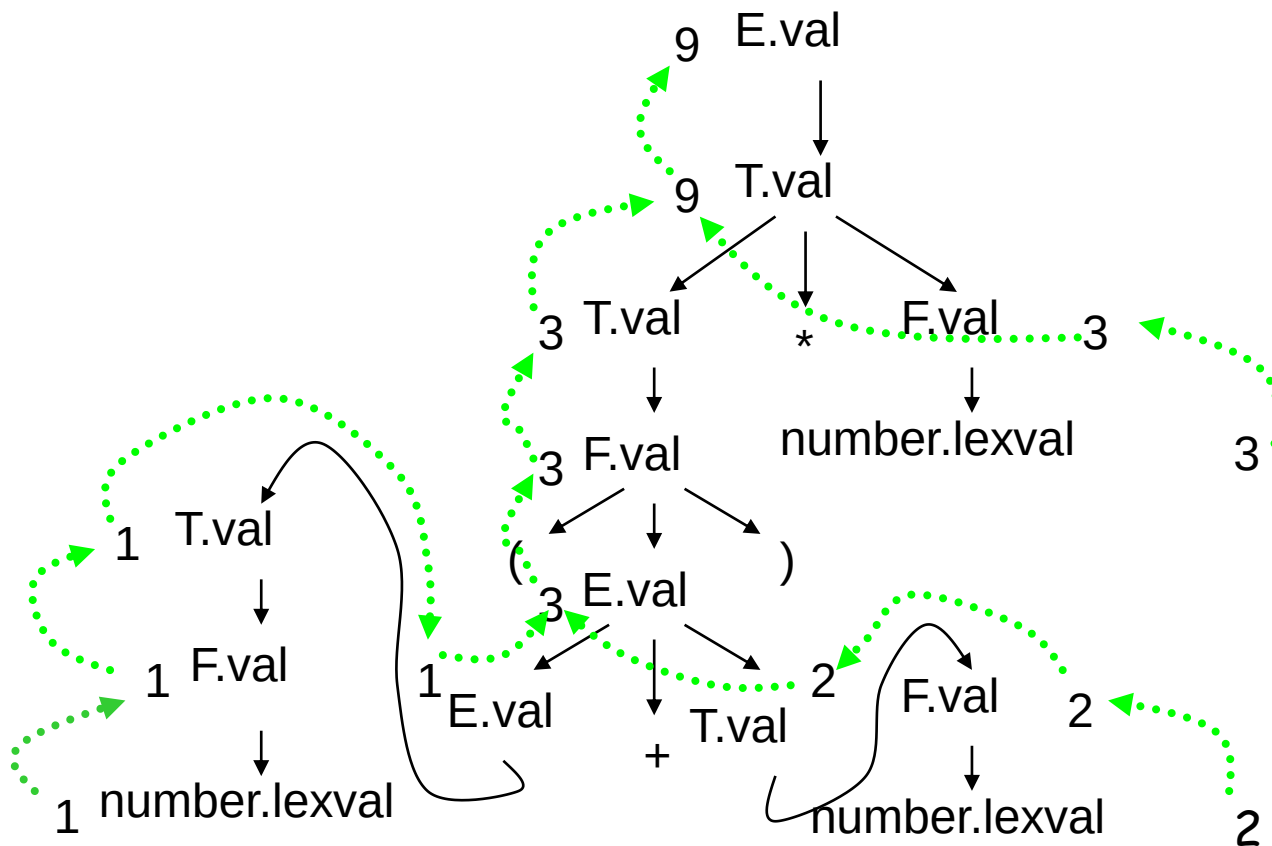
$F.\text{val} := E.\text{val}$

To distinguish E-occurrences

From lexical analysis

Attribute grammars

Example attribute evaluation of $(1+2)*3$:



Attribute grammars

Extended Example: Determining types in a typed toy-language with scopes

- Synthesized attribute `ltype_env`, `type`
- Inherited attributes `type_env`

`<var_decl> ::= <idf>: <type>`

`<type> ::= boolean | integer | real;`

`<aexpr> ::= <idf> | <aexpr> <aop> <aexpr>`

`<bexpr> ::= true | false | <bexpr> <bop> <bexpr> | <aexpr> <relop> <aexpr>`

`<stmt> ::= <idf> := <aexp> | <block> |`

`if <bexp> then <stmt> else <stmt> |`

`while <bexp> do <stmt>`

`<block> ::= begin <var_decl_part> <stmt_part> end`

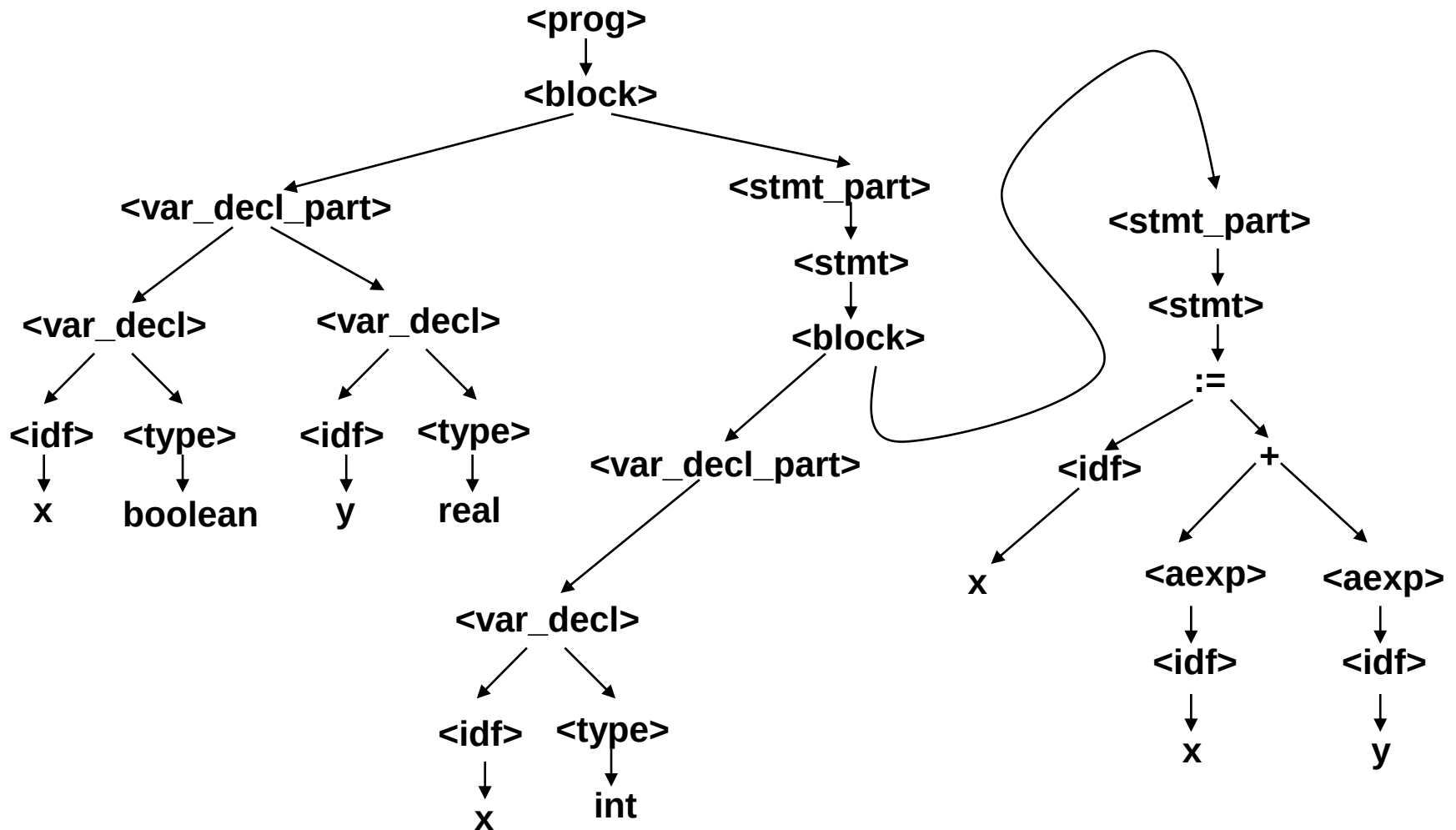
`<var_decl_part> ::= (<var_decl>;)*`

`<stmt_part> ::= (<stmt>;)*`

`<prog> ::= <block>`

EBNF for convenience

begin x:boolean; y:real; begin x:int; x := x+y; end; end



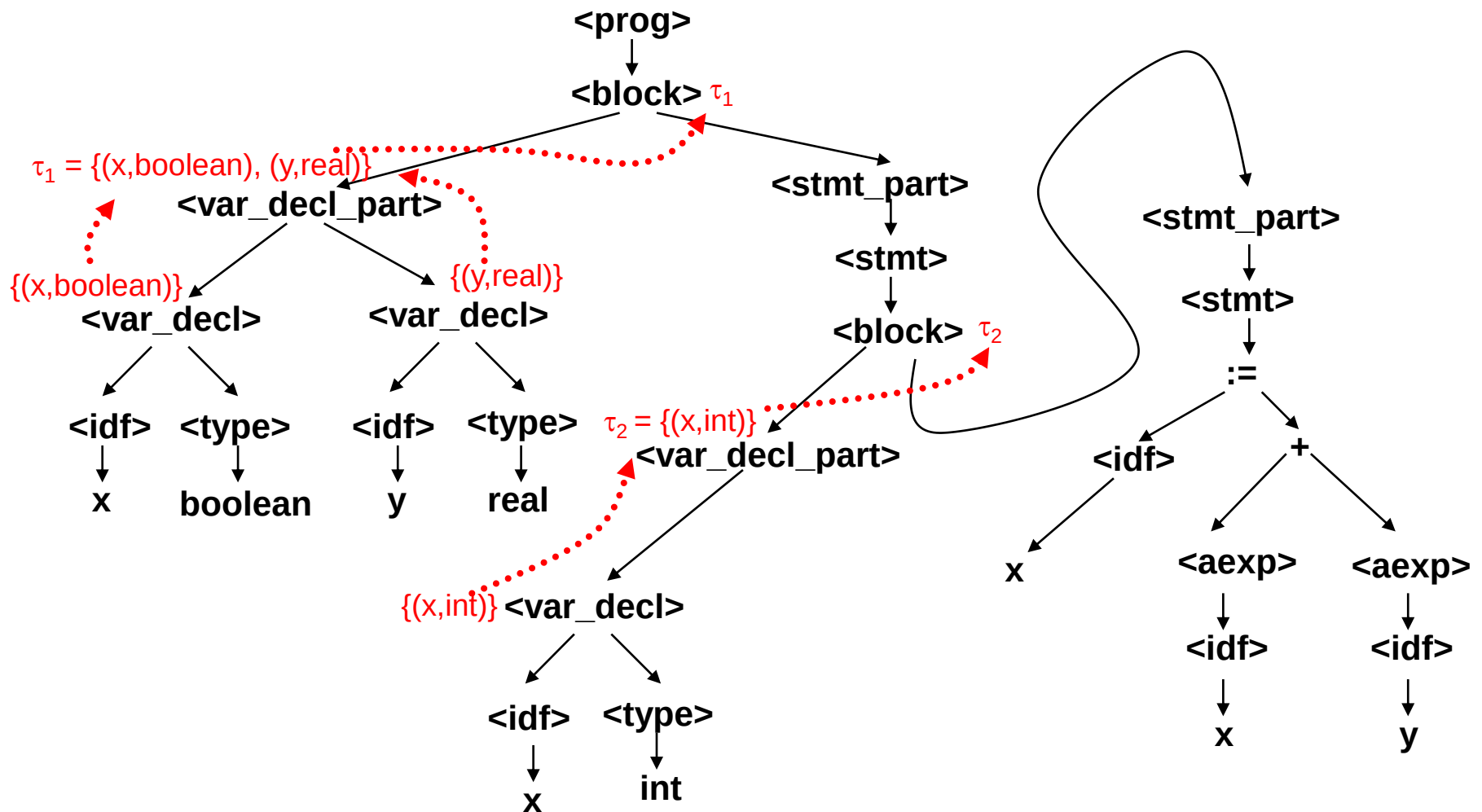
Attribute grammars

Semantic rules for synthesized attribute **ltype_env** (local type environment)

Type environments are sets of pairs (id, type), where id denotes a variable and type denotes a type.

Production	Semantic Rules
$\langle \text{var_decl} \rangle ::= \langle \text{idf} \rangle : \langle \text{type} \rangle$	$\langle \text{var_decl} \rangle . \text{ltype_env} := \{(\langle \text{idf} \rangle . \text{name} , \langle \text{type} \rangle . \text{name})\}$ where the name-attribute is from lexical analysis
$\langle \text{var_decl_part} \rangle ::= (\langle \text{var_decl} \rangle ;)^*$	$\langle \text{var_decl_part} \rangle . \text{ltype_env} :=$ $\quad \uplus \langle \text{var_decl} \rangle . \text{ltype_env}$ binding error, if not disjoint
$\langle \text{block} \rangle ::= \langle \text{var_decl_part} \rangle$ $\quad \langle \text{stmt_part} \rangle$	$\langle \text{block} \rangle . \text{ltype_env} := \langle \text{var_decl_part} \rangle . \text{ltype_env}$
otherwise	$\langle \dots \rangle . \text{ltype_env} := \emptyset$

Attribute grammars



Attribute grammars

Semantic rules for inherited attribute `type_env` (type environment)

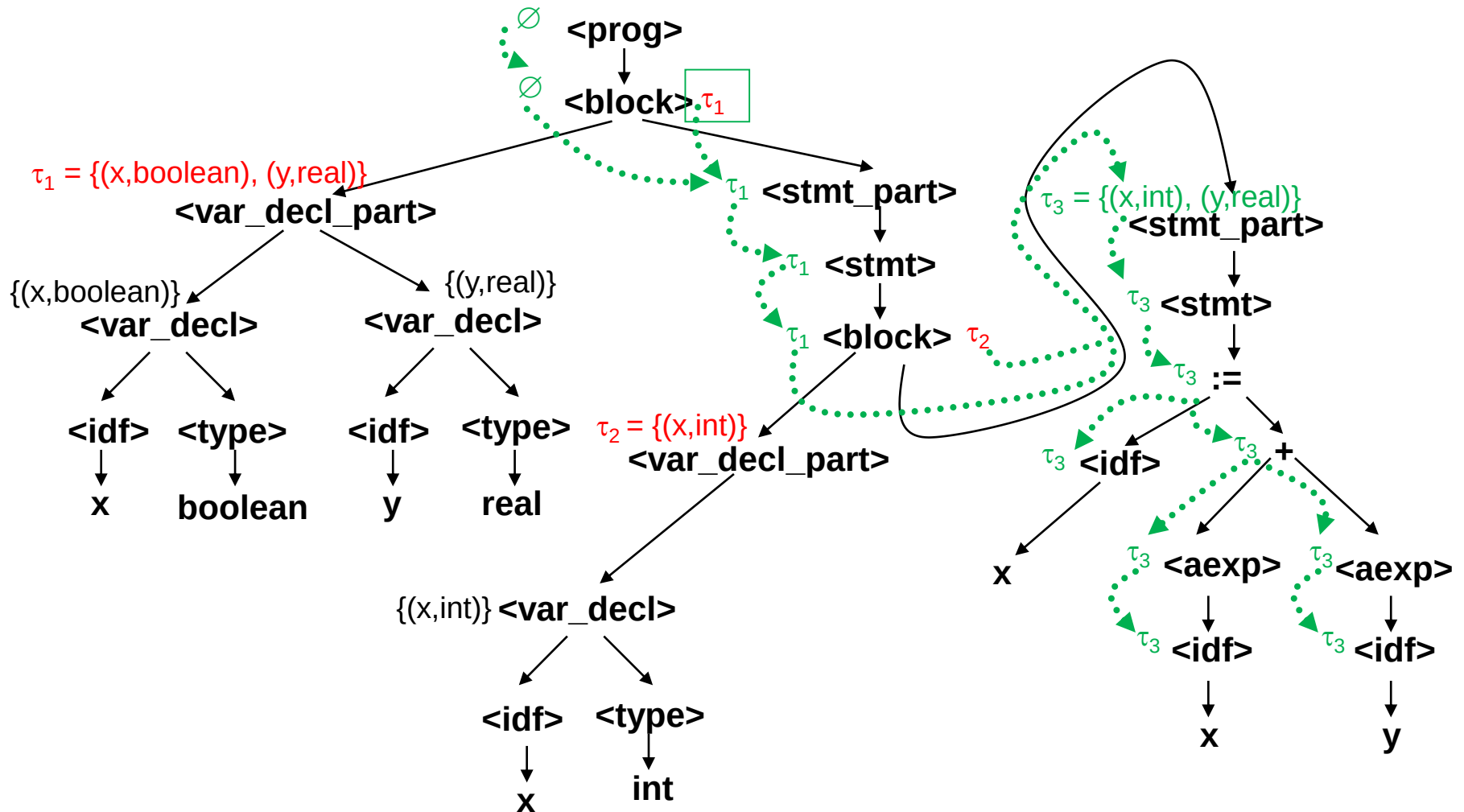
Production	Semantic Rules
<code><prog> ::= <block></code>	<code><block>. type_env := { }</code>
<code><block> ::= <var_decl_part> <stmt_part></code>	<code><stmt_part>. type_env := update(<block>.type_env, <block>.ltype_env) where update(env, lenv) = lenv $\cup$ { (id, type) $\in$ env $\forall$ type' $\neq$ type : (id, type') $\notin$ lenv }</code>
<code><stmt_part> ::= (<stmt>;)*</code>	<code><stmt>. type_env := <stmt_part>. type_env</code>
<code><stmt> ::= <block></code>	<code><block>. type_env := <stmt>. type_env</code>
<code><stmt> ::= <idf> := <aexp></code>	<code><idf>. type_env := <stmt>. type_env <aexp>. type_env := <stmt>. type_env</code>
other statements analogously	

Attribute grammars

Semantic rules for inherited attribute `type_env` (type environment)

Production	Semantic Rules
$\langle \text{aexpr} \rangle ::= \langle \text{aexpr} \rangle_1 \langle \text{aop} \rangle \langle \text{aexpr} \rangle_2$	$\langle \text{aexpr} \rangle_1.\text{type_env} := \langle \text{aexpr} \rangle.\text{type_env}$ $\langle \text{aexpr} \rangle_2.\text{type_env} := \langle \text{aexpr} \rangle.\text{type_env}$
$\langle \text{aexpr} \rangle ::= \langle \text{idf} \rangle$	$\langle \text{idf} \rangle.\text{type_env} := \langle \text{aexpr} \rangle.\text{type_env}$
Boolean expressions analogously to arithmetic expressions	

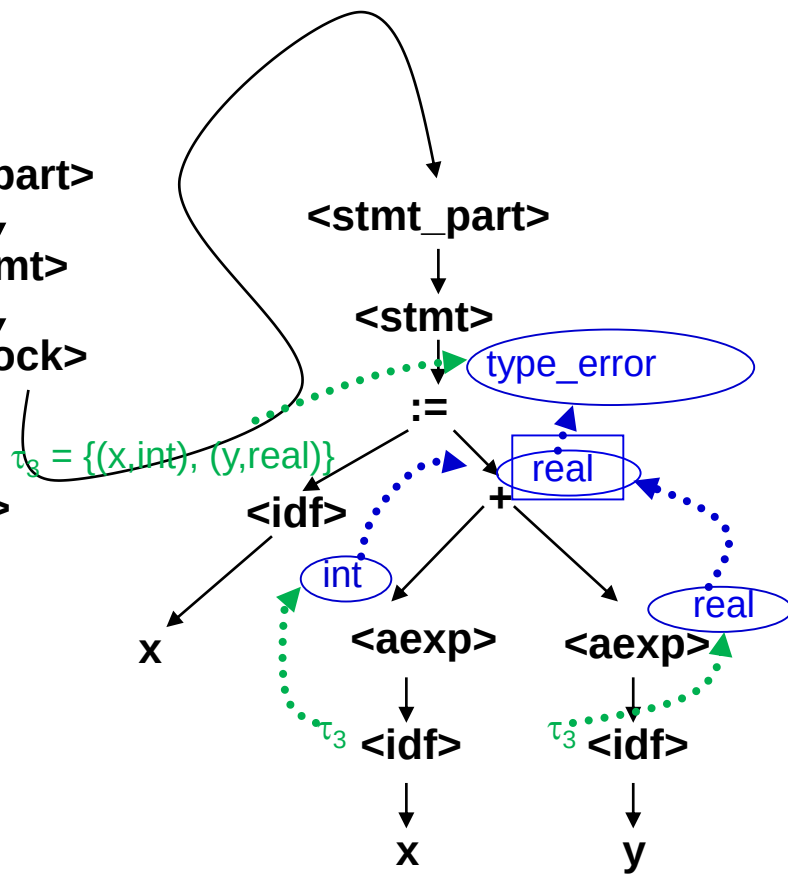
Attribute grammars



Attribute grammars

Semantic rules for synthesized attribute **type** (type environment)

Production	Semantic Rules																
$\langle \text{aexpr} \rangle ::= \langle \text{idf} \rangle$	$\langle \text{aexpr} \rangle.\text{type} := \text{lookup}(\langle \text{idf} \rangle.\text{type_env}, \langle \text{idf} \rangle.\text{name})$ where $\text{lookup}(\text{type_env}, x) = \text{type}$, if $(x, \text{type}) \in \text{type_env}$ binding_error, otherwise																
$\langle \text{axexr} \rangle ::= \langle \text{aexpr} \rangle_1 \langle \text{op} \rangle \langle \text{aexpr} \rangle_2$	$\langle \text{axexr} \rangle := \text{coerce}(\langle \text{aexpr} \rangle_1.\text{type}, \langle \text{aexpr} \rangle_2.\text{type})$ where $\text{coerce}(\text{type1}, \text{type2})$ is defined by																
Boolean expressions and assignment analogously to arithmetic expressions	<table><tr><td></td><td>boolean</td><td>int</td><td>real</td></tr><tr><td>boolean</td><td>type_error</td><td>type_error</td><td>type_error</td></tr><tr><td>int</td><td>type_error</td><td>int</td><td>real</td></tr><tr><td>real</td><td>type_error</td><td>real</td><td>real</td></tr></table>		boolean	int	real	boolean	type_error	type_error	type_error	int	type_error	int	real	real	type_error	real	real
		boolean	int	real													
	boolean	type_error	type_error	type_error													
	int	type_error	int	real													
real	type_error	real	real														

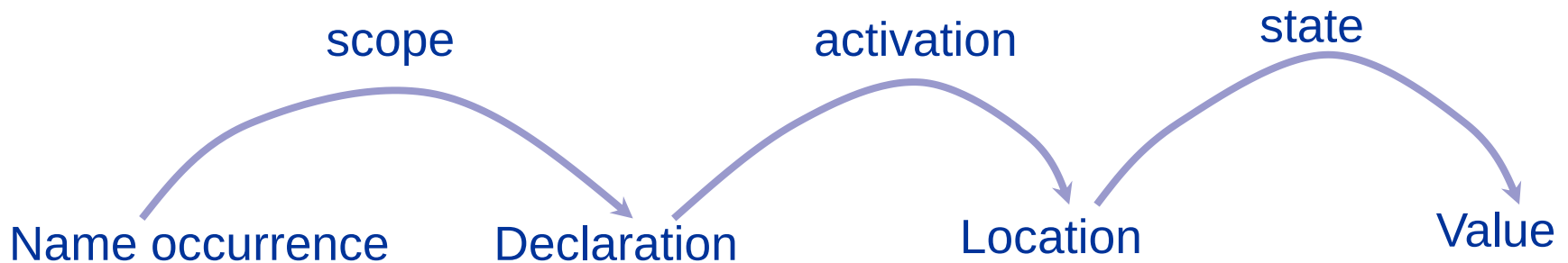


Scoping Concepts

- No scopes, e.g. early Basic, early Perl
- Dynamic scoping, e.g. some Lisp and Perl dialects
- Static scoping, e.g. most programming languages in use

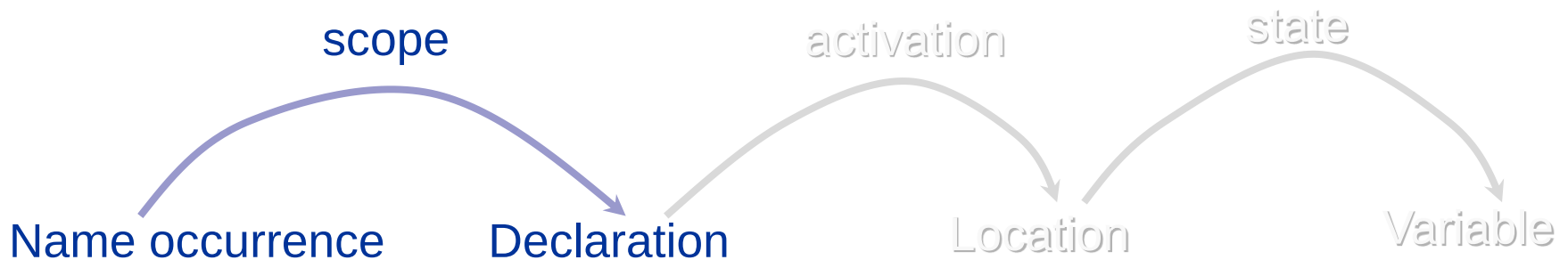
Scope rules for names

Naming model in a procedural language



Scope rules for names

Naming model in a procedural language



- ① Static scope rules: binding of names is identified at compile time by syntactical means
- ② Dynamic scope rules: binding of names is identified at run-time. Associated with naive copying, macro-expansion

Scope rules for names

```
program L;  
  var n: char;           { n declared in L }  
  procedure W  
  begin  
    writeln(n)           { occurrence of n in W }  
  end;  
  procedure D;  
  var n: char;           { local declaration of n }  
  begin  
    n := 'D';  
    W                     { W called within D }  
  end;  
  begin  
    n := 'L';  
    W;                   { W called from main }  
    D                     { D called from main }  
  end.
```

The diagram illustrates static scoping with red dotted arrows. One arrow starts at the 'var n: char;' declaration in the main program L and points to the 'writeln(n)' call inside procedure W. Another arrow starts at the 'var n: char;' declaration inside procedure D and points to the 'n := 'D';' assignment within the same procedure. A third arrow starts at the 'var n: char;' declaration in the main program L and points to the 'n := 'L';' assignment in the main program's final 'begin' block.

Static scoping

Output: L L

Scope rules for names

```
program L;  
  var n: char;      { n declared in L }  
  procedure W  
  begin  
    writeln(n)      { occurrence of n in W }  
  end;  
  procedure D;  
    var n: char;    { local declaration of n }  
  begin  
    n := 'D';  
    W               { W called within D }  
  end;  
begin  
  n := 'L';  
  writeln(n);       { W called from main }  
  var n: char; n:= 'D'; writeln(n) { D called from main }  
end.
```

Dynamic scoping

L after naive copy
process

Output: L D

Scope rules for names

```
program L;  
  var n: char;      { n declared in L }  
  procedure W  
  begin  
    writeln(n)      { occurrence of n in W }  
  end;  
  procedure D;  
    var n: char;    { local declaration of n }  
  begin  
    n := 'D';  
    W               { W called within D }  
  end;  
begin  
  n := 'L';  
  writeln(n);       { W called from main }  
  var nD: char; nD := 'D'; writeln(n) { D called from main }  
end.
```

Dynamic scoping
+ renaming

L after copy
process

Output: L L

Behaves like
static scoping

Practice: Symbol Table

- Maps identifiers to their “meaning”
(i.e., definition site + additional information)

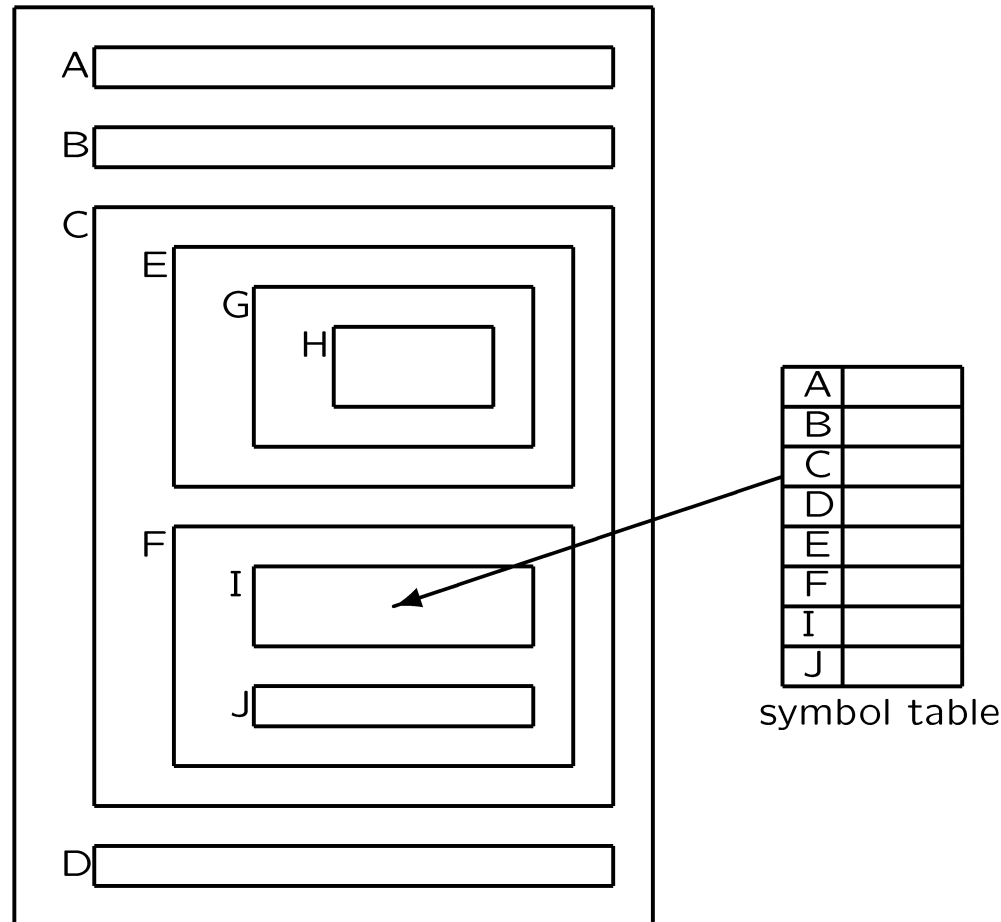
i	local	int
done	local	boolean
insert	method	...
x	formal	List
List	class	...
⋮	⋮	⋮



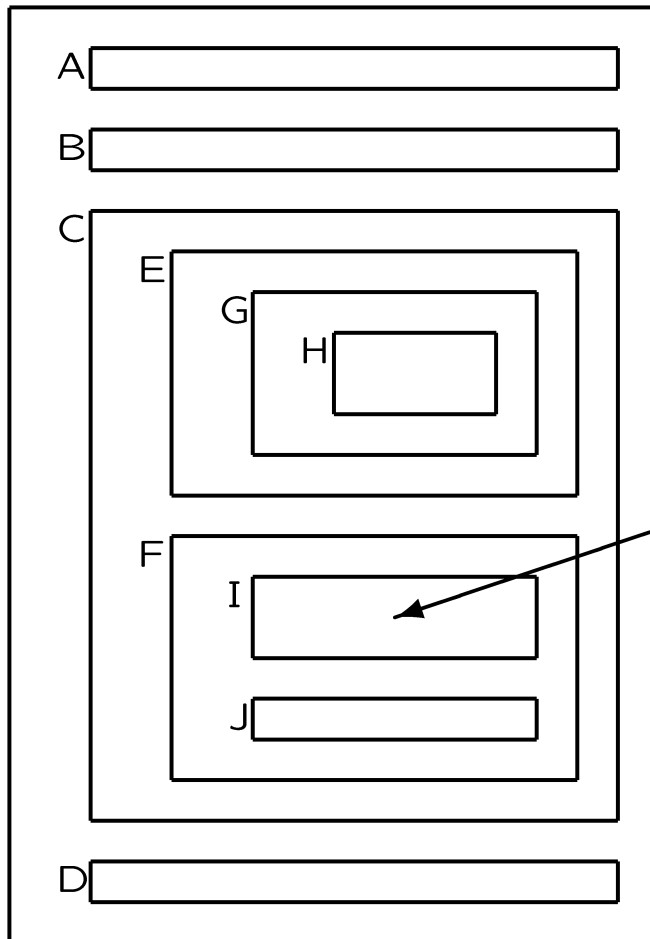
Java Symbol Table Uses

- which classes are defined;
- which fields are defined;
- which methods are defined;
- what are the signatures of methods;
- are identifiers defined twice;
- are identifiers defined when used; and
- are identifiers used properly?

Static Nested Scope Rules



Nesting vs. Ordering

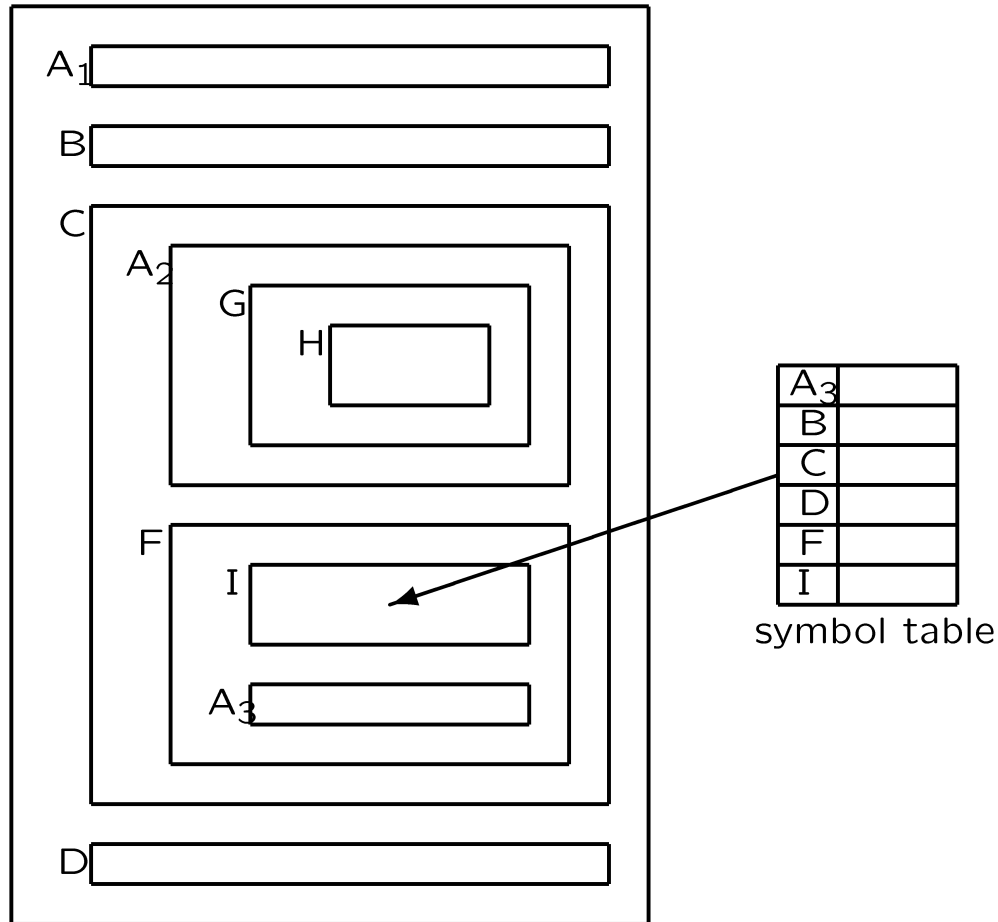


A	
B	
C	
D	
E	
F	
I	
J	

symbol table

Multiple passes are required to eliminate the need for *forward declarations*

Most Closely Nested Definition

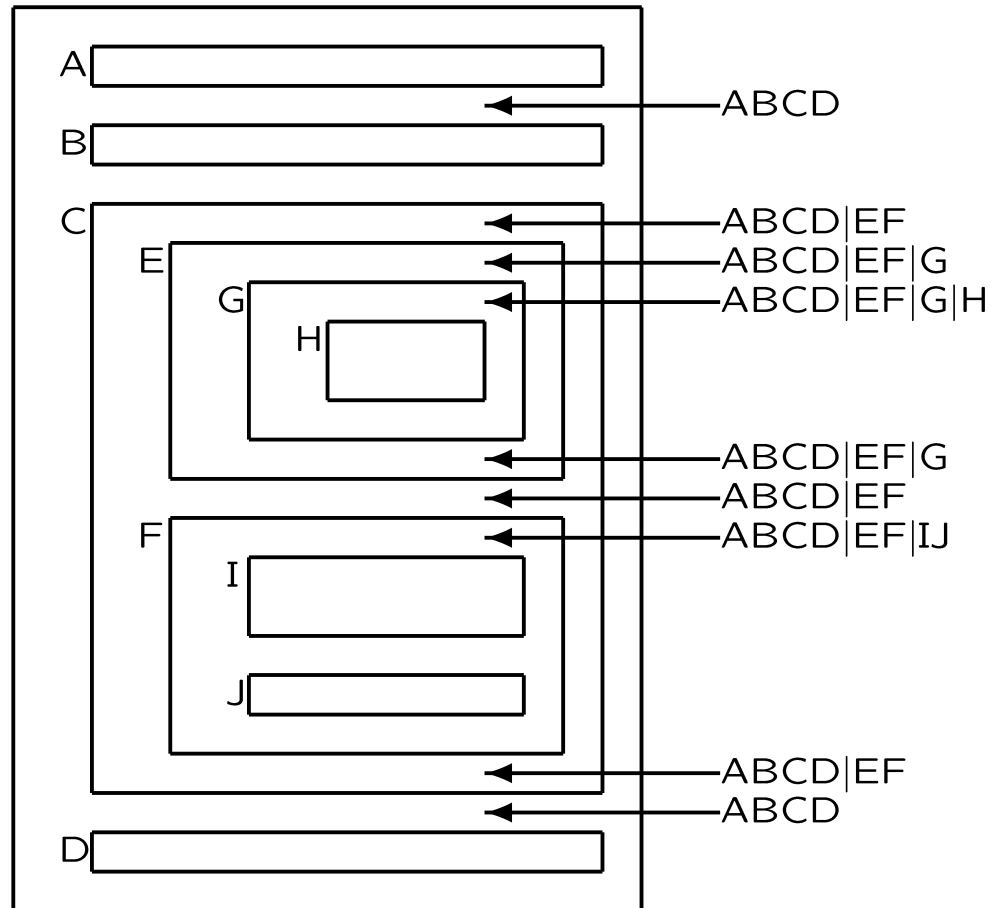


A_2 shadows A_1

A_3 shadows A_2, A_1

Identifiers at same level must be unique

Symbol Table acts like a Stack



Implementation

Symbol table is *stack of hash tables*

- each hash table contains the identifiers in a level;
- push a new hash table when a level is entered;
- each identifier is entered in the top hash table;
- it is an error if it is already there;
- a use of an identifier is looked up in the hash tables from top to bottom;
- it is an error if it is not found;
- pop a hash table when a level is left.

Challenges

- Liberal rules on variable naming and variable declarations
 - variables be declared anywhere in method bodies
 - parameter and local variable names are allowed to shadow field names
- Other
 - method overloading
 - access modifiers
 - import/export of entities
- partially solved in other phases