The Word Problem for Finitary Automaton Groups

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■ Consider a group *G*

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Fact (Anisimov 1971)

G is finite
$$\iff$$
 WP_Q(G) is regular

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a word ${m q} \in ({m Q}^{\pm 1})^*$

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- Possible descriptions: Cayley tables, Cayley graphs, matrices, permutations, ...

Fact

The word problem for groups given as Cayley tables

Input: a Cayley table $G \times G \rightarrow G$, $(g, h) \mapsto gh$ of a finite group G and

group elements $g_1, \ldots, g_n \in G$

Question: is $g_1 \cdot \ldots \cdot g_n = 1$?

is in LogSpace.

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Theorem (Lipton, Zalcstein 1977/Simon 1979)

The word problem of a finitely generated linear group

Constant: $G \leq GL(d, \mathbb{F})$

Input: $matrices M_1, \ldots, M_n \in G$

Question: is $M_1 \cdot \ldots \cdot M_n$ the identity matrix?

is in LOGSPACE.

Theorem (Cook, McKenzie 1987)

The problem

Input:

permutations π_1, \ldots, π_ℓ in cycle notation

Output: the product $\pi_1 \dots \pi_\ell$ in cycle notation

is complete for functional LOGSPACE.

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The word problem WP(A_5) of the group of even permutations over $\{a_1, \ldots, a_5\}$ is NC¹-complete.

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In fact: this holds for any non-solvable finite group!

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This yields: The uniform word problem for any group presentation (allowing A_5) is NC^1 -hard!

Presenting Groups Using Automata

Automata

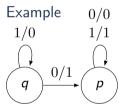
- In this setting, a *G*-automaton is a
 - finite-state.
 - letter-to-letter

transducer

without final or initial states

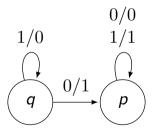
which is

- complete.
- deterministic and
- invertible.



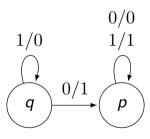
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Example



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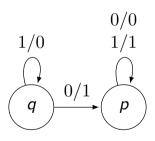
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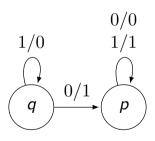
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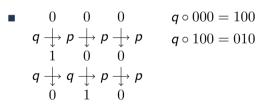
- p induces the identity function
- $q \circ 000 = 100$

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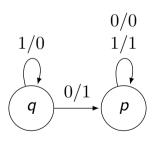


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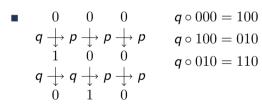


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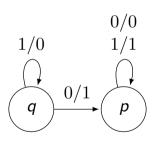


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- $\begin{array}{c|cccc} \bullet & 0 & 0 & & & & q \circ 000 = 100 \\ \hline q & \downarrow & p & \downarrow & p & \downarrow & p \\ 1 & 0 & 0 & & & q \circ 100 = 010 \\ q & \downarrow & q & \downarrow & p & \downarrow & p \\ 0 & 1 & 0 & & & & \end{array}$

 \rightarrow q increments (reverse) binary representation (least significant bit first)

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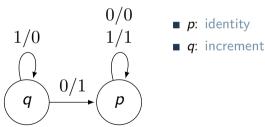
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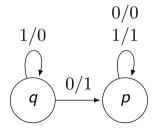
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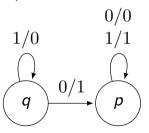
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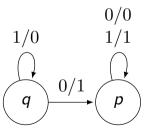
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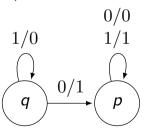
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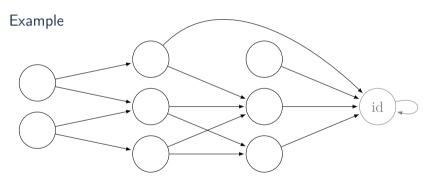
$$\mathscr{G}(\mathcal{T}) = \textit{F}(\textit{q}) \simeq \mathbb{Z}$$

A finitary automaton has no cycles except for self-loops at the identity state

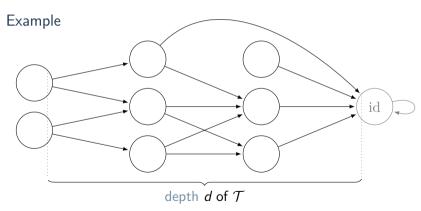
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→ it is a labeled directed acyclic graph

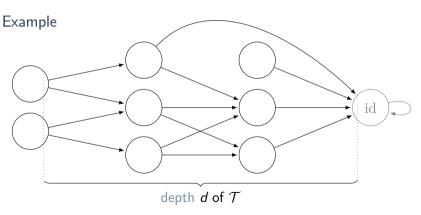
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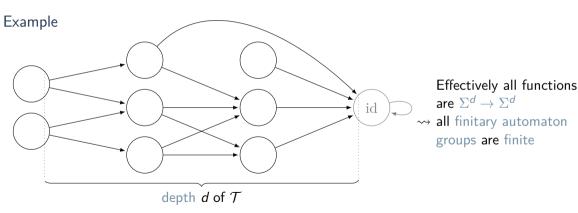
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Effectively all functions are $\Sigma^d \to \Sigma^d$

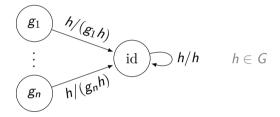
Finitary Automaton Groups as Finite Groups

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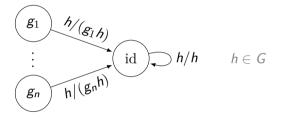
Finite Groups as Finitary Automaton Groups

An arbitrary finite group $\textit{G} = \{\mathrm{id}, \textit{g}_1, \ldots, \textit{g}_n\}$ is generated by the finitary \mathscr{G} -automaton



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Fact

G is finite \iff G is a finitary automaton group

Because: the presentation using automata is powerful

■ General case: Many groups with interesting properties are automaton groups

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 For Example: Grigorchuk's group

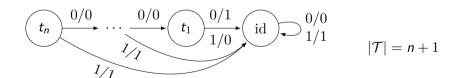
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Automorphism group of the regular binary tree of depth n $|\mathcal{T}| = n + 1$ $\mathcal{G}(\mathcal{T}) = \operatorname{Aut} B_n$

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Automorphism group of the regular binary tree of depth n tree $|\mathcal{T}| = n + 1$ $\mathcal{G}(\mathcal{T}) = \operatorname{Aut} B_n$ $\Rightarrow |\mathcal{G}(\mathcal{T})| = 2^{2^n - 1}$

Theorem (Kotowsky, W.)

The uniform word problem for finitary automaton groups

a finitary \mathscr{G} -automaton $\mathcal{T} = (Q, \Sigma, \delta)$ Input:

 $\mathbf{a} \in (Q^{\pm 1})^*$

Question: is $\mathbf{q} \circ u = u$ for all $u \in \Sigma^*$ (i. e. $\mathbf{q} = \mathbb{1}$ in $\mathscr{G}(\mathcal{T})$)?

is CONP-complete.

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Proof (complement is in NP).

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$$oldsymbol{q} \stackrel{u}{\underset{V}{\longrightarrow}} \mathrm{id}^{|oldsymbol{q}|} \quad ext{for all } u \in \Sigma^{\geq d}.$$

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eq 1 \text{ in } \mathscr{G}(\mathcal{T}) \implies \exists u \in \Sigma^d : \boldsymbol{q} \circ u \neq u$$

$$q \xrightarrow{u} \operatorname{id}^{|q|} \quad \text{for all } u \in \Sigma^{\geq d}.$$

Theorem (Kotowsky, W.)

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Input: a finitary
$$\mathscr{G}$$
-automaton $\mathcal{T}=(Q,\Sigma,\delta)$... it is PSpace—complete for $q\in (Q^{\pm 1})^*$ general automaton groups is $q\circ u=u$ for all $u\in \Sigma^*$ (i. e. $q=1$ in $\mathscr{G}(\mathcal{T})$)? W., Weiß (2020)

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Proof (complement is in NP).

$$q \xrightarrow{u} \operatorname{id}^{|q|} \quad \text{for all } u \in \Sigma^{\geq d}.$$

- $\mathbf{q} \neq \mathbb{1} \text{ in } \mathscr{G}(\mathcal{T}) \implies \exists u \in \Sigma^d : \mathbf{q} \circ u \neq u$
- Algorithm: "quess & check"

Theorem (Kotowsky, W.)

The uniform word problem for finitary automaton groups

...it is PSpace—complete for general automaton groups a finitary \mathscr{G} -automaton $\mathcal{T} = (Q, \Sigma, \delta)$ Input: $\mathbf{a} \in (Q^{\pm 1})^*$ is $\mathbf{q} \circ u = u$ for all $u \in \Sigma^*$ (i. e. $\mathbf{q} = \mathbb{1}$ in $\mathscr{G}(\mathcal{T})$)? Question: W., Weiß (2020)

is coNP-complete.

Proof (complement is in NP).

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 A_5 : Group of even permutations over $\{a_1, \ldots, a_5\}$

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Fact
$$\sigma^{\alpha} = \alpha^{-1}\sigma\alpha$$
 There are $\sigma, \alpha, \beta \in A_5$ with $\sigma \neq \operatorname{id}$ and $\sigma = [\sigma^{\beta}, \sigma^{\alpha}]$.
$$[h, g] = h^{-1}g^{-1}hg$$

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Definition (Balanced Commutator)

$$B[\mathbf{q}_1] = \mathbf{q}_1$$

$$B[\boldsymbol{q}_t,\ldots,\boldsymbol{q}_1] = \left[B[\boldsymbol{q}_t,\ldots,\boldsymbol{q}_{\lfloor \frac{t}{2}\rfloor+1}]^{\beta},\ B[\boldsymbol{q}_{\lfloor \frac{t}{2}\rfloor},\ldots,\boldsymbol{q}_1]^{\alpha}\right]$$

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$$egin{aligned} B[oldsymbol{q}_1] &= oldsymbol{q}_1 \ B[oldsymbol{q}_t, \dots, oldsymbol{q}_1] &= \left[B[oldsymbol{q}_t, \dots, oldsymbol{q}_{\lfloor rac{t}{2}
floor}]^{eta}, \ B[oldsymbol{q}_{\lfloor rac{t}{2}
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ight] \end{aligned}$$

It's a logical conjunction!

Proposition '

$$g_1, \dots, g_t \in \{\sigma, \mathrm{id}\}$$
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Proposition

 $B[\boldsymbol{q}_t,\ldots,\boldsymbol{q}_1]$ can be computed in LOGSPACE.

■ We reduce 3SAT

Input: boolean formula $\varphi = \bigwedge_{k=1}^K C_k$ with

 $C_k = (\neg) X_{n_{k,3}} \lor (\neg) X_{n_{k,2}} \lor (\neg) X_{n_{k,1}}$ over variables $\mathbb{X} = \{X_1, \dots, X_N\}$

Question: $\exists A : \mathbb{X} \to \mathbb{B} : A \models \varphi$?

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to the complement of the word problem.

• We need a map $\varphi \mapsto (\mathcal{T}, \mathbf{q})$ in logarithmic space s.t. φ is satisfiable $\iff \mathbf{q} \neq \mathbb{1}$ in $\mathscr{G}(\mathcal{T})$

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- alphabet: $\Sigma = \{a_1, \dots, a_5\} \ni \bot, \top$ $\langle \mathcal{A} \rangle \in \{\bot, \top\}^N$: encoding of \mathcal{A}
- technical states:

$$\overbrace{\alpha_N} \xrightarrow{a/a} \overbrace{\alpha_{N-1}} \xrightarrow{a/a} \cdots \xrightarrow{a/a} \overbrace{\alpha_0} \xrightarrow{a/\alpha(a)} \underbrace{\text{id}} \xrightarrow{a/a} \text{ for all } a \in \Sigma$$

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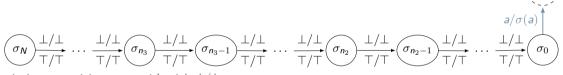
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 \blacksquare same for β

■ Important part:

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Important part: Example: $C_k = X_{n_2} \vee \neg X_{n_2} \vee X_{n_1}$ (w.l.o.g.: $n_3 < n_2 < n_1$)

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missing transition go to id with b/b

■ Invariant for $w \in \Sigma^N$: $c_k = c_{k,N} \xrightarrow{w}_{w} \begin{cases} \sigma_0 & \text{if } w = \langle \mathcal{A} \rangle, \mathcal{A} \models C_k \\ \text{id} & \text{otherwise} \end{cases}$

Invariant for $w \in \Sigma^N$:

$$c_k \stackrel{w}{\underset{w}{\longmapsto}} \begin{cases} \sigma_0 & \text{if } w = \langle \mathcal{A} \rangle, \mathcal{A} \models C_k \\ \mathrm{id} & \text{otherwise} \end{cases}$$

Let $w \in \Sigma^N$.

$$c_1 \stackrel{w}{\longrightarrow} \sigma_0$$
 or id
 $c_k \stackrel{w}{\longrightarrow} \sigma_0$ or id

Invariant for $w \in \Sigma^N$:

$$c_k \stackrel{W}{\underset{w}{\longleftrightarrow}} \begin{cases} \sigma_0 & \text{if } w = \langle \mathcal{A} \rangle, \mathcal{A} \models C_k \\ \text{id} & \text{otherwise} \end{cases}$$

Goal:

$$\varphi$$
 is satisfiable $\iff {\pmb q} \neq \mathbb{1}$ in $\mathscr{G}(\mathcal{T})$

Let $w \in \Sigma^N$.

$$c_{1} \xrightarrow{W} \sigma_{0} \text{ or id}$$

$$W$$

$$\vdots \quad \vdots \qquad \vdots$$

$$W$$

$$c_{k} \xrightarrow{W} \sigma_{0} \text{ or id}$$

$$W$$

$$\vdots \quad \vdots \qquad \vdots$$

$$W$$

$$c_{K} \xrightarrow{W} \sigma_{0} \text{ or id}$$

$$W$$

Invariant for $w \in \Sigma^N$:

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Set
$$q = B_N[c_K, \ldots, c_1]$$

Convention: B_n uses α_n and β_n instead of α and β

Let $w \in \Sigma^N$.

$$c_1 \xrightarrow{W} \sigma_0 \text{ or id}$$

$$W : \vdots : \vdots : W$$

$$c_k \xrightarrow{W} \sigma_0 \text{ or id}$$

$$W : \vdots : \vdots : W$$

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W

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 or identify $c_k \xrightarrow{W} \sigma_0$ or identify $c_K \xrightarrow{W} \sigma_0$

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$$c_1 \xrightarrow{W} \sigma_0$$
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Goal:

$$\varphi$$
 is satisfiable $\iff {\pmb q} \neq \mathbb{1}$ in $\mathscr{G}(\mathcal{T})$

Set
$$q = B_N[c_K, \ldots, c_1] \neq 1$$
 in $\mathscr{G}(\mathcal{T})$
Convention: B_n uses α_n and β_n
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Let
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Invariant for $w \in \Sigma^N$:

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Let
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 $c_k \xrightarrow{w} \sigma_0 \text{ or id}$
 $c_k \xrightarrow{w} c_0 \text{ or id}$

$$\begin{array}{ccc}
 & w \\
 & c_K & \longrightarrow \sigma_0 \text{ or i} \\
 & w & & & & & & \\
 & & & & & & & & \\
\end{array}$$

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w \\
\hline
c_1 & \longrightarrow \sigma_0 \text{ or id} \\
w \\
\vdots & \vdots & \vdots \\
w \\
c_k & \longrightarrow \sigma_0 \text{ or id} \\
w \\
\vdots & \vdots & \vdots \\
w \\
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w \\
\hline
c_1 & \longrightarrow \sigma_0 \text{ or id} \\
w \\
\vdots & \vdots & \vdots \\
w \\
c_k & \longrightarrow \sigma_0 \text{ or id} \\
w \\
\vdots & \vdots & \vdots \\
w \\
c_K & \longrightarrow \sigma_0 \text{ or id} \\
w \\
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\end{array}$$

$$\begin{array}{c}
u \\
u \\
u \\
\vdots \\
w \\
c_K & \longrightarrow \sigma_0 \text{ or id} \\
\end{array}$$

The uniform compressed word problem for finitary automaton groups

Input: a finitary \mathscr{G} -automaton $\mathcal{T} = (Q, \Sigma, \delta)$

a straight-line program encoding $\mathbf{q} \in (Q^{\pm 1})^*$

Question: is q = 1 in $\mathcal{G}(\mathcal{T})$?

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Question: is q = 1 in $\mathcal{G}(T)$? a context-free grammar generating a single word

Theorem (Kotowsky, W.)

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a context-free grammar generating a single word

...it is ExpSpace-complete for general automaton groups W., Weiß (2020)

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a context-free grammar generating a single word is PSPACE-complete.

We prove this using a similar reduction form QBF.

Theorem (Kotowsky, W.)

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The uniform compressed word problem for finitary automaton groups

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             is q = 1 in \mathcal{G}(\mathcal{T})? a context-free grammar
                                                                                             W., Weiß (2020)
                                     generating a single word
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- We prove this using a similar reduction form QBF.
- However: One may also finitely approximate various other groups with PSPACE-complete compressed word problem Bartholdi, Figelius, Lohrev, Weiß (2020)

Thank you!