

On the Influence of the Various Parameters of the Restarting Automaton on its Expressive Capacity and Descriptonal Complexity

Friedrich Otto

Fachbereich Elektrotechnik/Informatik
Universität Kassel
34109 Kassel, Germany
f.otto@uni-kassel.de

DCFS 2023

Potsdam, Germany

July 4–6, 2023

Outline:

- 1 Introduction
- 2 The Restarting Automaton and Its Parameters
- 3 Some Important Results on Formal Languages
 - Parameter 1: The Type of Operations
 - Parameter 2: The Size of the Read/Write Window
 - Parameter 3: The Number of States
- 4 Conclusion
- 5 Bibliography

Otto, F.: RESTARTING AUTOMATA - Automata Inspired by the Linguistic Technique of Analysis by Reduction. Monograph, in preparation.

1. Introduction

In 1995, Petr Jančar, František Mráz, Martin Plátek, and Jörg Vogel presented the **restarting automaton** at the FCT in Dresden [1].

The **restarting automaton** is not simply another variant of the Turing machine, but it is a new type of automaton that is inspired by the linguistic technique of **analysis by reduction** (see, e.g., [2]).

An Example of Analysis by Reduction

We are given a sentence in a natural language, e.g.:

They mean that the means she means are very mean.

This sentence is now simplified step by step until a simple sentence is obtained:

1. Introduction

In 1995, Petr Jančar, František Mráz, Martin Plátek, and Jörg Vogel presented the **restarting automaton** at the FCT in Dresden [1].

The **restarting automaton** is not simply another variant of the Turing machine, but it is a new type of automaton that is inspired by the linguistic technique of **analysis by reduction** (see, e.g., [2]).

An Example of Analysis by Reduction

We are given a sentence in a natural language, e.g.:

They mean that the means she means are very mean.

This sentence is now simplified step by step until a simple sentence is obtained:

1. Introduction

In 1995, Petr Jančar, František Mráz, Martin Plátek, and Jörg Vogel presented the [restarting automaton](#) at the FCT in Dresden [1].

The [restarting automaton](#) is not simply another variant of the Turing machine, but it is a new type of automaton that is inspired by the linguistic technique of [analysis by reduction](#) (see, e.g., [2]).

An Example of Analysis by Reduction

We are given a sentence in a natural language, e.g.:

[They mean that the means she means are very mean.](#)

This sentence is now simplified step by step until a simple sentence is obtained:

1. Introduction

In 1995, Petr Jančar, František Mráz, Martin Plátek, and Jörg Vogel presented the **restarting automaton** at the FCT in Dresden [1].

The **restarting automaton** is not simply another variant of the Turing machine, but it is a new type of automaton that is inspired by the linguistic technique of **analysis by reduction** (see, e.g., [2]).

An Example of Analysis by Reduction

We are given a sentence in a natural language, e.g.:

They mean that the means she means are very mean.

This sentence is now simplified step by step until a simple sentence is obtained:

They mean that the means she means are very mean.

1. Introduction

In 1995, Petr Jančar, František Mráz, Martin Plátek, and Jörg Vogel presented the **restarting automaton** at the FCT in Dresden [1].

The **restarting automaton** is not simply another variant of the Turing machine, but it is a new type of automaton that is inspired by the linguistic technique of **analysis by reduction** (see, e.g., [2]).

An Example of Analysis by Reduction

We are given a sentence in a natural language, e.g.:

They mean that the means she means are very mean.

This sentence is now simplified step by step until a simple sentence is obtained:

They mean that the means she means are **very** mean.

1. Introduction

In 1995, Petr Jančar, František Mráz, Martin Plátek, and Jörg Vogel presented the **restarting automaton** at the FCT in Dresden [1].

The **restarting automaton** is not simply another variant of the Turing machine, but it is a new type of automaton that is inspired by the linguistic technique of **analysis by reduction** (see, e.g., [2]).

An Example of Analysis by Reduction

We are given a sentence in a natural language, e.g.:

They mean that the means she means are very mean.

This sentence is now simplified step by step until a simple sentence is obtained:

They mean that the means she means are mean.

1. Introduction

In 1995, Petr Jančar, František Mráz, Martin Plátek, and Jörg Vogel presented the **restarting automaton** at the FCT in Dresden [1].

The **restarting automaton** is not simply another variant of the Turing machine, but it is a new type of automaton that is inspired by the linguistic technique of **analysis by reduction** (see, e.g., [2]).

An Example of Analysis by Reduction

We are given a sentence in a natural language, e.g.:

They mean that the means she means are very mean.

This sentence is now simplified step by step until a simple sentence is obtained:

They mean **that** the means she means are mean.

1. Introduction

In 1995, Petr Jančar, František Mráz, Martin Plátek, and Jörg Vogel presented the **restarting automaton** at the FCT in Dresden [1].

The **restarting automaton** is not simply another variant of the Turing machine, but it is a new type of automaton that is inspired by the linguistic technique of **analysis by reduction** (see, e.g., [2]).

An Example of Analysis by Reduction

We are given a sentence in a natural language, e.g.:

They mean that the means she means are very mean.

This sentence is now simplified step by step until a simple sentence is obtained:

They mean the means she means are mean.

1. Introduction

In 1995, Petr Jančar, František Mráz, Martin Plátek, and Jörg Vogel presented the **restarting automaton** at the FCT in Dresden [1].

The **restarting automaton** is not simply another variant of the Turing machine, but it is a new type of automaton that is inspired by the linguistic technique of **analysis by reduction** (see, e.g., [2]).

An Example of Analysis by Reduction

We are given a sentence in a natural language, e.g.:

They mean that the means she means are very mean.

This sentence is now simplified step by step until a simple sentence is obtained:

They mean the means **she means** are mean.

1. Introduction

In 1995, Petr Jančar, František Mráz, Martin Plátek, and Jörg Vogel presented the **restarting automaton** at the FCT in Dresden [1].

The **restarting automaton** is not simply another variant of the Turing machine, but it is a new type of automaton that is inspired by the linguistic technique of **analysis by reduction** (see, e.g., [2]).

An Example of Analysis by Reduction

We are given a sentence in a natural language, e.g.:

They mean that the means she means are very mean.

This sentence is now simplified step by step until a simple sentence is obtained:

They mean the means are mean.

1. Introduction

In 1995, Petr Jančar, František Mráz, Martin Plátek, and Jörg Vogel presented the **restarting automaton** at the FCT in Dresden [1].

The **restarting automaton** is not simply another variant of the Turing machine, but it is a new type of automaton that is inspired by the linguistic technique of **analysis by reduction** (see, e.g., [2]).

An Example of Analysis by Reduction

We are given a sentence in a natural language, e.g.:

They mean that the means she means are very mean.

This sentence is now simplified step by step until a simple sentence is obtained:

They mean the means are mean.

1. Introduction

In 1995, Petr Jančar, František Mráz, Martin Plátek, and Jörg Vogel presented the **restarting automaton** at the FCT in Dresden [1].

The **restarting automaton** is not simply another variant of the Turing machine, but it is a new type of automaton that is inspired by the linguistic technique of **analysis by reduction** (see, e.g., [2]).

An Example of Analysis by Reduction

We are given a sentence in a natural language, e.g.:

They mean that the means she means are very mean.

This sentence is now simplified step by step until a simple sentence is obtained:

The means are mean.

Example (cont.)

To process a sentence in this way, one needs to understand its meaning. To automate this process, additional information is needed, which can be provided in the form of **tags**:

Word	Tags
They	<Pronoun> <Plural>
mean	<Verb> <Present Tense> <Plural>
that	<Conjunction>
the	<Article>
means	<Noun> <Plural>
she	<Pronoun> <Singular>
means	<Verb> <Present Tense> <Singular>
are	<Verb> <Present Tense> <Plural>
very	<Adverb>
mean	<Adjective>
.	<End of Sentence>

Each word is processed together with its tags.

Example (cont.)

To process a sentence in this way, one needs to understand its meaning. To automate this process, additional information is needed, which can be provided in the form of **tags**:

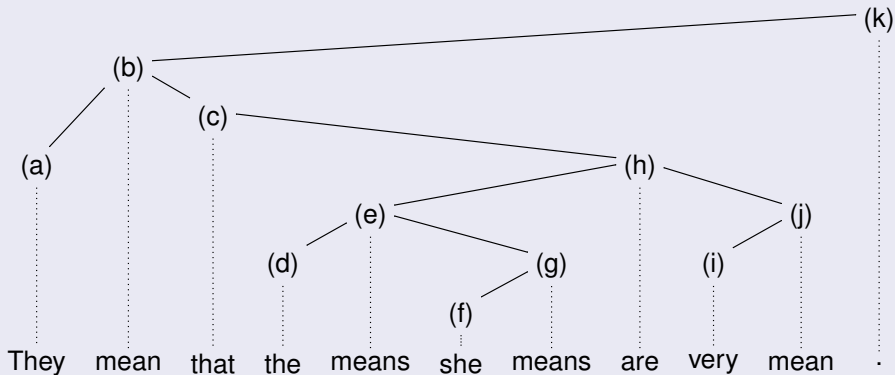
Word	Tags
They	<Pronoun> <Plural>
mean	<Verb> <Present Tense> <Plural>
that	<Conjunction>
the	<Article>
means	<Noun> <Plural>
she	<Pronoun> <Singular>
means	<Verb> <Present Tense> <Singular>
are	<Verb> <Present Tense> <Plural>
very	<Adverb>
mean	<Adjective>
.	<End of Sentence>

Each word is processed together with its tags.

Example (cont.)

In this way **dependencies** and **independencies** between words of a sentence can be determined.

This may result in the construction of a **dependency tree**:



Operations Required for Analysis by Reduction:

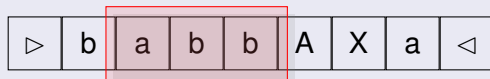
- **Scan** the current sentence (from left to right).
- **Rewrite** the current sentence by performing a **local transformation**.
- **Restart** in order to process the new sentence.
- **Accept** (if a correct simple sentence has been obtained).
- **Reject** (if an error has been detected).

2. The Restarting Automaton and Its Parameters

The Ingredients:

- The input sentence, which is a sequence of morphems, will be represented by a **word**, i.e., we need a (finite) **input alphabet**.
- To encode additional information (tags), we need **auxiliary letters**, i.e., we need a (finite) **working alphabet**.
- To mark the beginning and end of the current sentence, we need special symbols (**sentinels**).
- As the sentence analyzed will be transformed, the current word will be stored in a **list of cells** or a **flexible tape**.
- To scan the current word, we need a **read/write window**.
- To process information we need a **finite-state control**.
- To execute the analysis, we need a **program (transition function)** that determines the sequence of elementary operations.

The Hardware:



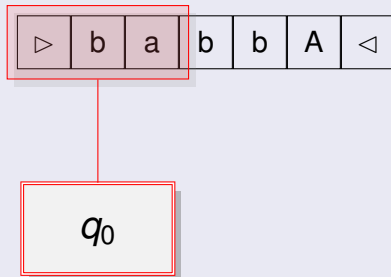
flexible tape
with sentinels

read/write window

finite-state control

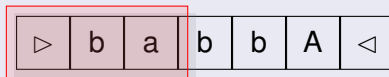
The Operations:

Move-Right Step (MVR):



The Operations:

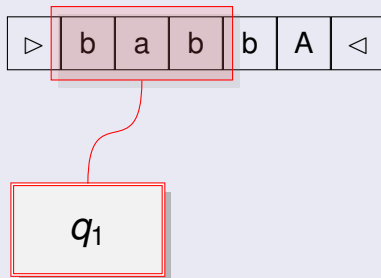
Move-Right Step (MVR):



$$\begin{array}{c}
 q_0 \\
 (q_1, \text{MVR}) \in \delta(q_0, \triangleright ba)
 \end{array}$$

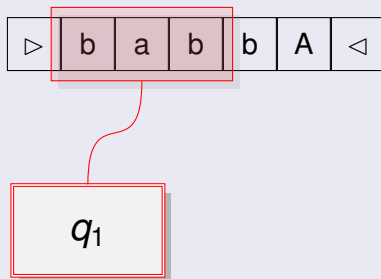
The Operations:

Move-Right Step (MVR):



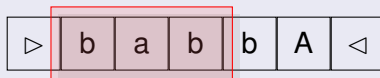
The Operations (cont.):

Move-Left Step (MVL):



The Operations (cont.):

Move-Left Step (MVL):

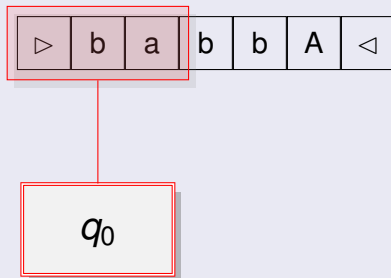


$$q_1$$

$$(q_0, \text{MVL}) \in \delta(q_1, \text{bab})$$

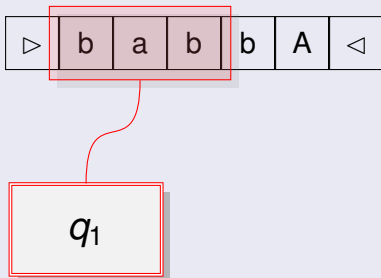
The Operations (cont.):

Move-Left Step (MVL):



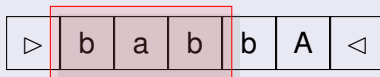
The Operations (cont.):

Rewrite Step:



The Operations (cont.):

Rewrite Step:

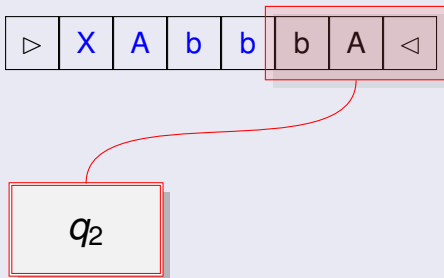


$$q_1$$

$$(q_2, XAbb) \in \delta(q_1, bab)$$

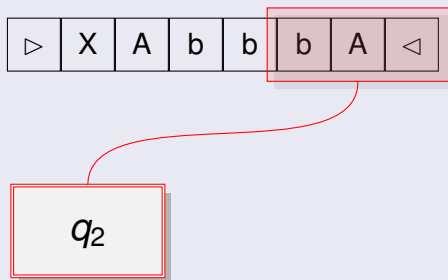
The Operations (cont.):

Rewrite Step:



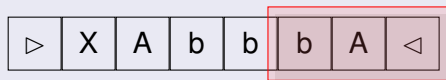
The Operations (cont.):

Restart Step:



The Operations (cont.):

Restart Step:

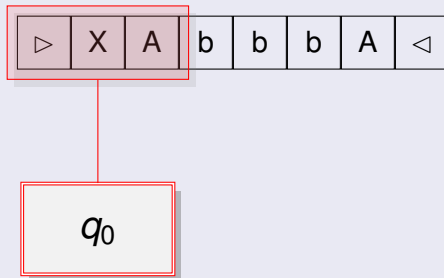


$$q_2$$

$$\text{Restart} \in \delta(q_2, ba\triangleleft)$$

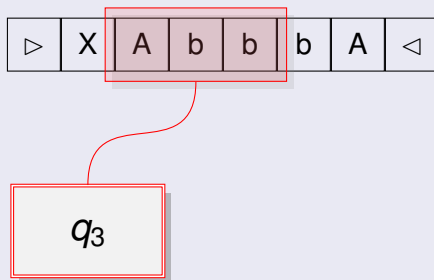
The Operations (cont.):

Restart Step:



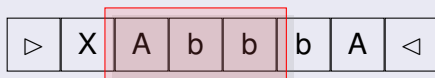
The Operations (cont.):

Accept Step:



The Operations (cont.):

Accept Step:

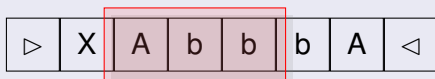


$$q_3$$

$$\text{Accept} \in \delta(q_3, Abb)$$

The Operations (cont.):

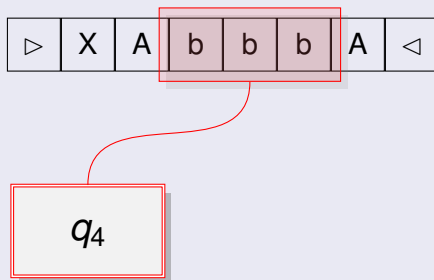
Accept Step:



Accept

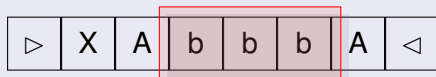
The Operations (cont.):

Reject Step:



The Operations (cont.):

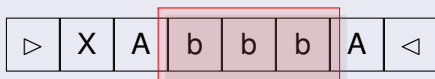
Reject Step:



q_4
Reject $\in \delta(q_4, bbb)$

The Operations (cont.):

Reject Step:



Reject

Definition 1

A *restarting automaton* is defined by an 8-tuple

$$M = (Q, \Sigma, \Gamma, \triangleright, \triangleleft, q_0, k, \delta),$$

where

- Q is a finite set of states,
- Σ is a finite input alphabet,
- Γ is a finite working alphabet such that $\Sigma \subseteq \Gamma$,
- $\triangleright, \triangleleft \notin \Gamma$ are the markers for the end of the tape (*sentinels*),
- $q_0 \in Q$ is the *restart state*, which also serves as *initial state*,
- $k \geq 1$ is the size of the read/write window, and
- $\delta : Q \times (\Gamma \cup \{\triangleright, \triangleleft\})^k \rightarrow 2((Q \times \{MVR, MVL, \Gamma^*\}) \cup \{\text{Restart}, \text{Accept}, \text{Reject}\})$ is the transition relation.

Example 2

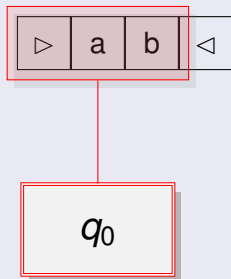
Let $M = (Q, \Sigma, \Gamma, \triangleright, \triangleleft, q_0, 3, \delta)$ be the following restarting automaton:

- $Q = \{q_0\}$, $\Sigma = \{a, b\}$, $\Gamma = \Sigma \cup \{a_1, e, e_1, f, f_1, f_2\}$,
- and δ is defined with combined rewrite/restart steps:

(0)	$\delta(xyz) \ni$	MVR	for all $x \in \Gamma \cup \{\triangleright\}$ and $y, z \in \Gamma$,
(1)	$\delta(\triangleright\triangleleft) \ni$	Accept,	(13) $\delta(\triangleright a_1 e_1) \ni \triangleright e_1$,
(2)	$\delta(ab\triangleleft) \ni$	$ae\triangleleft$,	(14) $\delta(ae_1 e) \ni ae$,
(3)	$\delta(bb\triangleleft) \ni$	$be\triangleleft, bf\triangleleft$,	(15) $\delta(\triangleright e_1 \triangleleft) \ni \triangleright \triangleleft$,
(4)	$\delta(bbe) \ni$	bee ,	(16) $\delta(aaf) \ni aa_1 f$,
(5)	$\delta(abe) \ni$	aee ,	(17) $\delta(\triangleright af) \ni \triangleright a_1 f$,
(6)	$\delta(bbf) \ni$	bff ,	(18) $\delta(a_1 ff) \ni a_1 f_1 f$,
(7)	$\delta(abf) \ni$	aff ,	(19) $\delta(f_1 ff) \ni f_1 f_2 f$,
(8)	$\delta(aae) \ni$	$aa_1 e$,	(20) $\delta(f_1 f \triangleleft) \ni f_1 f_2 \triangleleft$,
(9)	$\delta(\triangleright ae) \ni$	$\triangleright a_1 e$,	(21) $\delta(a_1 f_1 f_2) \ni a_1 f_2$,
(10)	$\delta(a_1 ee) \ni$	$a_1 e_1 e$,	(22) $\delta(aa_1 f_2) \ni af_2$,
(11)	$\delta(a_1 e\triangleleft) \ni$	$a_1 e_1 \triangleleft$,	(23) $\delta(\triangleright a_1 f_2) \ni \triangleright f_2$,
(12)	$\delta(aa_1 e_1) \ni$	ae_1 ,	(24) $\delta(af_2 f) \ni af$,
			(25) $\delta(\triangleright f_2 \triangleleft) \ni \triangleright \triangleleft$.

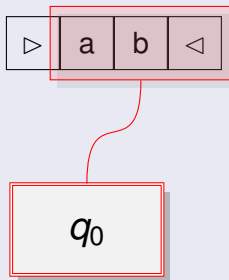
Example 2 (cont.)

This restarting automaton proceeds as follows:



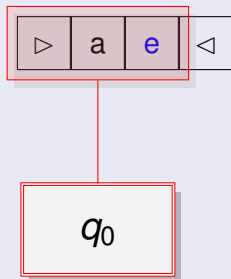
Example 2 (cont.)

This restarting automaton proceeds as follows:



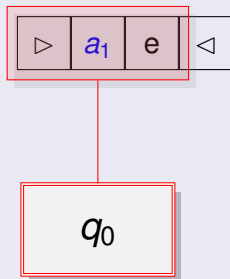
Example 2 (cont.)

This restarting automaton proceeds as follows:



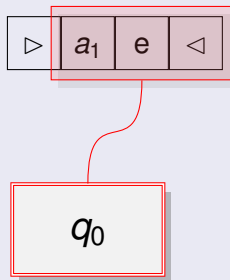
Example 2 (cont.)

This restarting automaton proceeds as follows:



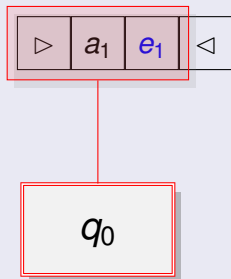
Example 2 (cont.)

This restarting automaton proceeds as follows:



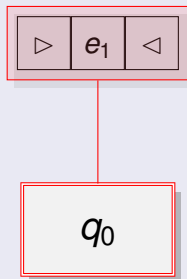
Example 2 (cont.)

This restarting automaton proceeds as follows:



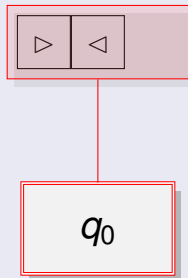
Example 2 (cont.)

This restarting automaton proceeds as follows:



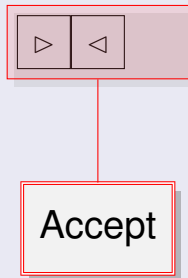
Example 2 (cont.)

This restarting automaton proceeds as follows:



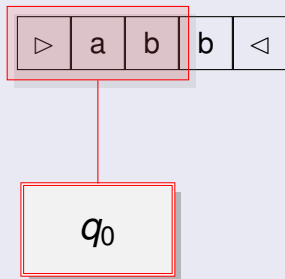
Example 2 (cont.)

This restarting automaton proceeds as follows:



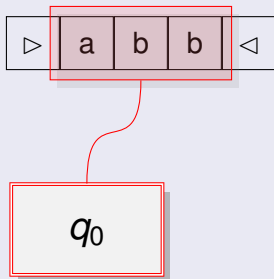
Example 2 (cont.)

This restarting automaton proceeds as follows:



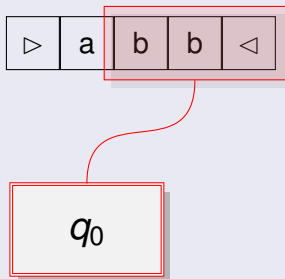
Example 2 (cont.)

This restarting automaton proceeds as follows:



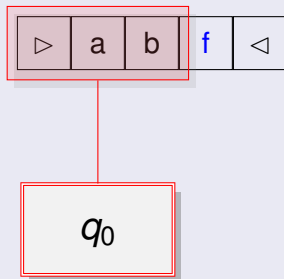
Example 2 (cont.)

This restarting automaton proceeds as follows:



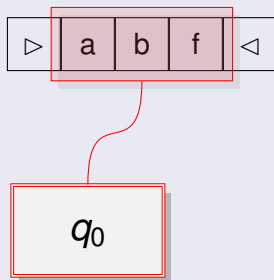
Example 2 (cont.)

This restarting automaton proceeds as follows:



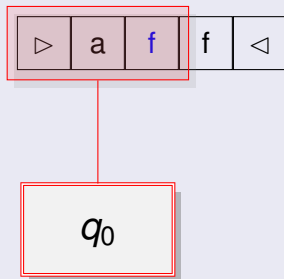
Example 2 (cont.)

This restarting automaton proceeds as follows:



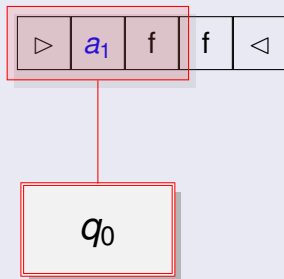
Example 2 (cont.)

This restarting automaton proceeds as follows:



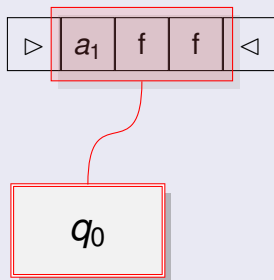
Example 2 (cont.)

This restarting automaton proceeds as follows:



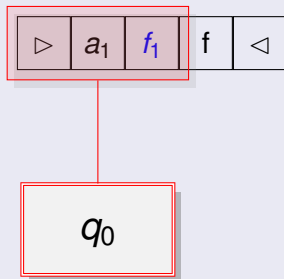
Example 2 (cont.)

This restarting automaton proceeds as follows:



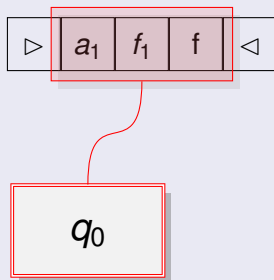
Example 2 (cont.)

This restarting automaton proceeds as follows:



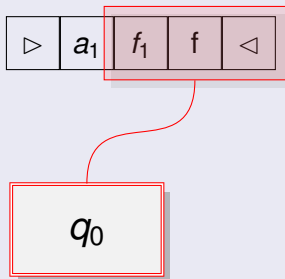
Example 2 (cont.)

This restarting automaton proceeds as follows:



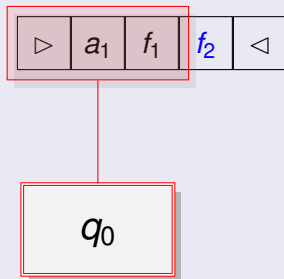
Example 2 (cont.)

This restarting automaton proceeds as follows:



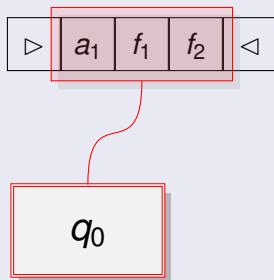
Example 2 (cont.)

This restarting automaton proceeds as follows:



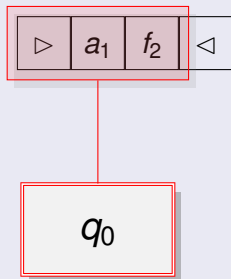
Example 2 (cont.)

This restarting automaton proceeds as follows:



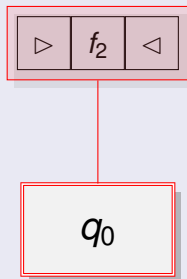
Example 2 (cont.)

This restarting automaton proceeds as follows:



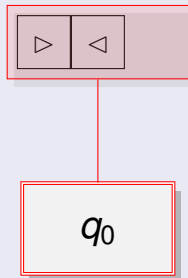
Example 2 (cont.)

This restarting automaton proceeds as follows:



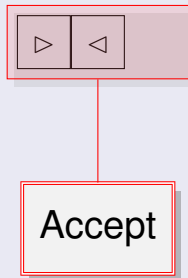
Example 2 (cont.)

This restarting automaton proceeds as follows:



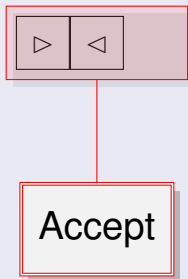
Example 2 (cont.)

This restarting automaton proceeds as follows:



Example 2 (cont.)

This restarting automaton proceeds as follows:



It accepts the language $L = \{ a^n b^n, a^n b^{2n} \mid n \geq 0 \} \in \text{CFL} \setminus \text{DCFL}$.

Definition 1 (cont.)

Let $M = (Q, \Sigma, \Gamma, \triangleright, \triangleleft, q_0, k, \delta)$ be a restarting automaton.

- (a) A **configuration** of M is a word of the form $\triangleright uqvw \triangleleft$, where $q \in Q$ and $u, v, w \in \Gamma^*$ with $|v| = k$.
- (b) A **restart configuration** is of the form $q_0 \triangleright vw \triangleleft$, where $v, w \in \Gamma^*$ with $|v| = k - 1$. If $v, w \in \Sigma^*$, then $q_0 \triangleright vw \triangleleft$ is an **initial configuration**.
- (c) A sequence of steps leading from a restart configuration $q_0 \triangleright vw \triangleleft$ to the next restart configuration $q_0 \triangleright xy \triangleleft$ is called a **cycle**. It is written as $q_0 \triangleright vw \triangleleft \vdash_M^c q_0 \triangleright xy \triangleleft$.
Condition: Each cycle of each computation of M must contain **at least one rewrite step!**
- (d) A sequence of steps leading from a restart configuration to a final configuration (accepting or rejecting) without going through another restart configuration is called a **tail**.

Remark 3

A terminating *computation* of M consists of a finite sequence of cycles that is followed by a tail.

Definition 1 (cont.)

- (e) $L_C(M) = \{ w \in \Gamma^* \mid q_0 \triangleright w \triangleleft \vdash_M^* \text{Accept} \}$
is the **basic** (or **characteristic**) **language** of M .
- (f) $L(M) = \{ w \in \Sigma^* \mid q_0 \triangleright w \triangleleft \vdash_M^* \text{Accept} \}$
is the **input language** of M .

Remark 4

$$L(M) = L_C(M) \cap \Sigma^*.$$

Parameters of the Restarting Automaton

The **type of a restarting automaton** depends on many **parameters**:

- the **number** $|Q| \geq 1$ **of states**
- the **number** $|\Gamma \setminus \Sigma| \geq 0$ **of auxiliary letters**
- the **size** $k \geq 1$ **of the read/write window**
- the **type of move steps** allowed
- the **type of rewrite steps** allowed
- the **number** $j \geq 1$ **of allowed rewrite steps** per cycle
- the **positions** at which rewrite steps are executed

The automaton from Example 2 satisfies $|Q| = 1$, $|\Gamma \setminus \Sigma| = 6$, $k = 3$, only MVR-steps, rewrite steps replace or delete only the symbol in the middle of the window, and only one rewrite step per cycle that is immediately followed by a restart.

Parameters of the Restarting Automaton

The **type of a restarting automaton** depends on many **parameters**:

- the **number** $|Q| \geq 1$ **of states**
- the **number** $|\Gamma \setminus \Sigma| \geq 0$ **of auxiliary letters**
- the **size** $k \geq 1$ **of the read/write window**
- the **type of move steps** allowed
- the **type of rewrite steps** allowed
- the **number** $j \geq 1$ **of allowed rewrite steps** per cycle
- the **positions** at which rewrite steps are executed

The automaton from Example 2 satisfies $|Q| = 1$, $|\Gamma \setminus \Sigma| = 6$, $k = 3$, only MVR-steps, rewrite steps replace or delete only the symbol in the middle of the window, and only one rewrite step per cycle that is immediately followed by a restart.

Remark 5

- (a) Each *recursively enumerable language* is accepted by a deterministic restarting automaton with window size 1 and MVR- and MVL-steps that executes a single rewrite step per cycle which replaces a single letter or inserts a single letter.
- (b) Each *context-sensitive language* is accepted by a (nondeterministic) restarting automaton with window size 1 and MVR- and MVL-steps that executes a single rewrite step per cycle which replaces a single letter.

Proof.

The given type of restarting automaton can simulate a *single-tape Turing machine* (*linear-bounded automaton*), where each step of the Turing machine (LBA) is simulated by several cycles. □

Definition 6

Let $M = (Q, \Sigma, \Gamma, \triangleright, \triangleleft, q_0, k, \delta)$ be a restarting automaton.

- (a) M is called **length-reducing** if $|v| < |u|$ holds for each rewrite step $(q', v) \in \delta(q, u)$ of M .
- (b) M is called **weight-reducing** (or **shrinking**) if there exists a weight function $\omega : \Gamma \rightarrow \mathbb{N}_+$ such that $\omega(v) < \omega(u)$ holds for each rewrite step $(q', v) \in \delta(q, u)$ of M .

Fact 7

If M is a weight-reducing restarting automaton, then each computation that begins with a tape contents of length n consists of at most $c \cdot n$ cycles and a tail, where $c \geq 1$ is a constant that depends on the underlying weight function.

Definition 6

Let $M = (Q, \Sigma, \Gamma, \triangleright, \triangleleft, q_0, k, \delta)$ be a restarting automaton.

- (a) M is called *length-reducing* if $|v| < |u|$ holds for each rewrite step $(q', v) \in \delta(q, u)$ of M .
- (b) M is called *weight-reducing* (or *shrinking*) if there exists a weight function $\omega : \Gamma \rightarrow \mathbb{N}_+$ such that $\omega(v) < \omega(u)$ holds for each rewrite step $(q', v) \in \delta(q, u)$ of M .

Fact 7

If M is a weight-reducing restarting automaton, then each computation that begins with a tape contents of length n consists of at most $c \cdot n$ cycles and a tail, where $c \geq 1$ is a constant that depends on the underlying weight function.

Corollary 8

If M is a weight-reducing restarting automaton, then $L(M) \in \text{NTIMESPACE}(n^2, n)$.

Proof.

A computation of M can be simulated by a nondeterministic 2-tape Turing machine that runs in quadratic time and that needs linear space. □

From now on we only consider restarting automata that are weight-reducing (or even length-reducing)!

The restarting automaton from Example 2 is weight-reducing:
Choose the weight function ω in such a way that

$$\omega(a), \omega(b) > \omega(a_1), \omega(e), \omega(f) > \omega(e_1), \omega(f_1), \omega(f_2)$$

Corollary 8

If M is a weight-reducing restarting automaton, then $L(M) \in \text{NTIMESPACE}(n^2, n)$.

Proof.

A computation of M can be simulated by a nondeterministic 2-tape Turing machine that runs in quadratic time and that needs linear space. □

From now on we only consider restarting automata that are weight-reducing (or even length-reducing)!

The restarting automaton from Example 2 is weight-reducing:
Choose the weight function ω in such a way that

$$\omega(a), \omega(b) > \omega(a_1), \omega(e), \omega(f) > \omega(e_1), \omega(f_1), \omega(f_2)$$

Corollary 8

If M is a weight-reducing restarting automaton, then $L(M) \in \text{NTIMESPACE}(n^2, n)$.

Proof.

A computation of M can be simulated by a nondeterministic 2-tape Turing machine that runs in quadratic time and that needs linear space. □

From now on we only consider restarting automata that are weight-reducing (or even length-reducing)!

The restarting automaton from Example 2 is weight-reducing: Choose the weight function ω in such a way that

$$\omega(\mathbf{a}), \omega(\mathbf{b}) > \omega(\mathbf{a}_1), \omega(\mathbf{e}), \omega(\mathbf{f}) > \omega(\mathbf{e}_1), \omega(\mathbf{f}_1), \omega(\mathbf{f}_2)$$

3. Some Important Results on Formal Languages

3.1 Parameter 1: The Type of Operations

In [1] and other papers by Petr Jančar et al., only **length-reducing restarting automata** were studied that execute **exactly one** rewrite step in each cycle. The resulting types of restarting automata are the following:

	with auxiliary symbols (-WW)	no auxiliary symbols (-W)	deletions only (- ε)
MVL-steps (RL-)	RLWW	RLW	RL
no MVL-steps (RR-)	RRWW	RRW	RR
no MVL-steps, rewrite followed by restart (R-)	RWW	RW	R

Definition 9

Let M be an RLWW-automaton.

- (a) If a cycle C of M contains the rewrite transition

$$\triangleright uqvw \triangleleft \vdash_M \triangleright uxq'w \triangleleft,$$

then $D_r(C) = |vw| + 1$ is the *right distance* of C .

- (b) M is *monotone* if, in each computation of M , the right distance does not increase from one cycle to the next.
- (c) M is *weakly monotone* if there exists a constant $c \geq 0$ such that, in each computation of M , the right distance increases by at most c from one cycle to the next.

Prefixes are used to denote subtypes of restarting automata:

deterministic automata	det-
monotone automata	mon-
weakly monotone automata	wmon-
weight-reducing automata	s

Definition 9

Let M be an RLWW-automaton.

- (a) If a cycle C of M contains the rewrite transition

$$\triangleright uqvw \triangleleft \vdash_M \triangleright uxq'w \triangleleft,$$

then $D_r(C) = |vw| + 1$ is the *right distance* of C .

- (b) M is *monotone* if, in each computation of M , the right distance does not increase from one cycle to the next.
- (c) M is *weakly monotone* if there exists a constant $c \geq 0$ such that, in each computation of M , the right distance increases by at most c from one cycle to the next.

Prefixes are used to denote subtypes of restarting automata:

deterministic automata	det-
monotone automata	mon-
weakly monotone automata	wmon-
weight-reducing automata	s

Theorem 10 (Plátek 2001 [3])

$$\begin{aligned}\mathcal{L}((s)RL(W)(W)) &= \mathcal{L}((s)RR(W)(W)). \\ \mathcal{L}((w)\text{mon-}(s)RL(W)(W)) &= \mathcal{L}((w)\text{mon-}(s)RR(W)(W)).\end{aligned}$$

Theorem 11 (Jurdziński, Otto 2007 [4])

$$\mathcal{L}(sRWW) = \mathcal{L}(sRRWW) = \mathcal{L}(sRLWW) = \mathcal{L}(\text{FCA}).$$

Theorem 12 (Jančar et al. 1999 [5])

$$\begin{aligned}\text{(a)} \quad \mathcal{L}(\text{mon-RWW}) &= \mathcal{L}(\text{mon-RRWW}) &= \text{CFL}. \\ \text{(b)} \quad \mathcal{L}(\text{det-mon-R}) &= \mathcal{L}(\text{det-mon-RRWW}) &= \text{DCFL}.\end{aligned}$$

Theorem 13 (Jurdziński et al. 2004 [6])

$$\mathcal{L}(w\text{mon-RWW}) = \mathcal{L}(w\text{mon-RRWW}) = \text{GCSL}.$$

Theorem 14 (Niemann, Otto 2000 [7])

$$\mathcal{L}(\text{det-RWW}) = \mathcal{L}(\text{det-RRWW}) = \text{CRL}.$$

Theorem 10 (Plátek 2001 [3])

$$\begin{aligned}\mathcal{L}((s)RL(W)(W)) &= \mathcal{L}((s)RR(W)(W)). \\ \mathcal{L}((w)\text{mon-}(s)RL(W)(W)) &= \mathcal{L}((w)\text{mon-}(s)RR(W)(W)).\end{aligned}$$

Theorem 11 (Jurdziński, Otto 2007 [4])

$$\mathcal{L}(sRWW) = \mathcal{L}(sRRWW) = \mathcal{L}(sRLWW) = \mathcal{L}(FCA).$$

Theorem 12 (Jančar et al. 1999 [5])

$$\begin{aligned}(a) \quad \mathcal{L}(\text{mon-RWW}) &= \mathcal{L}(\text{mon-RRWW}) = \text{CFL}. \\ (b) \quad \mathcal{L}(\text{det-mon-R}) &= \mathcal{L}(\text{det-mon-RRWW}) = \text{DCFL}.\end{aligned}$$

Theorem 13 (Jurdziński et al. 2004 [6])

$$\mathcal{L}(w\text{mon-RWW}) = \mathcal{L}(w\text{mon-RRWW}) = \text{GCSL}.$$

Theorem 14 (Niemann, Otto 2000 [7])

$$\mathcal{L}(\text{det-RWW}) = \mathcal{L}(\text{det-RRWW}) = \text{CRL}.$$

Theorem 10 (Plátek 2001 [3])

$$\begin{aligned}\mathcal{L}((s)RL(W)(W)) &= \mathcal{L}((s)RR(W)(W)). \\ \mathcal{L}((w)\text{mon-}(s)RL(W)(W)) &= \mathcal{L}((w)\text{mon-}(s)RR(W)(W)).\end{aligned}$$

Theorem 11 (Jurdziński, Otto 2007 [4])

$$\mathcal{L}(sRWW) = \mathcal{L}(sRRWW) = \mathcal{L}(sRLWW) = \mathcal{L}(FCA).$$

Theorem 12 (Jančar et al. 1999 [5])

$$\begin{aligned}\text{(a)} \quad \mathcal{L}(\text{mon-RWW}) &= \mathcal{L}(\text{mon-RRWW}) &= \text{CFL}. \\ \text{(b)} \quad \mathcal{L}(\text{det-mon-R}) &= \mathcal{L}(\text{det-mon-RRWW}) &= \text{DCFL}.\end{aligned}$$

Theorem 13 (Jurdziński et al. 2004 [6])

$$\mathcal{L}(w\text{mon-RWW}) = \mathcal{L}(w\text{mon-RRWW}) = \text{GCSL}.$$

Theorem 14 (Niemann, Otto 2000 [7])

$$\mathcal{L}(\text{det-RWW}) = \mathcal{L}(\text{det-RRWW}) = \text{CRL}.$$

Theorem 10 (Plátek 2001 [3])

$$\begin{aligned}\mathcal{L}((s)RL(W)(W)) &= \mathcal{L}((s)RR(W)(W)). \\ \mathcal{L}((w)\text{mon-}(s)RL(W)(W)) &= \mathcal{L}((w)\text{mon-}(s)RR(W)(W)).\end{aligned}$$

Theorem 11 (Jurdziński, Otto 2007 [4])

$$\mathcal{L}(sRWW) = \mathcal{L}(sRRWW) = \mathcal{L}(sRLWW) = \mathcal{L}(FCA).$$

Theorem 12 (Jančar et al. 1999 [5])

$$\begin{aligned}\text{(a)} \quad \mathcal{L}(\text{mon-RWW}) &= \mathcal{L}(\text{mon-RRWW}) &= \text{CFL}. \\ \text{(b)} \quad \mathcal{L}(\text{det-mon-R}) &= \mathcal{L}(\text{det-mon-RRWW}) &= \text{DCFL}.\end{aligned}$$

Theorem 13 (Jurdziński et al. 2004 [6])

$$\mathcal{L}(w\text{mon-RWW}) = \mathcal{L}(w\text{mon-RRWW}) = \text{GCSSL}.$$

Theorem 14 (Niemann, Otto 2000 [7])

$$\mathcal{L}(\text{det-RWW}) = \mathcal{L}(\text{det-RRWW}) = \text{CRL}.$$

Theorem 10 (Plátek 2001 [3])

$$\begin{aligned}\mathcal{L}((s)RL(W)(W)) &= \mathcal{L}((s)RR(W)(W)). \\ \mathcal{L}((w)\text{mon-}(s)RL(W)(W)) &= \mathcal{L}((w)\text{mon-}(s)RR(W)(W)).\end{aligned}$$

Theorem 11 (Jurdziński, Otto 2007 [4])

$$\mathcal{L}(sRWW) = \mathcal{L}(sRRWW) = \mathcal{L}(sRLWW) = \mathcal{L}(FCA).$$

Theorem 12 (Jančar et al. 1999 [5])

$$\begin{aligned}\text{(a)} \quad \mathcal{L}(\text{mon-RWW}) &= \mathcal{L}(\text{mon-RRWW}) &= \text{CFL}. \\ \text{(b)} \quad \mathcal{L}(\text{det-mon-R}) &= \mathcal{L}(\text{det-mon-RRWW}) &= \text{DCFL}.\end{aligned}$$

Theorem 13 (Jurdziński et al. 2004 [6])

$$\mathcal{L}(w\text{mon-RWW}) = \mathcal{L}(w\text{mon-RRWW}) = \text{GCSSL}.$$

Theorem 14 (Niemann, Otto 2000 [7])

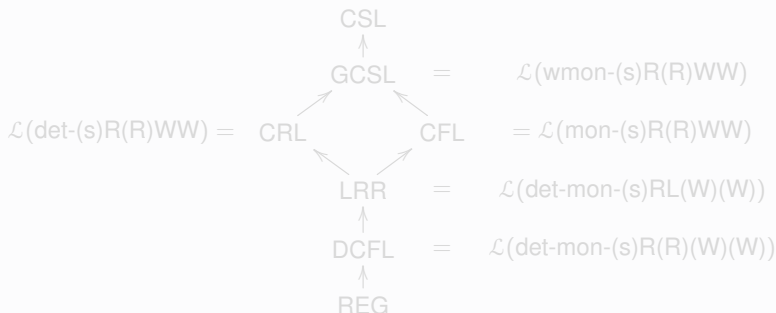
$$\mathcal{L}(\text{det-RWW}) = \mathcal{L}(\text{det-RRWW}) = \text{CRL}.$$

Theorem 15 (Otto 2009 [8])

$$\mathcal{L}(\text{det-mon-RL}) = \mathcal{L}(\text{det-mon-RLWW}) = \text{LRR}.$$

Theorems 12, 13, 14, and 15 extend to the corresponding **weight-reducing** types of restarting automata.

Hierarchy of Language Classes Accepted by Various Subtypes of sRLWW-Automata:

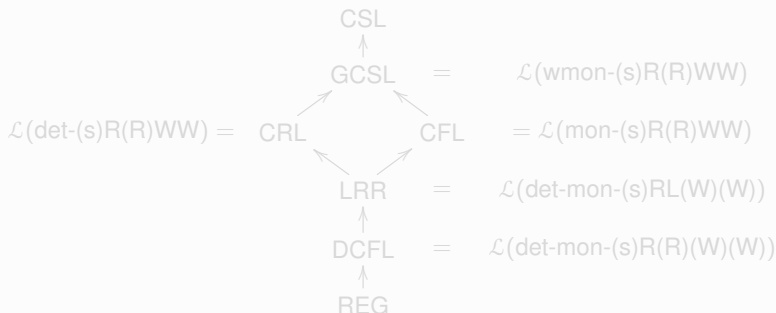


Theorem 15 (Otto 2009 [8])

$$\mathcal{L}(\text{det-mon-RL}) = \mathcal{L}(\text{det-mon-RLWW}) = \text{LRR}.$$

Theorems 12, 13, 14, and 15 extend to the corresponding **weight-reducing** types of restarting automata.

Hierarchy of Language Classes Accepted by Various Subtypes of sRLWW-Automata:

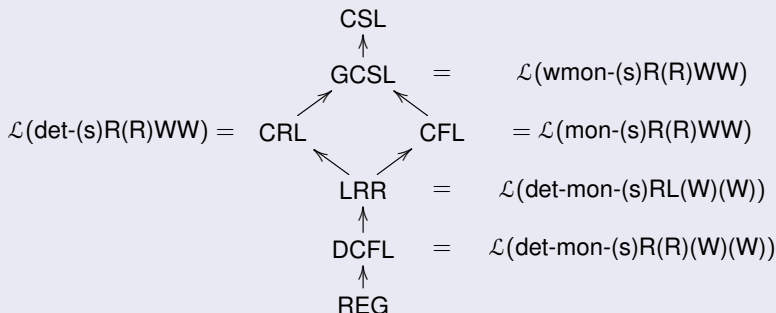


Theorem 15 (Otto 2009 [8])

$$\mathcal{L}(\text{det-mon-RL}) = \mathcal{L}(\text{det-mon-RLWW}) = \text{LRR}.$$

Theorems 12, 13, 14, and 15 extend to the corresponding **weight-reducing** types of restarting automata.

Hierarchy of Language Classes Accepted by Various Subtypes of sRLWW-Automata:



Problem 1

Is the inclusion $\mathcal{L}(\text{sRRWW}) \subseteq \text{CSL}$ proper?

Find a context-sensitive language that is not accepted by an sRRWW-automaton!

Problem 2

Is the inclusion $\mathcal{L}(\text{RRWW}) \subseteq \mathcal{L}(\text{sRRWW})$ proper?

Find a language that is accepted by a weight-reducing, but not by any length-reducing RRWW-automaton!

Problem 3

Is the inclusion $\mathcal{L}(\text{RWW}) \subseteq \mathcal{L}(\text{RRWW})$ proper?

Find a language that is accepted by an RRWW-automaton, but not by any RWW-automaton!

Problem 1

Is the inclusion $\mathcal{L}(\text{sRRWW}) \subseteq \text{CSL}$ proper?

Find a context-sensitive language that is not accepted by an sRRWW-automaton!

Problem 2

Is the inclusion $\mathcal{L}(\text{RRWW}) \subseteq \mathcal{L}(\text{sRRWW})$ proper?

Find a language that is accepted by a weight-reducing, but not by any length-reducing RRWW-automaton!

Problem 3

Is the inclusion $\mathcal{L}(\text{RWW}) \subseteq \mathcal{L}(\text{RRWW})$ proper?

Find a language that is accepted by an RRWW-automaton, but not by any RWW-automaton!

Problem 1

Is the inclusion $\mathcal{L}(sRRWW) \subseteq \text{CSL}$ proper?

Find a context-sensitive language that is not accepted by an sRRWW-automaton!

Problem 2

Is the inclusion $\mathcal{L}(RRWW) \subseteq \mathcal{L}(sRRWW)$ proper?

Find a language that is accepted by a weight-reducing, but not by any length-reducing RRWW-automaton!

Problem 3

Is the inclusion $\mathcal{L}(RWW) \subseteq \mathcal{L}(RRWW)$ proper?

Find a language that is accepted by an RRWW-automaton, but not by any RWW-automaton!

Theorem 16 (Holzer, Kutrib, Reimann 2007 [9])

Let $X, Y \in \{R, RR, RW, RRW, RWW, RRWW\}$. Then the trade-off for the conversion of a restarting automaton of type X or $\text{det-}X$ into an equivalent monotone restarting automaton of type Y is non-recursive.

Theorem 17 (Holzer, Kutrib, Reimann 2007 [9])

The trade-off for the conversion of a monotone $R(R)WW$ -automaton into an equivalent deterministic $R(R)W$ - or $R(R)$ -automaton is non-recursive.

Theorem 16 (Holzer, Kutrib, Reimann 2007 [9])

Let $X, Y \in \{R, RR, RW, RRW, RWW, RRWW\}$. Then the trade-off for the conversion of a restarting automaton of type X or $\text{det-}X$ into an equivalent monotone restarting automaton of type Y is non-recursive.

Theorem 17 (Holzer, Kutrib, Reimann 2007 [9])

The trade-off for the conversion of a monotone $R(R)WW$ -automaton into an equivalent deterministic $R(R)W$ - or $R(R)$ -automaton is non-recursive.

3.2 Parameter 2: The Size of the Read/Write Window

For each type X of restarting automaton, let $X(k)$ denote the restarting automata of type X that have a read/write window of size k .

Theorem 18 (Mráz 2001 [11])

For all $k \geq 1$ and all $X \in \{R, RR, RW, RRW\}$,

$$\mathcal{L}((\text{det-})(\text{mon-})X(k)) \subsetneq \mathcal{L}((\text{det-})(\text{mon-})X(k+1)).$$

Theorem 19 (Kutrib, Otto 2012 [12])

For all $X \in \{\text{det-R}(R)W, R(R)W\}$ and all $k \geq 3$, the trade-off from $X(k)$ -automata to $X(k-1)$ -automata is non-recursive.

Theorem 20 (Kutrib, Otto 2013 [13])

For all $X \in \{\text{det-R}, \text{det-RR}, R, RR\}$ and all $k \geq 5$, the trade-off from $X(k)$ -automata to $X(k-1)$ -automata is non-recursive.

3.2 Parameter 2: The Size of the Read/Write Window

For each type X of restarting automaton, let $X(k)$ denote the restarting automata of type X that have a read/write window of size k .

Theorem 18 (Mráz 2001 [11])

For all $k \geq 1$ and all $X \in \{R, RR, RW, RRW\}$,

$$\mathcal{L}((\text{det-})(\text{mon-})X(k)) \subsetneq \mathcal{L}((\text{det-})(\text{mon-})X(k+1)).$$

Theorem 19 (Kutrib, Otto 2012 [12])

For all $X \in \{\text{det-R}(R)W, R(R)W\}$ and all $k \geq 3$, the trade-off from $X(k)$ -automata to $X(k-1)$ -automata is non-recursive.

Theorem 20 (Kutrib, Otto 2013 [13])

For all $X \in \{\text{det-R}, \text{det-RR}, R, RR\}$ and all $k \geq 5$, the trade-off from $X(k)$ -automata to $X(k-1)$ -automata is non-recursive.

3.2 Parameter 2: The Size of the Read/Write Window

For each type X of restarting automaton, let $X(k)$ denote the restarting automata of type X that have a read/write window of size k .

Theorem 18 (Mráz 2001 [11])

For all $k \geq 1$ and all $X \in \{R, RR, RW, RRW\}$,

$$\mathcal{L}((\text{det-})(\text{mon-})X(k)) \subsetneq \mathcal{L}((\text{det-})(\text{mon-})X(k+1)).$$

Theorem 19 (Kutrib, Otto 2012 [12])

For all $X \in \{\text{det-R}(R)W, R(R)W\}$ and all $k \geq 3$, the trade-off from $X(k)$ -automata to $X(k-1)$ -automata is non-recursive.

Theorem 20 (Kutrib, Otto 2013 [13])

For all $X \in \{\text{det-R}, \text{det-RR}, R, RR\}$ and all $k \geq 5$, the trade-off from $X(k)$ -automata to $X(k-1)$ -automata is non-recursive.

Theorem 21 (Mráz 2001 [11], Kutrib, Reimann 2008 [14])

- (a) $\mathcal{L}((\text{det-})(\text{mon-})R(1)) = \text{REG.}$
- (b) $\mathcal{L}(\text{det-}(\text{mon-})RR(1)) = \text{REG} \subsetneq \mathcal{L}(\text{mon-}RR(1)).$

Theorem 22 (Mráz, Otto 2019 [15])

- (a) $\mathcal{L}(\text{det-sRWW}(1)) = \mathcal{L}(\text{mon-sRWW}(1)) = \text{REG.}$
- (b) $\mathcal{L}(\text{det-sRRWW}(1)) = \text{REG.}$
- (c) $\mathcal{L}(\text{mon-}RR(1)) \subsetneq \mathcal{L}(\text{mon-sRRWW}(1)).$

Problem 4

Is the inclusion $\mathcal{L}(\text{mon-sRRWW}(1)) \subseteq \text{CFL}$ proper?

Find a context-free language that is not accepted by any monotone sRRWW-automaton with window size one!

Is $L_{\text{pal}} = \{ ww^R \mid w \in \{a, b\}^ \}$ such a language?*

Theorem 21 (Mráz 2001 [11], Kutrib, Reimann 2008 [14])

- (a) $\mathcal{L}((\text{det-})(\text{mon-})R(1)) = \text{REG.}$
- (b) $\mathcal{L}(\text{det-}(\text{mon-})RR(1)) = \text{REG} \subsetneq \mathcal{L}(\text{mon-}RR(1)).$

Theorem 22 (Mráz, Otto 2019 [15])

- (a) $\mathcal{L}(\text{det-sRWW}(1)) = \mathcal{L}(\text{mon-sRWW}(1)) = \text{REG.}$
- (b) $\mathcal{L}(\text{det-sRRWW}(1)) = \text{REG.}$
- (c) $\mathcal{L}(\text{mon-}RR(1)) \subsetneq \mathcal{L}(\text{mon-sRRWW}(1)).$

Problem 4

Is the inclusion $\mathcal{L}(\text{mon-sRRWW}(1)) \subseteq \text{CFL}$ proper?

Find a context-free language that is not accepted by any monotone sRRWW-automaton with window size one!

Is $L_{\text{pal}} = \{ ww^R \mid w \in \{a, b\}^ \}$ such a language?*

Theorem 21 (Mráz 2001 [11], Kutrib, Reimann 2008 [14])

- (a) $\mathcal{L}((\text{det-})(\text{mon-})R(1)) = \text{REG.}$
- (b) $\mathcal{L}(\text{det-}(\text{mon-})RR(1)) = \text{REG} \subsetneq \mathcal{L}(\text{mon-}RR(1)).$

Theorem 22 (Mráz, Otto 2019 [15])

- (a) $\mathcal{L}(\text{det-sRWW}(1)) = \mathcal{L}(\text{mon-sRWW}(1)) = \text{REG.}$
- (b) $\mathcal{L}(\text{det-sRRWW}(1)) = \text{REG.}$
- (c) $\mathcal{L}(\text{mon-}RR(1)) \subsetneq \mathcal{L}(\text{mon-sRRWW}(1)).$

Problem 4

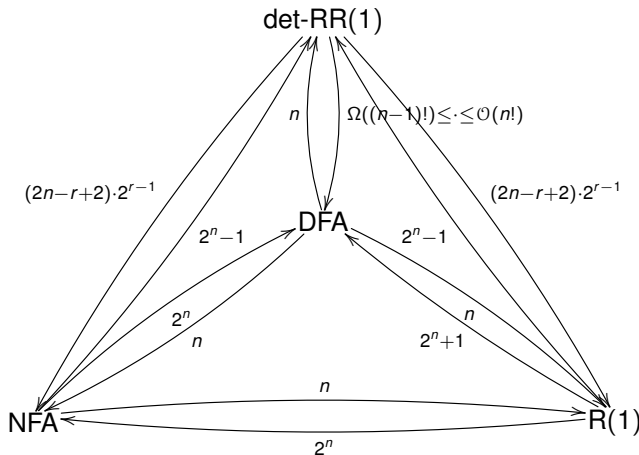
Is the inclusion $\mathcal{L}(\text{mon-sRRWW}(1)) \subseteq \text{CFL}$ proper?

Find a context-free language that is not accepted by any monotone sRRWW-automaton with window size one!

Is $L_{\text{pal}} = \{ ww^R \mid w \in \{a, b\}^ \}$ such a language?*

Theorem 23 (Kutrib, Reimann 2008 [16])

The trade-offs between DFAs, NFAs, $R(1)$ -, and $\text{det-RR}(1)$ -automata are as depicted below.



Theorem 24 (Schluter 2015 [17])

For all $k \geq 2$,

- (a) $\mathcal{L}(\text{mon-RWW}(k)) = \mathcal{L}(\text{mon-RRWW}(k)).$
- (b) $\mathcal{L}(\text{det-mon-RWW}(k)) = \mathcal{L}(\text{det-mon-RRWW}(k)).$
- (c) $\mathcal{L}(\text{mon-RRWW}(k + 1)) = \mathcal{L}(\text{mon-RRWW}(k)).$
- (d) $\mathcal{L}(\text{RRWW}(k + 1)) = \mathcal{L}(\text{RRWW}(k)).$

Corollary 25 (Schluter 2015 [17])

$\mathcal{L}(\text{mon-RWW}(2)) = \text{CFL} = \mathcal{L}(\text{mon-sRRWW}).$

Theorem 24 (Schluter 2015 [17])

For all $k \geq 2$,

- (a) $\mathcal{L}(\text{mon-RWW}(k)) = \mathcal{L}(\text{mon-RRWW}(k)).$
- (b) $\mathcal{L}(\text{det-mon-RWW}(k)) = \mathcal{L}(\text{det-mon-RRWW}(k)).$
- (c) $\mathcal{L}(\text{mon-RRWW}(k + 1)) = \mathcal{L}(\text{mon-RRWW}(k)).$
- (d) $\mathcal{L}(\text{RRWW}(k + 1)) = \mathcal{L}(\text{RRWW}(k)).$

Corollary 25 (Schluter 2015 [17])

$\mathcal{L}(\text{mon-RWW}(2)) = \text{CFL} = \mathcal{L}(\text{mon-sRRWW}).$

Theorem 26 (Mráz, Otto 2019 [18])

$$\mathcal{L}(\text{det-(mon-)RWW}(2)) = \text{DCFL} = \mathcal{L}(\text{det-mon-sRRWW}).$$

As $L_{\text{expo}} = \{ a^{2^n} \mid n \geq 0 \} \in \mathcal{L}(\text{det-RWW}(3))$, we see that $\mathcal{L}(\text{det-RWW}(2)) \subsetneq \mathcal{L}(\text{det-RWW}(3))$.

Problem 5

Is the inclusion $\mathcal{L}(\text{det-RWW}(k)) \subseteq \mathcal{L}(\text{det-RWW}(k+1))$ proper for all $k \geq 3$, or is there an integer $\hat{k} \geq 3$ such that $\mathcal{L}(\text{det-RWW}(\hat{k})) = \text{CRL}$?

Theorem 27 (Mráz, Otto 2019 [18])

$$\mathcal{L}(\text{det-sRWW}(2)) = \text{CRL} = \mathcal{L}(\text{det-sRRWW}).$$

Theorem 26 (Mráz, Otto 2019 [18])

$\mathcal{L}(\text{det}(\text{-mon-})\text{RWW}(2)) = \text{DCFL} = \mathcal{L}(\text{det-mon-sRRWW})$.

As $L_{\text{expo}} = \{ a^{2^n} \mid n \geq 0 \} \in \mathcal{L}(\text{det-RWW}(3))$, we see that $\mathcal{L}(\text{det-RWW}(2)) \subsetneq \mathcal{L}(\text{det-RWW}(3))$.

Problem 5

Is the inclusion $\mathcal{L}(\text{det-RWW}(k)) \subseteq \mathcal{L}(\text{det-RWW}(k+1))$ proper for all $k \geq 3$, or is there an integer $\hat{k} \geq 3$ such that $\mathcal{L}(\text{det-RWW}(\hat{k})) = \text{CRL}$?

Theorem 27 (Mráz, Otto 2019 [18])

$\mathcal{L}(\text{det-sRWW}(2)) = \text{CRL} = \mathcal{L}(\text{det-sRRWW})$.

Theorem 26 (Mráz, Otto 2019 [18])

$$\mathcal{L}(\text{det-(mon-)RWW}(2)) = \text{DCFL} = \mathcal{L}(\text{det-mon-sRRWW}).$$

As $L_{\text{expo}} = \{ a^{2^n} \mid n \geq 0 \} \in \mathcal{L}(\text{det-RWW}(3))$, we see that $\mathcal{L}(\text{det-RWW}(2)) \subsetneq \mathcal{L}(\text{det-RWW}(3))$.

Problem 5

Is the inclusion $\mathcal{L}(\text{det-RWW}(k)) \subseteq \mathcal{L}(\text{det-RWW}(k+1))$ proper for all $k \geq 3$, or is there an integer $\hat{k} \geq 3$ such that $\mathcal{L}(\text{det-RWW}(\hat{k})) = \text{CRL}$?

Theorem 27 (Mráz, Otto 2019 [18])

$$\mathcal{L}(\text{det-sRWW}(2)) = \text{CRL} = \mathcal{L}(\text{det-sRRWW}).$$

3.3 Parameter 3: The Number of States

Definition 28

A restarting automaton $M = (Q, \Sigma, \Gamma, \triangleright, \triangleleft, q_0, k, \delta)$ is called *stateless* if $Q = \{q_0\}$. We use the prefix *stl-* to denote stateless types of restarting automata.

Theorem 29 (Kutrib, Messerschmidt, Otto 2010 [19])

- (a) $\mathcal{L}(\text{stl-det-mon-R}) \not\supseteq \text{REG}$
- (b) $\mathcal{L}(\text{stl-det-mon-RWW}) = \text{DCFL}$
- (c) $\mathcal{L}(\text{stl-mon-RWW}) = \text{CFL}$
- (d) $\mathcal{L}(\text{stl-det-RWW}) = \text{CRL}$
- (e) $\mathcal{L}(\text{stl-wmon-RWW}) = \text{GCSL}$

3.3 Parameter 3: The Number of States

Definition 28

A restarting automaton $M = (Q, \Sigma, \Gamma, \triangleright, \triangleleft, q_0, k, \delta)$ is called *stateless* if $Q = \{q_0\}$. We use the prefix *stl-* to denote stateless types of restarting automata.

Theorem 29 (Kutrib, Messerschmidt, Otto 2010 [19])

- (a) $\mathcal{L}(\text{stl-det-mon-R}) \quad \supsetneq \quad \text{REG}$
- (b) $\mathcal{L}(\text{stl-det-mon-RWW}) \quad = \quad \text{DCFL}$
- (c) $\mathcal{L}(\text{stl-mon-RWW}) \quad = \quad \text{CFL}$
- (d) $\mathcal{L}(\text{stl-det-RWW}) \quad = \quad \text{CRL}$
- (e) $\mathcal{L}(\text{stl-wmon-RWW}) \quad = \quad \text{GCSL}$

Definition 30

An *ordered RWW-automaton* (*ORWW*) is an *sRWW(3)*-automaton in which each rewrite step replaces the letter in the middle of the window by a letter with less weight.

Theorem 31 (Kwee, Otto 2018 [20, 21])

- (a) $\mathcal{L}(\text{stl-det-ORWW}) = \mathcal{L}(\text{det-ORWW}) = \text{REG}$
- (b) $\mathcal{L}(\text{stl-ORWW}) = \text{REG} \subsetneq \mathcal{L}(\text{ORWW})$
- (c) $\mathcal{L}(\text{ORWW})$ is incomparable to DLIN and GCSL.

Proof of (c):

$\{a^n b^n \mid n \geq 1\} \in \text{DLIN} \setminus \mathcal{L}(\text{ORWW})$, while

$L'_{\text{copy}} = \{wcu \mid w, u \in \{a, b\}^*, |w|, |u| \geq 2, u \text{ is a scattered factor of } w\}$

belongs to $\mathcal{L}(\text{ORWW}) \setminus \text{GCSL}$. □

Definition 30

An *ordered RWW-automaton* (*ORWW*) is an *sRWW(3)*-automaton in which each rewrite step replaces the letter in the middle of the window by a letter with less weight.

Theorem 31 (Kwee, Otto 2018 [20, 21])

- (a) $\mathcal{L}(\text{stl-det-ORWW}) = \mathcal{L}(\text{det-ORWW}) = \text{REG}$
- (b) $\mathcal{L}(\text{stl-ORWW}) = \text{REG} \subsetneq \mathcal{L}(\text{ORWW})$
- (c) $\mathcal{L}(\text{ORWW})$ is incomparable to DLIN and GCSL.

Proof of (c):

$\{a^n b^n \mid n \geq 1\} \in \text{DLIN} \setminus \mathcal{L}(\text{ORWW})$, while

$L'_{\text{copy}} = \{wcu \mid w, u \in \{a, b\}^*, |w|, |u| \geq 2, u \text{ is a scattered factor of } w\}$

belongs to $\mathcal{L}(\text{ORWW}) \setminus \text{GCSL}$. □

Definition 30

An *ordered RWW-automaton* (*ORWW*) is an *sRWW(3)*-automaton in which each rewrite step replaces the letter in the middle of the window by a letter with less weight.

Theorem 31 (Kwee, Otto 2018 [20, 21])

- (a) $\mathcal{L}(\text{stl-det-ORWW}) = \mathcal{L}(\text{det-ORWW}) = \text{REG}$
- (b) $\mathcal{L}(\text{stl-ORWW}) = \text{REG} \subsetneq \mathcal{L}(\text{ORWW})$
- (c) $\mathcal{L}(\text{ORWW})$ is incomparable to DLIN and GCSL.

Proof of (c):

$\{a^n b^n \mid n \geq 1\} \in \text{DLIN} \setminus \mathcal{L}(\text{ORWW})$, while

$$\mathcal{L}'_{\text{copy}} = \{wcu \mid w, u \in \{a, b\}^*, |w|, |u| \geq 2, u \text{ is a scattered factor of } w\}$$

belongs to $\mathcal{L}(\text{ORWW}) \setminus \text{GCSL}$. □

Remark

For a stl-det-ORWW-automaton $M = (Q, \Sigma, \Gamma, \triangleright, \triangleleft, q_0, k, \delta)$, we use $|\Gamma|$ to measure the **size** of M .

Theorem 32 (Kwee, Otto 2018 [21])

From a stl-ORWW-automaton M with a tape alphabet of cardinality n , an NFA $A = (Q, \Sigma, S, \delta_A, F)$ can be constructed such that $|Q| \leq 2^{13 \cdot n}$ and $L(A) = L(M)$.

Corollary 33 (Kwee, Otto 2018 [21])

For converting a stl-ORWW-automaton or a stl-det-ORWW-automaton with a tape alphabet of cardinality n into an equivalent DFA (NFA), $2^{2^{O(n)}}$ ($2^{O(n)}$) states are sufficient, and there are cases in which these many states are also necessary.

Remark

For a stl-det-ORWW-automaton $M = (Q, \Sigma, \Gamma, \triangleright, \triangleleft, q_0, k, \delta)$, we use $|\Gamma|$ to measure the **size** of M .

Theorem 32 (Kwee, Otto 2018 [21])

From a stl-ORWW-automaton M with a tape alphabet of cardinality n , an NFA $A = (Q, \Sigma, S, \delta_A, F)$ can be constructed such that $|Q| \leq 2^{13 \cdot n}$ and $L(A) = L(M)$.

Corollary 33 (Kwee, Otto 2018 [21])

For converting a stl-ORWW-automaton or a stl-det-ORWW-automaton with a tape alphabet of cardinality n into an equivalent DFA (NFA), $2^{2^{O(n)}}$ ($2^{O(n)}$) states are sufficient, and there are cases in which these many states are also necessary.

Remark

For a stl-det-ORWW-automaton $M = (Q, \Sigma, \Gamma, \triangleright, \triangleleft, q_0, k, \delta)$, we use $|\Gamma|$ to measure the **size** of M .

Theorem 32 (Kwee, Otto 2018 [21])

From a stl-ORWW-automaton M with a tape alphabet of cardinality n , an NFA $A = (Q, \Sigma, S, \delta_A, F)$ can be constructed such that $|Q| \leq 2^{13 \cdot n}$ and $L(A) = L(M)$.

Corollary 33 (Kwee, Otto 2018 [21])

For converting a stl-ORWW-automaton or a stl-det-ORWW-automaton with a tape alphabet of cardinality n into an equivalent DFA (NFA), $2^{2^{O(n)}}$ ($2^{O(n)}$) states are sufficient, and there are cases in which these many states are also necessary.

Definition 34

An *ordered restart-delete-automaton* (*ORD*) is an *sRWW*(3)-automaton in which each rewrite step replaces the letter in the middle of the window by a letter with less weight *or by the empty word*.

Theorem 35 (Otto 2018 [22, 23])

- (a) $\text{DCFL} \subsetneq \mathcal{L}(\text{stl-det-ORD}) = \mathcal{L}(\text{det-ORD}) \subsetneq \text{CRL} \cap \text{CFL}$
 (b) $\text{CFL} \subseteq \mathcal{L}(\text{stl-ORD})$

Problem 6

Is the inclusion $\text{CFL} \subseteq \mathcal{L}(\text{stl-ORD})$ proper?

Find a non-context-free language that accepted by a stl-ORD-automaton!

Definition 34

An *ordered restart-delete-automaton* (*ORD*) is an *sRWW*(3)-automaton in which each rewrite step replaces the letter in the middle of the window by a letter with less weight *or by the empty word*.

Theorem 35 (Otto 2018 [22, 23])

- (a) $\text{DCFL} \subsetneq \mathcal{L}(\text{stl-det-ORD}) = \mathcal{L}(\text{det-ORD}) \subsetneq \text{CRL} \cap \text{CFL}$
 (b) $\text{CFL} \subseteq \mathcal{L}(\text{stl-ORD})$

Problem 6

Is the inclusion $\text{CFL} \subseteq \mathcal{L}(\text{stl-ORD})$ proper?

Find a non-context-free language that accepted by a stl-ORD-automaton!

Definition 34

An *ordered restart-delete-automaton (ORD)* is an $sRWW(3)$ -automaton in which each rewrite step replaces the letter in the middle of the window by a letter with less weight *or by the empty word*.

Theorem 35 (Otto 2018 [22, 23])

- (a) $DCFL \subsetneq \mathcal{L}(\text{stl-det-ORD}) = \mathcal{L}(\text{det-ORD}) \subsetneq CRL \cap CFL$
 (b) $CFL \subseteq \mathcal{L}(\text{stl-ORD})$

Problem 6

Is the inclusion $CFL \subseteq \mathcal{L}(\text{stl-ORD})$ proper?

Find a non-context-free language that accepted by a stl-ORD-automaton!

Definition 36

A *stl-ORD-automaton* $M = (Q, \Sigma, \Gamma, \triangleright, \triangleleft, q_0, k, \delta)$ is called *swift*, if it can always perform move-right steps unless its window is already at the right end of the tape.

Theorem 37 (Otto 2020 [23, 24])

$\mathcal{L}(\text{swift-ORD}) = \text{CFL}$

Theorem 38 (Otto 2019 [23, 24])

The trade-off for the conversion of a swift-ORD-automaton into an equivalent stl-ORWW-automaton is non-recursive.

Definition 36

A *stl-ORD-automaton* $M = (Q, \Sigma, \Gamma, \triangleright, \triangleleft, q_0, k, \delta)$ is called *swift*, if it can always perform move-right steps unless its window is already at the right end of the tape.

Theorem 37 (Otto 2020 [23, 24])

$\mathcal{L}(\text{swift-ORD}) = \text{CFL}$

Theorem 38 (Otto 2019 [23, 24])

The trade-off for the conversion of a swift-ORD-automaton into an equivalent stl-ORWW-automaton is non-recursive.

Definition 36

A *stl-ORD-automaton* $M = (Q, \Sigma, \Gamma, \triangleright, \triangleleft, q_0, k, \delta)$ is called *swift*, if it can always perform move-right steps unless its window is already at the right end of the tape.

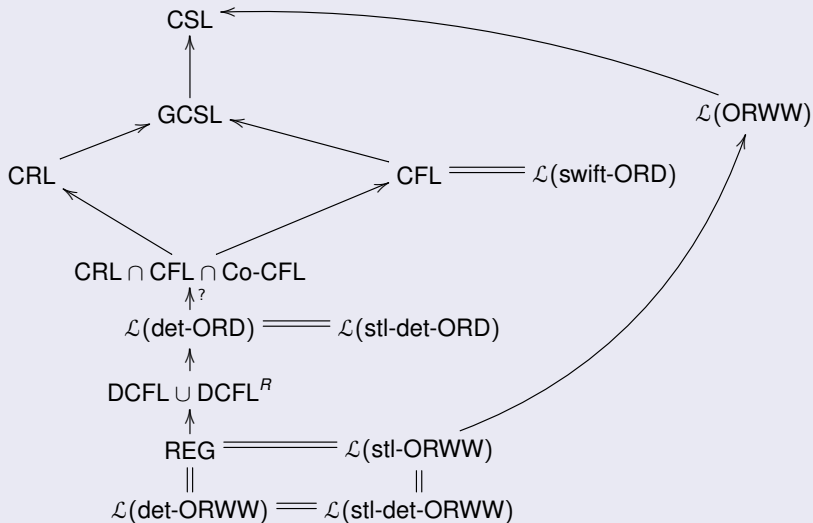
Theorem 37 (Otto 2020 [23, 24])

$$\mathcal{L}(\text{swift-ORD}) = \text{CFL}$$

Theorem 38 (Otto 2019 [23, 24])

The trade-off for the conversion of a *swift-ORD-automaton* into an equivalent *stl-ORWW-automaton* is non-recursive.

The Taxonomy of Ordered Restarting Automata



4. Conclusion

Further Parameters of Restarting Automata:

- 1 **number of auxiliary letters** (Jurdziński, Otto 2006 [25])
- 2 **utilization of auxiliary letters:**
 - **lexicalized** restarting automata (Mráz, Otto, Plátek 2009 [26])
 - **h-lexicalized** restarting automata (Plátek, Otto 2017 [27])
- 3 **number of rewrites per cycle** (Mráz, Otto, Plátek 2014 [28])

Further Variants of Restarting Automata

- 1 [nonforgetting](#) restarting automata [29]
- 2 [cooperating distributed systems](#) of restarting automata [30]
- 3 [parallel communicating systems](#) of restarting automata [31]
- 4 [restarting transducer](#) [32]
- 5 [weighted](#) restarting automata [33]
- 6 restarting automata [with structured output](#) [34]
- 7 restarting automata [for picture languages](#) [35]
- 8 restarting [tree](#) automata [36]

Another Topic:

- [Inductive inference](#) of restricted types of restarting automata
(See, e.g., Mráz, Plátek, Otto 2006 [37], and Hoffmann 2013 [38])

Further Variants of Restarting Automata




- 1 [nonforgetting](#) restarting automata [29]
- 2 [cooperating distributed systems](#) of restarting automata [30]
- 3 [parallel communicating systems](#) of restarting automata [31]
- 4 [restarting transducer](#) [32]
- 5 [weighted](#) restarting automata [33]
- 6 restarting automata [with structured output](#) [34]
- 7 restarting automata [for picture languages](#) [35]
- 8 restarting [tree](#) automata [36]





Another Topic:





- [Inductive inference](#) of restricted types of restarting automata (See, e.g., Mráz, Plátek, Otto 2006 [37], and Hoffmann 2013 [38])





Thank you for your attention!





6. Bibliography






-  [1] Jančar, P., Mráz, F., Plátek, M., Vogel, J.: Restarting automata. In: Reichel, H. (ed.), FCT'95, Proc., *Lecture Notes in Computer Science 965*, pp. 283–292, Springer, Heidelberg (1995)
-  [2] Plátek, M., Lopatková, M., Oliva, K.: Restarting automata: motivations and applications. In: Holzer, M. (ed.), Workshop “Petrinets” und 13. Theorietag “Automaten und Formale Sprachen, Proc., pp. 90–96, Institut für Informatik, Technische Universität München (2003)
-  [3] Plátek, M.: Two-way restarting automata and j-monotonicity. In: L. Pacholski, L., Ružička, P. (eds.), *SOFSEM 2001, Proc., Lecture Notes in Computer Science 2234*, Springer, Berlin, 2001, 316–325.





-  [4] Jurdziński, T., Otto, F.: Shrinking restarting automata. *International Journal of Foundations of Computer Science* 18 (2007) 361–385.
-  [5] Jančar, P., Mráz, F., Plátek, M., Vogel, J.: On monotonic automata with a restart operation. *Journal of Automata, Languages and Combinatorics* 4 (1999) 287–311.
-  [6] Jurdziński, T., Loryś, K., Niemann, G., Otto, F.: Some results on RWW- and RRWW-automata and their relation to the class of growing context-sensitive languages, *Journal of Automata, Languages and Combinatorics* 9 (2004) 407–437.
-  [7] Niemann, G., Otto, F.: Restarting automata, Church-Rosser languages, and representations of r.e. languages. In: Rozenberg, G., Thomas, W. (eds.), *DLT 1999, Proc.*, World Scientific, Singapore, 2000, 103–114.

-  [8] Otto, F.: Left-to-right regular languages and two-way restarting automata. *RAIRO - Theoretical Informatics and Applications* 43 (2009) 653–665.
-  [9] Holzer, M., Kutrib, M., Reimann, J.: Non-Recursive Trade-Offs for Deterministic Restarting Automata. *Journal of Automata, Languages and Combinatorics* 12 (2007) 195–213.
-  [10] Reimann, J.:
Beschreibungskomplexität von Restart-Automaten.
Doctoral dissertation, University of Giessen (2007),
<http://geb.uni-giessen.de/geb/volltexte/2007/4618/index.html>
-  [11] Mráz, F.: Lookahead hierarchies of restarting automata. *Journal of Automata, Languages and Combinatorics* 6 (2001) 493–506.





-  [12] Kutrib, M., Otto, F.: On the descriptive complexity of the window size for deterministic restarting automata. In: Moreira, N., Reis, R. (eds.), *CIAA 2012, Proc., Lecture Notes in Computer Science 7381*, Springer, Heidelberg, 2012, 253–264.
-  [13] Kutrib, M., Otto, F.: On the descriptive complexity of the window size for deleting restarting automata. *International Journal of Foundations of Computer Science* 24 (2013) 831–846.
-  [14] Kutrib, M., Reimann, J.: Succinct description of regular languages by weak restarting automata. *Information and Computation* 206 (2008) 1152–1160.
-  [15] Mráz, F., Otto, F.: On shrinking restarting automata of window size one and two. In: Hofman, P., Skrzypczak, M. (eds.), *DLT 2019, Proc., Lecture Notes in Computer Science 11647*, Springer, Heidelberg, 2019, 140–153.



-  [16] Kutrib, M., Reimann, J.: Optimal Simulations of Weak Restarting Automata. *International Journal of Foundations of Computer Science* 19 (2008) 795–811.
-  [17] Schluter, N.: Restarting automata with auxiliary symbols restricted by lookahead size. *International Journal of Computer Mathematics* 92 (2015) 908–938.
-  [18] Mráz, F., Otto, F.: Window size two suffices for deterministic monotone RWW-automata. In: Freund, R., Holzer, M., Sempere, J.M. (eds.), *NCMA 2019, Proc.*, books@ocg.at, vol. 336, Österreichische Computer Gesellschaft, Wien, 2019, 139–154.
-  [19] Kutrib, M., Messerschmidt, H., Otto, F.: On stateless two-pushdown automata and restarting automata. *International Journal of Foundations of Computer Science* 21 (2010) 781–798.

-  [20] Otto, F., Kwee, K.: On the descriptive complexity of stateless deterministic ordered restarting automata. *Information and Computation* 259 (2018) 277–302.
-  [21] Kwee, K., Otto, F.: Nondeterministic ordered restarting automata. *International Journal of Foundations of Computer Science* 29 (2018) 663–685.
-  [22] Otto, F.: On deterministic ordered restart-delete automata. *Theoretical Computer Science* 795 (2019) 257–274.
-  [23] Otto, F.: A characterization of the context-free languages by stateless ordered restart-delete automata. In: Chatzigeorgiou, A., et al. (eds.), *SOFSEM 2020, Proc., Lecture Notes in Computer Science 12011*, Springer, Heidelberg, 2020, 39–50.
-  [24] Otto, F.: On the expressive power of stateless ordered restart-delete automata. *Theory of Computing Systems* 65 (2021) 1033–1068.

-  [25] Jurdziński, T., Otto, F.: Restarting automata with restricted utilization of auxiliary symbols. *Theoretical Computer Science* 363 (2006) 162–181.
-  [26] Mráz, F., Otto, F., Plátek, M.: The degree of word-expansion of lexicalized RRWW-automata - A new measure for the degree of nondeterminism of (context-free) languages. *Theoretical Computer Science* 410 (2009) 3530–3538.
-  [27] Plátek, M., Otto, F.: On h-lexicalized restarting automata. In: Csuhaj-Varjú, E., et al. (eds.), *AFL 2017, Proc., EPTCS 252* (2017) 219–233.
-  [28] Mráz, F., Otto, F., Plátek, M.: Free word-order and restarting automata. *Fundamenta Informaticae* 133 (2014) 399–419.

-  [29] Messerschmidt, H., Otto, F.: On nonforgetting restarting automata that are deterministic and/or monotone. In: Grigoriev, D., Harrison, J., Hirsch, E.A. (eds.), *CSR 2006, Proc., Lecture Notes in Computer Science 3967*, Springer, Heidelberg, 2006, 247–258.
-  [30] Messerschmidt, H., Otto, F.: Cooperating distributed systems of restarting automata. *International Journal of Foundations of Computer Science* 18 (2007) 1333–1342.
-  [31] Vollweiler, M., Otto, F.: Systems of parallel communicating restarting automata. In: Freund, R., et al. (eds.), *NCMA 2012, Proc.*, books@ocg.at, vol. 290, OCG, Vienna, 2012, 197–212.
-  [32] Hundeshagen, N., Otto, F.: Characterizing the rational functions by restarting transducers. In: Dediu, A.-H., Martín-Vide, C. (eds.), *LATA 2012, Proc., Lecture Notes in Computer Science 7183*, Springer, Heidelberg, 2012, 325–336.

-  [33] Wang, Q., Otto, F.: Weighted restarting automata. *Soft Computing* 22 (2018) 1067–1083.
-  [34] Plátek, M., Mráz, F., Lopatkivá, M.: Restarting automata with structured output and functional generative description. In: Dediu, A.-H., Fernau, H., Martín-Vide, C. (eds.), *LATA 2010, Proc., Lecture Notes in Computer Science 6031*, Springer, Heidelberg, 2010, 500–511.
-  [35] Otto, F.: Restarting automata for picture languages: A survey on recent developments. In: Holzer, M., Kutrib, M. (eds.), *CIAA 2014, Proc., Lecture Notes in Computer Science 8587*, Springer, Heidelberg, 2014, 16–41.
-  [36] Stamer, H., Otto, F.: Restarting tree automata. In: van Leeuwen, J., et al. (eds.), *SOFSEM 2007, Proc., Lecture Notes in Computer Science 4362*, Springer, Heidelberg, 2007, 510–521.

-  [37] Mráz, F., Otto, F., Plátek, M.: Learning analysis by reduction from positive data. In: Sakakibara, Y., et al. (eds.), *ICGI 2006, Proc., Lecture Notes in Computer Science 4201*, Springer, Heidelberg, 2006, 125–136.
-  [38] Hoffmann, P.: Machine Learning of Analysis by Reduction. *Doctoral Thesis*, Department of Software and Computer Science Education, Faculty of Mathematics and Physics, Charles University in Prague, 2013.